

## SNAITH'S CONSTRUCTION OF COMPLEX K-THEORY

The purpose of this note is to explain a purely “algebraic” construction (starting with  $\mathbb{C}\mathbb{P}^\infty$ ), due to Snaith, of complex K-theory as a spectrum.

Consider the space  $\mathbb{C}\mathbb{P}^\infty$ ; this is a topological abelian group (as a  $K(\mathbb{Z}, 2)$ ) with a multiplication map  $m : \mathbb{C}\mathbb{P}^\infty \times \mathbb{C}\mathbb{P}^\infty \rightarrow \mathbb{C}\mathbb{P}^\infty$  and a unit map  $e : * \rightarrow \mathbb{C}\mathbb{P}^\infty$ . It follows that if we take  $\mathbb{C}\mathbb{P}_+^\infty$  (that is, if we add a disjoint basepoint), then we can make  $\mathbb{C}\mathbb{P}_+^\infty$  into a commutative algebra object in the category of *pointed* spaces, via the map

$$\mathbb{C}\mathbb{P}_+^\infty \wedge \mathbb{C}\mathbb{P}_+^\infty \simeq (\mathbb{C}\mathbb{P}^\infty \times \mathbb{C}\mathbb{P}^\infty)_+ \xrightarrow{m_+} \mathbb{C}\mathbb{P}_+^\infty,$$

and the unit map

$$e_+ : S^0 \rightarrow \mathbb{C}\mathbb{P}_+^\infty.$$

Taking suspension spectra, we find that  $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty$  is a commutative ring spectrum: in fact, even an  $E_\infty$  ring spectrum because  $\mathbb{C}\mathbb{P}^\infty$  was a strictly commutative topological monoid. The claim is going to be that we can build  $K$  from this spectrum.

The first thing to check is whether  $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty$  is complex-oriented, because  $K$ -theory is. To give a complex orientation, we have to give a map

$$\Sigma^{-2} \mathbb{C}\mathbb{P}^\infty \rightarrow \Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty$$

which restricts to the unit map on  $\Sigma^{-2} \mathbb{C}\mathbb{P}^1 \simeq S^0$ . Now, we don't quite have this, but we do definitely have a map

$$\mathbb{C}\mathbb{P}^\infty \rightarrow \Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty$$

which restricts on  $\mathbb{C}\mathbb{P}^1$  to a certain element  $\beta \in \pi_2(\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty)$ . (In fact, we have a stable splitting  $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty \simeq S \oplus \Sigma^\infty \mathbb{C}\mathbb{P}^\infty$ .)

This is in the wrong degree to be a complex orientation; however, if  $\beta$  were invertible, then we would get a complex orientation. In fact, since  $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty$  is an  $E_\infty$ -ring spectrum, there is a good theory of localization, and we can formally invert  $\beta$  to give an  $E_\infty$ -ring spectrum  $R[\beta^{-1}]$  together with a map of  $E_\infty$ -ring spectra  $R \rightarrow R[\beta^{-1}]$ . Then we have:

**Theorem 1** (Snaith [3]).  $K$ -theory, as a ring spectrum, is the localization  $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty[\beta^{-1}]$ .

The proof here is not the original proof; I learned this argument from Michael Hopkins (though any errors are mine).

### 1. THE FORMAL GROUP LAW OF $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty[\beta^{-1}]$

To show that we get  $K$ -theory from Snaith's construction, let's look at the formal group law of the ring spectrum  $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty[\beta^{-1}]$ : this is the key observation. For simplicity, let's write

$$R = \Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty, \quad R[\beta^{-1}] = \Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty[\beta^{-1}].$$

Then  $R[\beta^{-1}]$  is complex-oriented, via the map

$$\mathbb{C}\mathbb{P}^\infty \rightarrow R \rightarrow R[\beta^{-1}],$$

which gives an element of  $R[\beta^{-1}]^0(\mathbb{C}\mathbb{P}^\infty)$  whose restriction to  $S^2$  is an invertible element of  $\pi_* R[\beta^{-1}]$ .

Let  $x$  be the identity map  $x : \Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty \rightarrow R$ . Then the complex orientation corresponds to  $x - 1$  under the stable splitting  $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty = S \oplus \Sigma^\infty \mathbb{C}\mathbb{P}^\infty$ . Here 1 means the unit map  $S \rightarrow \Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty$ .

**Proposition 1.** The formal group law of  $R[\beta^{-1}]$  is the multiplicative one.

*Proof.* To see this, we have to compute the pull-back

$$\mathbb{C}\mathbb{P}^\infty \times \mathbb{C}\mathbb{P}^\infty \xrightarrow{m} \mathbb{C}\mathbb{P}^\infty \rightarrow R \rightarrow R[\beta^{-1}].$$

But we have a commutative diagram:

$$\begin{array}{ccc} (\mathbb{C}\mathbb{P}_+^\infty) \wedge (\mathbb{C}\mathbb{P}_+^\infty) & \xrightarrow{x \wedge x} & R \wedge R, \\ \downarrow m_+ & & \downarrow \\ \mathbb{C}\mathbb{P}_+^\infty & \xrightarrow{x} & R \end{array}$$

from the *definition* of the product structure on the ring spectrum  $R$ . In other words, the pull-back of the map  $\mathbb{C}\mathbb{P}_+^\infty \rightarrow R$  to  $\mathbb{C}\mathbb{P}_+^\infty \wedge \mathbb{C}\mathbb{P}_+^\infty$  is just the *product*, i.e.

$$m_+^*(x) = p_1^*(x)p_2^*(x) \in R^*(\mathbb{C}\mathbb{P}^\infty).$$

This works just as well in  $R[\beta^{-1}]$ . Since the complex orientation on  $R[\beta^{-1}]$  corresponds to  $x - 1$ , we find

$$m_+^*(x - 1) = p_1^*(x)p_2^*(x) - 1 = p_1^*(x - 1)p_2^*(x - 1) + p_1^*(x - 1) + p_2^*(x - 1);$$

in other words, the formal group law of  $R[\beta^{-1}]$  is as claimed.  $\square$

We don't yet know what the coefficient ring of  $R[\beta^{-1}]$  is, though. Note that if we use the convention that a complex orientation lives in  $R[\beta^{-1}]^2(\mathbb{C}\mathbb{P}^\infty)$ , then the formal group law becomes  $x + y + \beta xy$ .

## 2. $\pi_*R[\beta^{-1}]$ IS TORSION-FREE AND EVENLY GRADED

Classical  $K$ -theory is complex oriented and even-periodic, and comes with a map of ring spectra

$$MU \rightarrow K$$

classifying the multiplicative formal group law  $f(x, y) = x + y + txy$  as well, over  $\pi_*K \simeq \mathbb{Z}[t, t^{-1}]$  (or the multiplicative formal group law  $f(x, y) = x + y + xy$  over  $\pi_0K \simeq \mathbb{Z}$  if one uses even periodicity). Landweber exactness gives an isomorphism for every spectrum  $X$ ,

$$K_*(X) \simeq MU_*(X) \otimes_{\pi_*MU} \pi_*K.$$

As we saw in the previous section,  $R[\beta^{-1}]$  is also complex oriented (via a map  $MU \rightarrow R[\beta^{-1}]$ ) classifying the multiplicative formal group law  $x + y + \beta xy$ , and we would like to use Landweber exactness again of the multiplicative formal group law to write

$$(R[\beta^{-1}])_*(X) \simeq MU_*(X) \otimes_{\pi_*MU} \pi_*R[\beta^{-1}]$$

for any spectrum  $X$ . Unfortunately, we can't do this: we don't know that  $\pi_*R[\beta^{-1}]$  is torsion-free, so we can't apply the exact functor theorem to  $R[\beta^{-1}]$  yet.

So we need a result:

**Proposition 2.**  $\pi_*R[\beta^{-1}]$  is torsion-free, and  $\pi_{\text{odd}}R[\beta^{-1}] = 0$ .

The latter statement implies that  $R[\beta^{-1}]$  is *even periodic*.

*Proof.* The strategy here is to compute  $\pi_*K \wedge R[\beta^{-1}]$  and to use the Landweber-exactness of  $K$ . Namely,

$$\pi_*K \wedge \mathbb{C}\mathbb{P}_+^\infty \simeq K_*(\mathbb{C}\mathbb{P}^\infty) \simeq \mathbb{Z}[t, t^{-1}] \{\alpha_0, \alpha_1, \alpha_2, \dots\}, \quad \deg \alpha_i = 2i$$

is a free module on  $\pi_*K \simeq \mathbb{Z}[t, t^{-1}]$ , because  $K$  is complex-oriented. We can even get the algebra structure because it is (pre)dual to the coalgebra structure on  $K^*(\mathbb{C}\mathbb{P}^\infty)$ . Localizing at  $\beta$ , we find that  $\pi_*K \wedge R[\beta^{-1}]$  is itself torsion-free.

Now we want to go from here to concluding that  $\pi_*R[\beta^{-1}]$  is torsion-free. In fact, we have an isomorphism given by Landweber exactness (of  $K$ -theory)

$$K_*(R[\beta^{-1}]) \simeq MU_*(R[\beta^{-1}]) \otimes_{\pi_*MU} \pi_*K.$$

The ring  $MU_*R[\beta^{-1}]$  is given by  $(\pi_*R[\beta^{-1}])[b_1, b_2, \dots]$ : that is, it classifies the formal group law which is obtained from the multiplicative group law on  $\pi_*R[\beta^{-1}]$  by a universal strict change of coordinates  $x + b_1x^2 + \dots$ , i.e. the map  $\pi_*MU \rightarrow MU_*R[\beta^{-1}]$  classifies this formal group law.

It follows from the tensor product description that  $K_*(R[\beta^{-1}])$  is the ring classifying (on  $\pi_*R[\beta^{-1}]$  algebras) an isomorphism of the multiplicative formal group law. More precisely, we can say that, given a graded-commutative ring  $C_*$ , to give a map  $K_*(R[\beta^{-1}]) \rightarrow C_*$  is equivalent to giving maps (of graded-commutative rings)  $\pi_*R[\beta^{-1}] \rightarrow C_*$  and  $\mathbb{Z}[t, t^{-1}] \rightarrow C$ , together with a sequence of elements (of appropriate even degree)  $b_1, b_2, \dots \in C_*$  which give a *strict isomorphism*  $x + b_1x^2 + \dots$  between the two formal group laws  $x + y + \beta xy$  and  $x + y + txy$  over  $C_{\text{even}}$ . In particular, we find that, as graded-commutative rings,

$$K_*(R[\beta^{-1}]) \simeq MU_*(K) \otimes_{\pi_*MU} \pi_*R[\beta^{-1}],$$

since the latter ring also has an equivalent description. Since we know that the left-hand-side is torsion-free and concentrated in even degrees, we want to conclude the same about  $\pi_*R[\beta^{-1}]$ .

We will prove this prime by prime. Fix a prime  $p$ . Then we have an isomorphism  $K_*(R[\beta^{-1}])_{(p)} \simeq MU_*(K)_{(p)} \otimes_{\pi_*MU_{(p)}} \pi_*R[\beta^{-1}]_{(p)}$ . Note that the two maps  $\pi_*MU_{(p)} \rightarrow MU_*(K)_{(p)}$ ,  $\pi_*MU_{(p)} \rightarrow \pi_*R[\beta^{-1}]_{(p)}$  have the property that they invert the element  $v_1$  (which is the coefficient of  $x^p$  in the  $p$ -series of the formal group law), as they classify the multiplicative formal group laws. It follows that

$$(1) \quad K_*(R[\beta^{-1}])_{(p)} \simeq MU_*(K)_{(p)} \otimes_{\pi_*MU_{(p)}[v_1^{-1}]} \pi_*R[\beta^{-1}]_{(p)}.$$

**Lemma 1.** The map  $\pi_*MU_{(p)}[v_1^{-1}] \rightarrow MU_*(K)_{(p)}$  is faithfully flat.

*Proof.* Write  $L = \pi_*MU$  for the Lazard ring. Then the map  $L \rightarrow MU_*(K)$  classifies the formal group law obtained from the multiplicative formal group law  $f(x, y) = x + y + txy$  via a universal strict change of coordinates  $x + b_1x^2 + \dots$ . Alternatively, it classifies the formal group law obtained from the multiplicative formal group law  $x + y + xy$  via a universal (not necessarily strict) change of coordinates  $t(x + b_1x^2 + \dots)$  where  $t$  is invertible. Another way of saying this is that we have a pull-back square

$$\begin{array}{ccc} \text{Spec}MU_*(K) & \longrightarrow & \text{Spec}L, \\ \downarrow & & \downarrow \\ \text{Spec}\mathbb{Z} & \longrightarrow & M_{FG} \end{array}$$

where  $M_{FG}$  is the moduli stack of formal groups.<sup>1</sup> The horizontal bottom map classifies the multiplicative formal group  $x + y + xy$  over  $\mathbb{Z}$ .

If we localize at  $p$ , we get a pull-back square

$$\begin{array}{ccc} \text{Spec}MU_*(K)_{(p)} & \longrightarrow & \text{Spec}L_{(p)}, \\ \downarrow & & \downarrow \\ \text{Spec}\mathbb{Z}_{(p)} & \longrightarrow & M_{FG} \times_{\text{Spec}\mathbb{Z}} \text{Spec}\mathbb{Z}_{(p)} \end{array},$$

and the map  $\text{Spec}\mathbb{Z}_{(p)} \rightarrow M_{FG} \times_{\text{Spec}\mathbb{Z}} \text{Spec}\mathbb{Z}_{(p)}$  factors through the open substack of  $M_{FG} \times_{\text{Spec}\mathbb{Z}} \text{Spec}\mathbb{Z}_{(p)}$  given by the invertibility of  $v_1$ . So we have another pull-back square

$$\begin{array}{ccc} \text{Spec}MU_*(K)_{(p)} & \longrightarrow & \text{Spec}L_{(p)}[v_1^{-1}], \\ \downarrow & & \downarrow \\ \text{Spec}\mathbb{Z}_{(p)} & \longrightarrow & (M_{FG} \times_{\text{Spec}\mathbb{Z}} \text{Spec}\mathbb{Z}_{(p)})[v_1^{-1}] \end{array},$$

and consequently, the assertion of the lemma will follow if we show that

$$\text{Spec}\mathbb{Z}_{(p)} \rightarrow (M_{FG} \times_{\text{Spec}\mathbb{Z}} \text{Spec}\mathbb{Z}_{(p)})[v_1^{-1}]$$

is faithfully flat. However, the map is flat (essentially by Landweber exactness), and it is faithfully flat because there is a unique ‘‘point’’ of the stack  $(M_{FG} \times_{\text{Spec}\mathbb{Z}} \text{Spec}\mathbb{Z}_{(p)})[v_1^{-1}]$  (in view of Lazard’s classification of formal groups over an algebraically closed field). This proves the lemma.  $\square$

For this point of view on  $M_{FG}$  and Landweber exactness, see [2] and [1].

Anyway, we now find from the isomorphism (1) and the faithful flatness proved in the lemma that in fact,  $\pi_*R[\beta^{-1}]_{(p)}$  must be torsion-free and concentrated in even degrees. This completes the proof.  $\square$

<sup>1</sup>Not formal group laws!

## 3. COMPLETION OF THE PROOF

In the previous section, we showed that the ring spectrum  $R[\beta^{-1}]$  was complex-oriented and even periodic, with no torsion in its homotopy groups. From this, it will be straightforward to show that it must be  $K$ .

We can produce a map of ring spectra

$$R \rightarrow K$$

by using the virtual bundle on  $\mathbb{C}\mathbb{P}_+^\infty$  given by  $\mathcal{O}(1)$  on  $\mathbb{C}\mathbb{P}^\infty$  and 0 on  $*$ , to define the map  $\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty \rightarrow K$ . In other words, it is the map

$$\Sigma^\infty \mathbb{C}\mathbb{P}_+^\infty \simeq S \oplus \Sigma^\infty \mathbb{C}\mathbb{P}^\infty \rightarrow K$$

sending  $S$  to  $K$  via the unit and  $\Sigma^\infty \mathbb{C}\mathbb{P}^\infty$  to  $K$  via  $\mathcal{O}(1) - 1$ . Alternatively, it is given by the element of  $K^*(\mathbb{C}\mathbb{P}^\infty)$  classified by  $\mathcal{O}(1)$ . Note that the pull-back of  $\mathcal{O}(1)$  to  $\mathbb{C}\mathbb{P}^\infty \times \mathbb{C}\mathbb{P}^\infty$  under the multiplication map  $m : \mathbb{C}\mathbb{P}^\infty \times \mathbb{C}\mathbb{P}^\infty \rightarrow \mathbb{C}\mathbb{P}^\infty$  is precisely  $p_1^* \mathcal{O}(1) \otimes p_2^* \mathcal{O}(1)$ . This implies that  $R \rightarrow K$  is a morphism of ring spectra.

This is in fact a map of *complex-oriented* ring spectra, and, since it sends  $\beta$  to the (invertible) Bott element in  $\pi_2 K$ , it factors through a map of complex-oriented ring spectra<sup>2</sup>

$$\phi : R[\beta^{-1}] \rightarrow K.$$

To prove Snaith's theorem, we need to see that it is an equivalence. That is, we need to show that it is an isomorphism on  $\pi_*$ . We know that  $\phi_* : \pi_* R[\beta^{-1}] \rightarrow \pi_* K$  is a morphism of torsion-free rings. Now  $\pi_0 R[\beta^{-1}] \simeq \mathbb{Z}$  (to see this, one localizes at  $\mathbb{Q}$  after which the result is immediate as  $\pi_* R \otimes \mathbb{Q} \simeq H_*(\mathbb{C}\mathbb{P}^\infty; \mathbb{Q})$ , and one notes that there is a map  $\pi_0 R[\beta^{-1}] \rightarrow \pi_0 K \simeq \mathbb{Z}$ ). Consequently, the map  $\pi_0 R[\beta^{-1}] \rightarrow \pi_0 K$  must be an isomorphism, and thus  $R[\beta^{-1}] \rightarrow K$  is a weak equivalence by periodicity and  $\pi_{\text{odd}} R[\beta^{-1}] = 0$ . This completes the proof of Snaith's theorem.

## REFERENCES

1. Michael Hopkins, *Complex oriented cohomology theories and the language of stacks*, Lecture notes available at <http://www.math.rochester.edu/u/faculty/doug/otherpapers/coctalos.pdf>.
2. Jacob Lurie, *Chromatic homotopy theory*, Lecture notes available at <http://math.harvard.edu/~lurie/252x.html>.
3. Victor Snaith, *Localized stable homotopy of some classifying spaces*, Math. Proc. Cambridge Philos. Soc. **89** (1981), no. 2, 325–330. MR 600247 (82g:55006)

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<sup>2</sup>In fact,  $R[\beta^{-1}]$  as a spectrum is the homotopy colimit  $R \xrightarrow{\beta} \Sigma^{-2} R \xrightarrow{\beta} \dots$  and the map  $R \rightarrow K$  uniquely extends to the whole diagram, and thus to the homotopy colimit as  $K^{\text{odd}}(R) = 0$ . One sees that it is a morphism of ring spectra similarly.