

$\mathcal{N} = 2$ Dualities and 2d TQFT

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with L. Rastelli, S. Razamat and W. Yan

arXiv:0910.2225

arXiv:1003.4244

arXiv:1104.3850

arXiv:1110.3740

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A new paradigm for 4d $\mathcal{N} = 2$ SCFTs [Gaiotto, ...]

Compactify of the 6d $(2, 0)$ A_{N-1} theory on a 2d surface Σ , with punctures.
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Vast generalization of “ $\mathcal{N} = 4$ S-duality as modular group of T^2 ”.

6=4+2: beautiful and unexpected 4d/2d connections. For ex.,

- Correlators of Liouville/Toda on Σ compute the 4d partition functions (on S^4)

In this talk we will discuss the implications of another interesting connection:

- A protected 4d quantity, the **superconformal index**, is computed by **topological QFT** on Σ .

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Superconformal Index

= twisted partition function on $S^3 \times S^1$

= Witten index in radial quantization

- Independent of the gauge theory coupling and invariant under S-duality.
- Independent of coupling = Independent of complex structure on $\Sigma \implies$ 2d Topological QFT
- It encodes the protected spectrum of the 4d theory. Useful tool to understand physics.

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Our aim: To compute the superconformal index of these $\mathcal{N} = 2$ theories (even the strongly coupled ones) by exploiting TQFT structure.

Outline

- 1 Short review of superconformal index
- 2 2d TQFT and orthogonal polynomials
 - TQFT structure
 - Example: Hall-Littlewood polynomials
- 3 Results and Applications
 - Large N limit
 - Instanton partition function

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The Superconformal Index [Romelsberger; Kinney, Maldacena, Minwalla, Raju 2005]

- The SC index is the Witten index

$$\mathcal{I} = \text{Tr}(-1)^F e^{-\beta H + M}$$

Here M is a generic combination of charges (weighted by chemical potentials) which commutes with S and Q .

- States with $H > 0$ come in pairs, **boson + fermion**, and cancel out, so \mathcal{I} is β -independent.

The SC Index counts (with signs) the (semi)short multiplets, up to equivalence relations that sets to zero $\oplus_i \text{Short}_i = \text{Long}$.

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- The superconformal algebra implies (taking $Q = \bar{Q}_{1\dot{-}}$)

$$2\{S, Q\} = \Delta - 2j_2 - 2R + r \equiv H \geq 0.$$

where Δ is the conformal dimension, (j_1, j_2) the $SU(2)_1 \otimes SU(2)_2$ Lorentz spins, and (R, r) the quantum numbers under the $SU(2)_R \otimes U(1)_r$ R-symmetry.

$$\mathcal{I}(p, q, t, \dots) = \text{Tr}(-1)^F p^{j_1+j_2-r} q^{-j_1+j_2-r} t^{R+r} \dots$$

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The Index as a Matrix Integral

If the theory has **Lagrangian** description there is a simple recipe to compute the index.

- One defines a **single-letter** partition function as the index evaluated on the set of the basic objects (letters) in the theory with $H = 0$ and in a definite representation of the gauge and flavor groups:

$$f^{\mathcal{R}_j}(p, q, t),$$

where \mathcal{R}_j labels the representation.

- Then the index is computed by enumerating the gauge-invariant words,

$$\mathcal{I}(p, q, t, \mathbf{V}) = \int [d\mathbf{U}] \exp \left(\sum_{n=1}^{\infty} \frac{1}{n} \sum_j f^{\mathcal{R}_j}(p^n, q^n, t^n) \cdot \chi_{\mathcal{R}_j}(\mathbf{U}^n, \mathbf{V}^n) \right),$$

Here \mathbf{U} is the matrix of the gauge group, \mathbf{V} the matrix of the flavor group and \mathcal{R}_j label representations of the fields under the flavor and gauge groups.

- $\chi_{\mathcal{R}_j}(\mathbf{U})$ is the character of the group element in representation \mathcal{R}_j .
- The measure of integration $[d\mathbf{U}]$ is the invariant Haar measure.

$$\int [d\mathbf{U}] \prod_{j=1}^n \chi_{\mathcal{R}_j}(\mathbf{U}) = \# \text{of singlets in } \mathcal{R}_1 \otimes \cdots \otimes \mathcal{R}_n.$$

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Any punctured Riemann surface can be obtained by gluing pair of pants in more than one way.

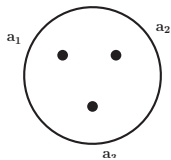
- Building blocks: 3-punctured sphere \Leftrightarrow 4d SCFT T_N with $SU(N)^3$ flavor symmetry
 - T_2 : Free hypermultiplet
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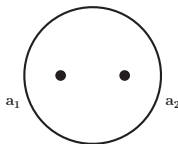
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$$: I(\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)$$



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- 4 punctured sphere:

$$I(\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3, \mathbf{a}_4) = \oint [d\mathbf{a}] [d\mathbf{b}] I(\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}) \eta(\mathbf{a}, \mathbf{b}) I(\mathbf{b}, \mathbf{a}_3, \mathbf{a}_4)$$

- S duality $\Rightarrow I(\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3, \mathbf{a}_4)$ is invariant under permutations of \mathbf{a}_i .

Discrete basis

- 3 pt function:

$$I(\mathbf{a}, \mathbf{b}, \mathbf{c}) = \sum_{\alpha, \beta, \gamma} C_{\alpha\beta\gamma} f^\alpha(\mathbf{a}) f^\beta(\mathbf{b}) f^\gamma(\mathbf{c})$$

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- $C_{\alpha\beta\gamma} \equiv [N_\alpha]_\beta^\gamma$ Associativity $\implies [N_\alpha, N_\beta] = 0$.
 Simultaneously diagonalize N_α !

Discrete basis

Conclusion: Choose $f^\alpha(\mathbf{a})$ s.t.

$$\begin{aligned}\eta^{\alpha\beta} &= \delta^{\alpha\beta} \\ C_{\alpha\beta\gamma} &= C_{\alpha\alpha\alpha} \delta_{\alpha\beta}\delta_{\beta\gamma}!\end{aligned}$$

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$$f^\alpha(a)_{SU(2)} \longrightarrow f^\alpha(\mathbf{a})_{SU(N)}$$

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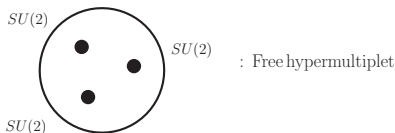
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Example: Hall-Littlewood polynomial

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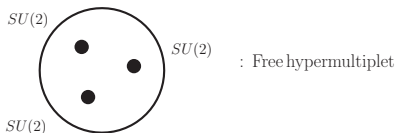


$$\begin{aligned}
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 &\sim \sum_{\lambda=0}^{\infty} \frac{1}{P_{\lambda}^{HL}(t^{\frac{1}{2}}, t^{-\frac{1}{2}}|t)} \prod_{i=1}^3 P_{\lambda}^{HL}(a_i, a_i^{-1}|t) \\
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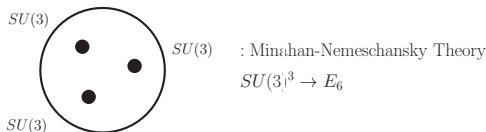
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- Immediate nontrivial result: $SU(2) \rightarrow SU(3)$

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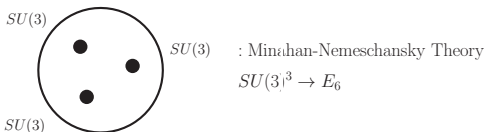


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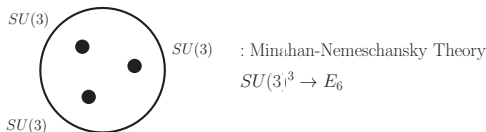
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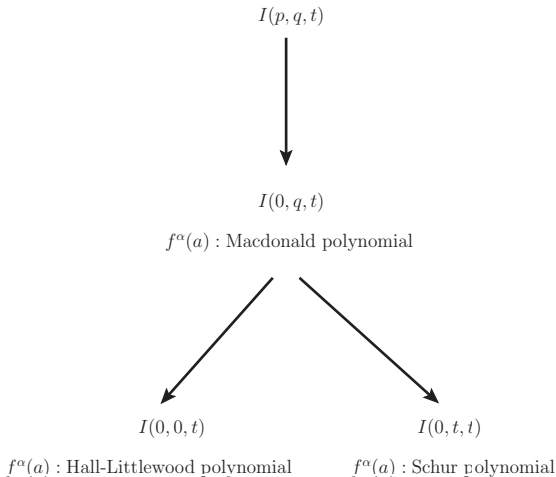
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- $SU(3)^3$ flavor symmetry is enhanced: $SU(3)^3 \rightarrow E_6!$
- This expression agrees with the index of E_6 theory obtained from Argyres-Seiberg duality [\[AG,Rastelli,Razamat,Yan\]](#)

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Summary of results



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Large N limit

$\mathcal{N} = 4$ SYM:

- 1/16 BPS states in $\mathcal{N} = 4$ SYM \Leftrightarrow gravitons, giant gravitons (D branes), black holes [Gutowski,Reall] in $AdS_5 \times S^5$
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Large class of $\mathcal{N} = 2$ theories:

- Large N limit of the index of the 4d theory corresponding to the genus g surface:

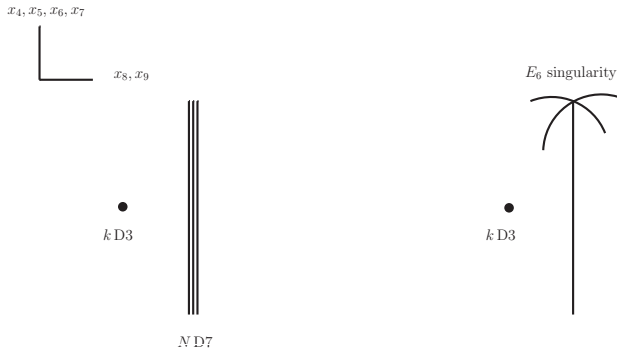
$$\mathcal{I}_g^{N \rightarrow \infty} = \prod_{j=2}^{\infty} (1 - t^j)^{g-1}$$

- Index of all the $\mathcal{N} = 2$ theories is also independent of N in the large N limit
- Puzzle: what is the reason for this general mysterious cancellation?

Outline

- 1 Short review of superconformal index
- 2 2d TQFT and orthogonal polynomials
 - TQFT structure
 - Example: Hall-Littlewood polynomials
- 3 Results and Applications
 - Large N limit
 - Instanton partition function

Instanton partition function



- Higgs branch of k D3 = Instanton moduli space of k instantons
- Index of rank k E_6 theory = k E_6 instanton partition function
- Index of T_3 theory = partition function of single E_6 instanton

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- I expect one can play similar games in other dimensions and with
other exact observables

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Thank You!!