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## G-SMOOTHING THEORY

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**Introduction.** If G is a finite group then smoothing theory [L3] for topological manifolds can be carried over to G-smoothing theory for G-manifolds with only small changes.

- 1. Replace vector bundles by G-vector bundles. A G-vector bundle is a vector bundle  $p: E \to X$  such that E and X are G-spaces and p and the 0-section s are G-maps, and G acts on E through vector bundle maps. The tangent bundle of a smooth G-manifold is a G-vector bundle.
- 2. Replace  $R^n$  bundles by G- $R^n$  bundles. If M is a G-manifold then the tangent microbundle is a G-microbundle. However to prove a G-Kister theorem that G-microbundles contain G- $R^n$  bundles unique up to equivalence one needs to know that the G-microbundle is locally equivalent to a G-vector bundle. This will be true if M is locally G-smoothable in the sense of Bredon [B1]. It is easy to show that a G-manifold M is locally smoothable if its tangent microbundle is locally linear. A standard construction gives classifying spaces for G-vector bundles and G-locally linear  $R^n$  bundles.
- 3. G-isotopy extension theorem. Let M be a locally smoothable G-manifold and  $K \subset M$  a G-invariant compact subspace. Consider the semisimplicial complex E(K, M) of G-embeddings  $f: \Delta^i \times U \to \Delta^i \times M$ , f commuting with projection on the i-simplex  $\Delta^i$ , when U is a G-neighborhood of K and we identify f and f':  $\Delta^i \times U' \to \Delta^i \times M$  if they agree on some smaller neighborhood of K. Then f: Homeo(f) Homeo(f) is a fibration, where f(f) is the f-complex of f-homeomorphisms of f. This may be proved using the work of Siebenmann [S1].
- 4. G-immersion theorem. Let M be a locally smoothable G-manifold. For  $H \subset G$  let  $M_{(H)} = (x \in M | G_x \text{ is conjugate to } H)$ . Let  $M^H = \text{fixed point set under } H$ .  $M^H$  is a locally flat submanifold. Let  $M^{(H)} = GM^H$ .

LEMMA. Let  $(H_j)_{j\in J}$  be the orbit types of M. For each  $j\in J$ , let  $[M_i^j|\ i\in I(j)]$  be the  $\overline{AMS\ (MOS)\ subject\ classifications}$  (1970). Primary 57E10, 57E15, 57D10; Secondary 57A30, 57A35, 57A55, 55F35.

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G-components of  $M^{(H_j)}$ . The minimal elements of this partially ordered set under inclusion are the topologically closed G-components of the  $M_{(H_j)}$ , and hence are G-submanifolds.

DEFINITION (BIERSTONE). A smooth G-manifold is said to have a good handle-bundle decomposition if there is a normal direction in each handle bundle on which G acts trivially.

THEOREM (BIERSTONE). A smooth G-manifold has a good handle-bundle decomposition if and only if the minimal elements of the (M!) are nonclosed (as manifolds).

DEFINITION. If a locally smooth G-manifold M has nonclosed minimal elements in  $(M_i^j)$ , we say that M satisfies the *Bierstone condition*. Bierstone [B2] proves a G-Gromov Theorem for smooth G-manifolds satisfying the Bierstone condition. In particular, he proves

THEOREM.  $I(M^n, N^n) \to {}^d R(TM, TN)$  is a homotopy equivalence when  $M^n$  satisfies the Bierstone condition, I(M, N) is the space of G-immersions and R(TM, TN) is the space of G-vector bundle maps.

A topological version of this is true for locally smoothable G-manifolds where R(TM, TN) is G- $R^n$  bundle maps and the spaces are treated semisimplicially. This uses the G-isotopy extension theorem above.

5. G-smoothing up to sliced concordance. As in the case G = (e), the immersion theory has the immediate consequence that if M is a locally smoothable G-manifold satisfying the Bierstone condition, then the sliced concordance classes of G-smoothings of M are in 1-1 correspondence with the isotopy classes of reductions of its tangent bundle to a G-vector bundle. Two smoothings  $M_{\alpha}$ ,  $M_{\beta}$  of M are said to be sliced concordant if there exists a smoothing  $(M \times I)_{\gamma}$  of  $M \times I$  such that the projection  $II_2$  on I is a submersion (and hence  $II_2^{-1}(t)$  is a smooth submanifold for each  $t \in I$ ) and  $(M \times 0)_{\gamma} = M_{\alpha}$ ,  $(M \times 1)_{\gamma} = M_{\beta}$ .

Gerald Anderson [A1] also outlines a proof of the result along the same lines but he does not mention the G-isotopy extension theorem and may have a different argument in mind.

6. G-engulfing theorem. In order to pass from a smoothing theory up to sliced concordance to a general smoothing theory up to isotopy, one uses an engulfing lemma (this is where the restriction  $n \neq 4$  comes into ordinary smoothing theory).

THEOREM. Let  $M^n$  be a compact smooth G-manifold and  $h:M \times R \to V^{n+1}$  be a G-homeomorphism onto a smooth G-manifold such that  $h|M \times 0$  and  $h|\partial M \times R$  are smooth. Then if for each  $H \subset G$ , dim  $V^H \neq 4$ , there is a G-diffeomorphism  $f: M \times R \to V$  such that  $f|M \times 0 = h|M \times 0$  and  $f|\partial M \times R = h|\partial M \times R$ .

## 7. G-smoothing theorem.

THEOREM. Let  $M^n$  be a locally smoothable G-manifold such that for any  $H \subset G$ , dim  $M^H \neq 4$ . Then the G-isotopy classes of G-smoothings of M are in 1-1 correspondence with the isotopy classes of reductions of the tangent bundle to a G-vector bundle. (If  $\partial M \neq \emptyset$ , we need dim $(\partial M)^H \neq 4$ .)

In terms of classifying spaces we have

where t and  $\tilde{t}$  are G-maps. Thus our problem reduces to G-obstruction theory. This comes down to studying the obstructions to lifting the map on fixed point sets for  $H \subset G$ .

 $[B \operatorname{Top}_n(G)]^H$  is the disjoint union of  $B(\operatorname{Top}_n^\rho)$ , where  $\rho: H \to O(n)$  is a representation and  $\operatorname{Top}_n^\rho \subset \operatorname{Top}_n$  is the subgroup of homeomorphisms commuting with  $\rho(h)$ ,  $h \in H$ . The sum is over one  $\rho$  from each  $\operatorname{Top}_n$  equivalence class of representations. The fibre of  $p_n^H: [BO_n(G)]^H \to [B \operatorname{Top}_n(G)]^H$  above  $x \in B \operatorname{Top}_n^\rho$  is the disjoint union of  $\operatorname{Top}_n^\rho / O_n^\rho$  when  $\rho^1$  is  $\operatorname{Top}_n$  equivalent to  $\rho$  and we pick one  $\rho^1$  from each  $O_n$  equivalence class. For groups H of odd prime power order, Schultz has shown that  $\operatorname{Top}_n$  equivalence implies  $O_n$  equivalence and hence  $(p_n^H)^{-1}(x) = \operatorname{Top}_n^\rho / O_n^\rho$ . In any case, our obstructions lie in the homotopy groups of  $\operatorname{Top}_n^\rho / O_n^\rho$ ,  $\rho: H \to O(n)$ .

8.  $\pi_i \operatorname{Top}_n^{\rho}/O_n^{\rho}$ ,  $\rho$  semifree. We consider a stable representation  $\alpha: H \to O_n \subset O_{n+1} \subset \cdots \subset O_{n+k}$ , where the action of H on  $S^{n-1}$  is free.

THEOREM. There are fibrations (up to homotopy equivalence):

- $(1)\ P^{\alpha}(S^{n+k}) \to \mathsf{Top}_{n+k}^{\alpha}/O_{n+k}^{\alpha} \to \mathsf{Top}_{n+k+1}^{\alpha}/O_{n+k+1}^{\alpha},$
- (2)  $P^{\alpha}(S^{n+k} \mod S^k) \to \operatorname{Top}_{n+k}^{\alpha}/\operatorname{Top}_k \to \operatorname{Top}_{n+k+1}^{\alpha}/\operatorname{Top}_{k+1}$ ,
- (3)  $P^{\alpha}(S^{n+k} \mod S^k) \rightarrow P^{\alpha}(S^{n+k}) \rightarrow P(S^k)$ ,

where  $P^{\alpha}(S^{n+k}) \subset P(S^{n+k})$  is the subgroup of pseudoisotopies (or concordances) which commute with  $\alpha$ .

THEOREM (D. ANDERSON AND W. C. HSIANG).

$$P^{\alpha}(S^{n+k} \mod S^k) \simeq P_b(L \times R^{k+1}),$$

where  $P_b(L \times \mathbb{R}^{k+1})$  is the group of bounded pseudoisotopies of  $L \times \mathbb{R}^{k+1}$ ,  $L = S^{n-1}/\alpha$ .

THEOREM (D. ANDERSON AND W. C. HSIANG [A2]). For  $n + k \ge 6$ ,  $I = I_1(L)$ .

REMARKS. For II Abelian,  $K_{-S}[Z(II)] = 0$  for S > 1.

For II Abelian + prime power order,  $K_{-1}[Z(II)] = 0$ .

For II cyclic of order p,  $K_0[Z(II)] =$ class group of  $Q(e^{2\pi i/p})$ .

For II finite  $\tilde{K}_0[Z(II)]$  is finite.

For II free Abelian  $K_0[Z(II)] = 0$ .

The above results show that to compute  $II_i Top_{n+k}^{\alpha}/O_{n+k}^{\alpha}$ , at least up to extensions, one can either compute  $II_i Top_n^{\alpha}/O_n^{\alpha}$  and work backwards or begin at  $II_i Top_n^{\alpha}/O_n^{\alpha}$  and work up. We have had some success in both approaches, but before stating the results we first note an immediate consequence for stability of G-smoothings.

9. Stability theorems.

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DEFINITION. Let M be a G-manifold. M is said to have a spine of codim  $\geq r$  if the cohomological dimension of  $M^H/G \leq \dim M^H - r$ .

Example 1.  $M \times R^r$ , where G acts trivially on  $R^r$ , has a spine of codim r.

EXAMPLE 2. If  $M^H$  and  $\partial M^H$  are noncompact for all  $H \subset G$ , then M has a spine of codim  $\geq 1$ .

DEFINITION. Let  $\mathcal{S}_G(M)$  be the isotopy classes of G-smoothings of M. Let  $\bar{\mathcal{S}}_G(M)$  be the isotopy classes of stable G-smoothings, i.e., of  $M \times R^s$ , s arbitrarily large. Let  $M_0 = M - \partial M$ .

Consider the following statements:

 $A_r$ : M spine codim r then  $\mathcal{S}_G(M) \to \bar{\mathcal{S}}_G(M)$  is epi,

 $B_r$ : M spine codim r then  $\mathcal{S}_G(M) \to \bar{\mathcal{S}}_G(M)$  is bijective,

 $C_r$ : M spine codim r then  $\mathcal{S}_G(M) \to \bar{\mathcal{S}}_G(M_0)$  is epi.

THEOREM. Let M be a locally smoothable G-manifold, G finite and acting semi-freely. Then if dim  $M - \dim M^G \neq 2$  and dim  $M^G \geq 5$ :

- (1) If G is finite Abelian, then  $A_2$ ,  $B_3$ ,  $C_2$  are true.
- (2) If further G is of prime power order, then  $A_1$ ,  $B_2$ ,  $C_1$  are true.
- (3) If further G is of prime order and the class group of  $Z[e^{2\pi i/\sigma(G)}] = 0$  then  $A_0$ ,  $B_1$ ,  $C_0$  are true.
  - (4) If  $G = Z_2$ ,  $Z_3$  then  $A_0$ ,  $B_0$ ,  $C_0$  are true.
  - 10. Computation of  $I_i$  Top $_{n+k}^{\alpha}$ . First note that

$$\Pi_i \operatorname{Top}_{n+k}^{\alpha} \simeq \Pi_i \operatorname{Top}_k \oplus \Pi_i \operatorname{Top}_{n+k}^{\alpha}/\operatorname{Top}_k.$$

Write  $T_{n,k}^{\alpha} = \operatorname{Top}_{n+k}^{\alpha} / \operatorname{Top}_{k}$ . Then

(a) One has an exact sequence,  $n + k \ge 6$ ,  $k \ge 0$ :

$$\begin{array}{ll} 0 \to I\!\!I_{k+1} \tilde{A}(L) \to I\!\!I_{k+1} T^{\alpha}_{n+1,\ k+1} \to W h_1(I\!\!I) \to I\!\!I_k T^{\alpha}_{n,\ k} \to I\!\!I_k T^{\alpha}_{n+1,\ k+1} \\ \to \tilde{K}_0 Z(I\!\!I) \to I\!\!I_{k-1} T^{\alpha}_{n,\ k} \to I\!\!I_{k-1} T^{\alpha}_{n+1,\ k+1} \to K_{-1}[Z(I\!\!I)] \to \cdots. \end{array}$$

Here  $\tilde{A}(L)$  = block automorphisms of  $L = S^{n-1}/\alpha$ . Also

$$\Pi_1(L) = \begin{cases}
H & \text{if } n \ge 3, \\
Z & \text{if } n = 2, \\
e & \text{if } n = 1.
\end{cases}$$

(b) For  $n + i \ge 5$ ,  $i \ge 1$ , there is an exact sequence [A3]  $(L_* = L \cup point)$ 

where  $\mathcal{H}(L)$  is the space of homotopy equivalences of L and  $\mathcal{L}_n^s(II)$  is the Wall group. Thus (a) and (b) determine  $II_i \operatorname{Top}_{n+k}^{\alpha}/\operatorname{Top}_k$ ,  $0 \le i \le k$ , up to extensions,  $n+k \ge 6$ . (For i=0, one needs a special argument.) For  $i \ge k+1$ , we have

$$\prod_{i} \operatorname{Top}_{n+k}^{\alpha} / \operatorname{Top}_{k} \simeq \prod_{i-k-1} A(L \times D^{k+1}),$$

where  $A(L \times D^{k+1})$  = homeomorphism of  $L \times D^{k+1}$  fixed on  $L \times S^k$ . Results of Hatcher and Wagoner give results on  $\Pi_0 A(L \times D^{k+1})$ . The higher homotopy groups are still unknown. However, for G-smoothing, G acting semifreely, this is sufficient.

11. Computation of  $\prod_i \operatorname{Top}^{\alpha}/O^{\alpha}$ , o(H) prime power.

THEOREM. If  $n + k \ge 8$  and  $k \ge 5$ , there exists an exact sequence

$$\rightarrow H_{n+k}(\tilde{K}_0) \rightarrow C_{\alpha}^{n+k} \rightarrow [S_{\alpha}^{n+k}, \text{Top}/O]_H \rightarrow H_{n+k-1}(K_0) \rightarrow \cdots$$

Here

- (a)  $[S_{\alpha}^{n+k}, \text{Top}/O] = \text{equivariant homotopy classes of base-pointed maps} = \text{stable } H\text{-smoothings of } S_{\alpha}^{n+k} \text{ which give standard } \alpha \text{ action on } D_{\alpha}^{n+k} \subset S_{\alpha}^{n+k}.$
- (b)  $W \in C_{\alpha}^{n+k}$  if W is a smooth H-manifold, W homeomorphic to  $S^{n+k}$ ,  $W^H$  homeomorphic to  $S^k$ . If  $x \in W^H$ , the action of H on  $W_x$  is given by  $\alpha$ . We can take the H-connected sum along the fixed point set. Identify W = 0 if  $W = \partial V$  where  $\partial V$  is homeomorphic to  $D^{n+1}$  and  $V^H$  is homeomorphic to  $D^{m+1}$ .  $C_{\alpha}^{n+k}$  is determined up to extensions by homotopy groups of spheres and Wall groups, etc. (Rothenberg [R1]).  $C_{\alpha}^{n+k}$  is finitely generated, rank is known if H is cyclic.
- (c) A differential  $d_i: \tilde{K}_0 \to \tilde{K}_0$  can be defined so that  $d_i d_{i+1} = 0$ . If o(H) is odd, it is conjectured that  $d_i(x) = x + (-1)^i x$ . If this is the case then

$$H_{\text{even}}(\tilde{K}_0) = \text{elements of order 2},$$
  
 $H_{\text{odd}}(\tilde{K}_0) = \tilde{K}_0/2\tilde{K}_0.$ 

THEOREM. There is an exact sequence

Thus  $\prod_k (\operatorname{Top}^{\alpha}/O^{\alpha})$  is finitely generated with rank = rank  $C_{\alpha}^{n+k}$ .

**1.** G-bundles. Let  $p: E \to X$  be a locally trivial bundle with fibre F and group A. p is called a G-bundle, or more precisely a G-A bundle if E and X are G-spaces, p is a G-map, and G acts on E through A-bundle maps. Two G-A bundles over X are called G-A equivalent if they are A-equivalent via a G-equivariant map.

EXAMPLE 1. A G-vector bundle of dimension n is simply a G- $L_n$  bundle,  $L_n$  the group of linear isomorphisms of  $\mathbb{R}^n$ .

If  $p: E \to X$  is a G-A bundle, G acts on the associated principal A-bundle P through bundle maps. That is, G acts on the left and A acts on the right of P and these actions commute. Conversely, if  $p: P \to X$  is a principal G-A bundle and A acts on the left of F, then  $E = P \times_A F$  is a G-A bundle with fibre F. Two G-A bundles with fibre F are G-A equivalent if and only if their associated principal G-A bundles are G-A equivalent.

In order to prove a covering homotopy property or to produce a classifying space for ordinary bundles the local triviality property is essential. For G-A bundles we will need a G-local triviality condition for the same purpose. Before defining this condition we recall the local structure of a completely regular G-space X (see Bredon [B1]): For any  $x \in X$  there is a  $G_x$ -invariant subspace  $V_x$  containing x, called a slice through x, such that  $\psi_x \colon G \times_{G_x} V_x \to X$ ,  $\psi_x[g, v] = gv$  is a homeomorphism onto a G-invariant neighborhood of the orbit Gx. The G-invariant neighborhood,  $GV_x$ , is called a tube about Gx. For any G-space X we define a G-chart to be a pair (V, H) where  $H \subset G$ , V is an H-invariant subspace of X and the map  $\psi \colon G \times_H V \to X$ ,  $\psi[g, v] = gv$ , is a homeomorphism onto an open set. (Note that V need not be a slice, i.e., H may not be  $G_x$  for any  $x \in V$ .) A G-atlas is a family  $\{(V_\alpha, H_\alpha)\}$  of G-

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charts such that  $\{GV_{\alpha}\}$  covers X. If X is paracompact, then any cover by G-invariant open sets has a refinement which is a G-atlas.

We note that the preimage under a G-map of a G-chart is a G-chart. That is, if (V, H) is a G-chart in X and  $f: Y \to X$  is a G-map, then  $f^{-1}(V, H) = (f^{-1}(V), H)$ . This means  $f^{-1}(V)$  is H-invariant and  $G \times_H f^{-1}(V) \to^{\psi} G f^{-1}(V) = f^{-1}(GV)$  is a homeomorphism.

We now describe the appropriate generalization of product bundle: Let  $H \subset G$  and  $\rho: H \to A$  be a representation. If A acts on the left of F and H on the left of V, then H acts on  $V \times F$  by  $h(v, y) = (hv, \rho(h)y)$ . We denote by  $1_{\rho}^{H}(V)$  the G-A bundle over  $G \times_{H} V$  with fibre F, given by  $p: G \times_{H} (V \times F) \to G \times_{H} F$ , p[g, (v, y)] = [g, v]. (Note that p is trivial as an A-bundle.)

DEFINITION. A G-A bundle  $p:E \to X$  with fibre F is called G-A locally trivial (or simply G-locally trivial if A is fixed) if there is a G-atlas  $\{(V_\alpha, H_\alpha)\}$  on X such that  $E|GV_\alpha$  is G-A equivalent to  $1^{H_\alpha}(V_\alpha)$  for some representation  $\rho_\alpha: H_\alpha \to A$  (under the identification  $\psi_\alpha: G \times_{H_\alpha} V_\alpha \to GV_\alpha$ ).

If X is completely regular this is equivalent to Bierstone's definition [B2]: For each  $x \in X$ , there is a  $G_x$ -invariant neighborhood  $U_x$  such that  $p^{-1}(U_x)$  is  $G_x$ -A equivalent to  $U_x \times F$  with  $G_x$  action  $h(u, y) = (hu, \rho_x(h)y)$ , where  $u \in U_x$ ,  $h \in G_x$ ,  $y \in F$  and  $\rho_x$ :  $G_x \to A$  is a representation.

If  $p: E \to X$  is a G-A bundle and  $f: Y \to X$  is a G-map the induced bundle  $f^*(p): f^*E \to Y$  is a G-A bundle. Further if p is G-A locally trivial, then  $f^*(p)$  is G-A locally trivial.

A G-A bundle is G-A locally trivial if and only if the associated principal bundle is G-A locally trivial.

The following is essentially due to Bierstone and Wasserman.

Theorem 1. Any G- $L_n$  bundle over a completely regular X is G-locally trivial.

PROOF. Let  $p: E \to X$  be the associated G-vector bundle. Let  $x \in X$  and let  $\bar{\varphi}: \bar{U}_x \times R^n \to p^{-1}(\bar{U}_x)$  be a local trivialization.

We can assume  $\overline{U}_x$  is  $G_x$ -invariant, since any neighborhood contains a  $G_x$ -invariant one as an  $L_n$ -bundle. Define  $\rho_x \colon G_x \to L_n$  by  $\rho_x(h)y = \overline{\varphi}_x^{-1}h\overline{\varphi}_xy$ ,  $h \in G_x$ ,  $y \in R^n$ . Now let  $\varphi \colon \overline{U} \times R^n \to p^{-1}(\overline{U})$  be the map obtained by averaging over  $G_x$ ; i.e.

$$\varphi_u y = \frac{1}{|G_x|} \sum h^{-1} \overline{\varphi}_{hu}(\rho_x(h)y), \qquad u \in \overline{U}_x.$$

Then  $\varphi_u$  is linear,  $\varphi_x = \bar{\varphi}_x$  and  $g\varphi(u, y) = \varphi(gu, \rho_x(g)y)$ ,  $g \in G_x$ . Since  $\varphi_x = \bar{\varphi}_x$  is an isomorphism,  $\varphi_u$  is an isomorphism for u in some smaller  $G_x$ -invariant neighborhood  $U_x \subset \bar{U}_x$ . Hence  $\varphi | U_x \times R^n \colon U_x \times R^n \to p^{-1}(U_x)$  is a  $G_x$ - $L_n$  trivialization, and p is G- $L_n$  locally trivial. Q.E.D.

Just as for ordinary bundles, one may ask if a G-A bundle reduces to a G-B bundle,  $B \subset A$ . If A/B has local cross-section in A, this is true if and only if the associated G-A bundle with fibre A/B has a G-cross-section. A G-vector bundle over a paracompact base can always be given a G-invariant Riemannian metric, and so reduces to a G- $O_n$  bundle,  $O_n$  the orthogonal group. This reduction is unique up to equivalence. In particular, if follows from Theorem 1 that G- $O_n$  bundles over paracompact spaces are G- $O_n$  locally trivial.

DEFINITION 2. A G-A bundle  $p: E \to X$  is called *numerable* if there is a trivializing

G-partition of unity, i.e., there exists a G-partition of unity subordinate to a G-atlas  $\{(V_{\alpha}, H_{\alpha})\}$  such that  $E|GV_{\alpha}$  is equivalent to  $1^{H_{\alpha}}(V_{\alpha})$ , some  $\rho_{\alpha}: H_{\alpha} \to A$ .

Bierstone [B3] proves that a G-locally trivial bundle over a paracompact base satisfies the G-covering homotopy property. We generalize this slightly to:

THEOREM 2. A numerable G-A bundle satisfies the G-covering homotopy property.

To show this one follows the proof for ordinary numerable bundles as given in Husemoller [H1], simply substituting G-partitions of unity for ordinary partitions of unity. This comes down to showing that a G-bundle E over  $X \times I$ , when G acts trivially on the I-factor, is G-equivalent to  $E_0 \times I$ , where  $E_0 = E/X \times (0)$ . To see that Husemoller's argument works one needs to observe the following lemma and corollary.

Lemma 3. Let X be a G-space and suppose  $X \times I = (W, H)$ , i.e.,  $X \times I$  may be identified with  $G \times_H W$  via  $[g, w] \to gw$ . Then  $W = W_0 \times I$ , where  $W_0 = W \cap (X \times (0))$ .

PROOF. The map  $W_0 \times I \subset G \times_H W \xrightarrow{\pi} G/H$  has image eH since G/H is discrete and I is connected. Hence  $W_0 \times I \subset W$ . To see that  $W \subset W_0 \times I$  first note that since  $X \times (0)$  is G-invariant,  $X \times (0) = G \times_H W_0$  and  $X \times I = G \times_H (W_0 \times I)$ . Hence if  $w \in W$ ,  $w = g(w_0, t)$ , some  $g \in G$ ,  $w_0 \in W_0$ ,  $t \in I$ . Since  $(w_0, t) \in W$ , we must have  $g \in H$ ; and  $g(w_0, t) = (gw_0, t)$ ,  $gw_0 \in W_0$ . Hence  $w \in W_0 \times I$  and  $W = W_0 \times I$ .

COROLLARY 4. With  $X \times I = (W, H)$  as in the above lemma,  $1_{\rho}^{H}(W) = 1_{\rho}^{H}(W_{0}) \times I$  as a G-A bundle.

Of course, Theorem 2 has the:

COROLLARY 5. If  $p: E \to X$  is a numerable G-A bundle and  $f_i: Y_i \to X$ , i = 1, 2, are G-homotopic G-maps, then  $f_1^*(p)$  and  $f_2^*(p)$  are G-A equivalent.

DEFINITION 3. A universal G-A bundle  $p:P \to X$  is a G-A numerable bundle such that G-A equivalence classes of G-A numerable bundles over any G-space Y correspond to G-homotopy classes,  $[Y, X]_G$ , of G-maps of Y into X, the correspondence being given by induced bundles.

Now for ordinary bundles a universal A-bundle is characterized as a numerable contractible principal A-bundle [D1]. By a slight refinement of this argument one may prove:

THEOREM 6. A numerable principal G-A bundle  $p: P \to X$  is universal if and only if it satisfies: For each  $H \subset G$  and representation  $\rho: H \to A$  consider P as an H-space under the action  $p \to hp\rho(h)^{-1}$ ,  $h \in H$ ,  $p \in P$ . Then

- (1)  $P^H \neq \emptyset$ .
- (2) For any  $p_H \in P^H$ , P is H-contractible to  $p_H$ .

PROOF. We first show the conditions are necessary:

(1) Consider the G-A bundle  $\pi: G \times_H A \to G/H$ , H acting through  $\rho: H \to A$ . Let  $e_1 \in G$ ,  $e_2 \in A$  be the unit elements. Then  $[e_1, e_2] \in G \times_H A$  and  $h[e_1, e_2] = [h, e_2] = [e_1, \rho(h)e_2] = [e_1, e_2]\rho(h)$ . Since p is universal, there is a G-A bundle map  $\varphi: G \times_H A \to P$ . Then

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$$h\varphi[e_1, e_2] = \varphi(h[e_1, e_2]) = \varphi([e_1, e_2]\rho(h)) = (\varphi[e_1, e_2])\rho(h).$$

Hence  $\varphi[e_1, e_2] \in P^H$ , and (1) is satisfied.

(2) Consider the *G-A* bundle  $\pi: G \times_H (P \times A) \to G \times_H P$ , *H* acting on *P* as above. Define the *G-A* bundle maps  $\lambda_i: G \times_H (P \times A) \to P$ , i = 0, 1, by  $\lambda_0[g, p, a] = gpa$  and  $\lambda_1[g, p, a] = gp_H a$ ,  $p_H \in P^H$ . By the universality of *P* there is a *G-A* homotopy  $\lambda_t: G \times_H (P \times A) \to P$ ,  $0 \le t \le 1$ , between  $\lambda_0$  and  $\lambda_1$ . In particular,  $p = \lambda_0[e_1, p, e_2]$  is deformed to  $\lambda_1[e_1, p_H, e_2] = p_H$ . But

$$\lambda_t[e_1, hp\rho(h)^{-1}, e_2] = \lambda_t[h, p, \rho(h)^{-1}] = h\lambda_t[e_1, p, e_2]\rho(h)^{-1}.$$

Hence  $\lambda_t$  defines an *H* contraction of *P* to  $p_H$ .

If we restrict our attention to a class of numerable G-A bundles for which the local trivializations are given by representations  $\rho$  in some designated subset S of all representations, then a numerable G-A bundle with local trivializations in S will be universal for the class of bundles if and only if (1) and (2) are satisfied with respect to representations in S. In particular, we are interested in the case where S consists of representations into some subgroup  $B \subset A$ . These bundles will be denoted as G-(A, B) bundles. We have in mind the case where  $A = \text{Top}_n$ , the group of homeomorphisms of  $R^n$  fixing  $0 \in R^n$  and  $B = O_n$ . The associated bundles with fibre  $R^n$  will be called locally linear G- $R^n$  bundles. In fact, a G- $R^n$  bundle  $p: E \to X$ , with X paracompact, will be locally linear if and only if for each  $x \in X$  there exists a G-invariant neighborhood U of x such that  $E \mid U$  is G- $R^n$  equivalent to a G-vector bundle.

THEOREM 7. Universal G-(A, B) bundles exist (see Lu [L1]).

PROOF. We first note some properties of infinite joins.

(1) If  $\pi_i: P_i \to X_i$ , i = 1, 2, 3, ..., is any sequence of principal G-A bundles then the infinite join  $P = *_i P_i$  is a G-A bundle.

In fact a point in P is of the form  $\sum \lambda_i p_i$ , where  $\lambda_i$  are the join coordinates,  $\lambda_i \ge 0$  with only a finite number nonzero, and  $\sum \lambda_i = 1$ . The actions of G and A on P are given by  $g(\sum \lambda_i p_i) = \sum \lambda_i (gp_i)$  and  $(\sum \lambda_i p_i)a = \sum \lambda_i (p_i a)$ . Let  $\pi: P \to X$  be the quotient map under the A-action, i.e., X = P/A.

Then as shown in Husemoller [H1],  $\pi$  is a locally trivial, in fact numerable, A-bundle. We will show

(2) If each  $\pi_i$  is a numerable G-(A, B) bundle, so is  $\pi$ .

Consider a chart  $(V, H) \subset X_j$ . Let  $\tilde{W} = \{\sum \lambda_i p_i | \lambda_j > 0 \text{ and } p_j \in \pi_j^{-1}(V)\}$ . Then  $\tilde{W}$  is A-invariant and  $G\tilde{W} = \{\sum \lambda_i p_i | \lambda_j > 0 \text{ and } p_j \in \pi_j^{-1}(GV)\}$  is A-invariant. Also  $\pi(G\tilde{W}) = G\pi(\tilde{W})$ . Let  $W = \pi(\tilde{W})$ . Now  $r_j : G\tilde{W} \to p_j, r_j(\sum \lambda_i p_i) = p_j$ , is a G-A map and induces a G-map  $\tilde{r}_j : GW \to GV \subset X_j$ , with  $\tilde{r}_j^{-1}(V) = W$ . Hence (W, H) is a chart in X.

G-SMOOTHING THEORY

If  $P_i|GV = 1_{\rho}^H(V)$ , we claim  $P|GW = 1_{\rho}^H(W)$ . This is equivalent to showing that if  $\varphi_i: V \times A \to \pi_i^{-1}(V)$  is an H-A equivalence with H-action  $h(v, a) = (hv, \rho(h)a)$ , then there is an *H-A* equivalence  $\varphi \colon \tilde{W} \times A \to \tilde{W} = \pi^{-1}(W)$  with *H*-action h(w, a)=  $(hw, \rho(h)a)$ . Following Husemoller, define  $\tilde{s}: \tilde{W} \to \tilde{W}, \ \tilde{s}(\sum \lambda_i p_i) = \sum \lambda_i p_i a^{-1}$ , where  $\varphi_i^{-1}(p_i) = (v, a)$ . Then s is A-invariant and induces a cross-section s:  $W \to \tilde{W}$ . Define  $\varphi \colon W \times A \to \tilde{W}$  by  $\varphi(w, a) = s(w)a$ . Then  $\varphi$  is an A-equivalence. To see that  $\varphi$  is an *H-A* equivalence note that

$$hs(\pi(\sum \lambda_i p_i)) = h\tilde{s}(\sum \lambda_i p_i) = \sum \lambda_i h p_i a^{-1}$$

$$= \sum \lambda_i h p_i \rho(h^{-1}) \rho(h) a^{-1} = (\tilde{s}(\sum \lambda_i h p_i \rho(h)^{-1})) \rho(h)$$

$$= (sh\pi(\sum \lambda_i p_i)) \rho(h),$$

i.e.,  $hs(w) = (s(hw))\rho(h)$  and  $h\varphi(w, a) = s(hw)\rho(h)a = \varphi(hw, \rho(h)a)$ . Hence P|GW = $1_{0}^{H}(W)$ .

Taking an atlas of charts in each  $X_i$ , the reunion of all the corresponding charts in X will be an atlas in X. Now the  $\lambda_i: P \to [0, 1]$ , being A-invariant, induce  $\bar{\lambda}_i: X \to [0, 1]$ [0, 1]. Let  $\mu_i(x) = \max(0, \lambda_i(x) - \sum_{i \le i} \bar{\lambda}_i(x))$ . Then  $\{\mu_i^{-1}(0, 1)\}$  is locally finite and  $\nu_i = \mu_i / \sum_i \mu_i$  is a locally finite partition of unity subordinate to  $\{\bar{\lambda}_i^{-1}(0, 1)\}$ . Let  $\{\alpha_i^k\}$  be a partition of unity on  $X_i$  subordinate to the trivializing atlas. Let  $\beta_i^k =$  $\alpha_i^k \circ \bar{r}_i : \bar{\lambda}_i^{-1}(0, 1] \to [0, 1], \bar{r}_i$  as above. Then  $\{\beta_i^k \nu_i\}$  is a partition of unity subordinate to the trivializing atlas on X. Hence  $\pi$  is a numerable G-(A, B) bundle.

(3) For any representation  $\rho: H \to B$ ,  $P^H = *_i P^H_i$ , assuming  $P^H_i \neq \emptyset$ , all i.

Now choose a representative H from each conjugacy class of subgroups of G and a representative  $\rho: H \to B$  from each A-equivalence class of representations of H in B. Let  $\{\rho_{\beta}\}$  be this set. Let  $E_{\beta} = G \times_{H_{\beta}} A$ ,  $\rho_{\beta} : H_{\beta} \to B$ , and let  $E = \coprod_{\beta} E_{\beta}$ . Let  $p_{\beta}: G \times_{H_{\beta}} A \to G/H_{\beta}$  be the projection and  $p: E \to \coprod_{\beta} G/H_{\beta}$  be  $\coprod p_{\beta}$ . Then E is a numerable G-(A, B) bundle. Further for any subgroup H of G and representative  $\rho: H \to B, E^H \neq \emptyset$ . In fact,  $[e_1, e_2] \in G \times_{H_\beta} A$  satisfies  $h[e_1, e_2] = [e_1, e_2] \rho_\beta(h)$  and  $[g, e_2]$  satisfies  $ghg^{-1}[g, e_2] = [g, e_2]\rho_{\beta}(h), h \in H$ . Finally  $[e_1, a]$  satisfies  $h[e_1, a] =$  $[e_1, a]a^{-1}\rho_{\beta}(h)a$ . So all subgroups and representatives have fixed points.

For each i, let  $P_i = E$  and  $\pi_i = p$ . Then  $P = *_i P_i$  satisfies property (1) of Theorem 6. Now let  $p_H \in P^H$ . Then  $p_H$  belongs to some finite join  $*_{i \le k} P_i^H \subset P$ . Let  $P^k = \{\sum \lambda_i p_i \in P | \lambda_i = 0 \text{ for } i \leq k\}$ . Then it is easy to construct (see [H1]) a G-A deformation of P into  $P^k$ . For any representation  $\rho: H \to B$  this deformation will be in particular an H-deformation. Now  $p_H * P^k \subset P$  and since  $p_H$  is a fixed point, the contraction of this cone to the vertex  $p_H$  will be an H-deformation. Hence P satisfies (2) of Theorem 6, and P is universal. Q.E.D.

REMARK. If X is a G-space and (V, H) is a chart (i.e.,  $G \times_H V \to GV$  is a homeomorphism) then  $(gV, gHg^{-1})$  is a chart with the same image (i.e.,  $G \times_{gHg^{-1}} V \rightarrow$ GgV = GV is a homeomorphism). Further, the trivial G-A bundle  $1_{\rho}^{H}(V)$  over GVis equivalent to  $1_{\rho \circ c(g^{-1})}^{gHg^{-1}}(gV)$ , where c(g) means conjugation by g.

The next theorem gives information on the universal base space for G-(A, B)bundles. In order to state it we need some notation: Let  $H \subset G$ . For any representation  $\rho: H \to B$ , let  $A^{\rho} = \{a \in A | \rho(h)a\rho(h)^{-1} = a\}$ . Then  $A^{\rho}$  is a closed subgroup of A. Let R be a collection of representations of H in B containing exactly one representation from each A-equivalence class.

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THEOREM 8. Let  $\pi: P \to X$  be a universal G-(A, B) bundle. Then  $X^H = \coprod_{\rho \in R} BA^{\rho}$  (disjoint union), where  $BA^{\rho}$  is a universal base space for the topological group  $A^{\rho}$ .

PROOF. Let  $P^{\rho} = \{ p \in P | hp = p\rho(h), h \in H \}$ .

- (1)  $P^{\rho}$  is nonempty and contractible (by Theorem 6).
- (2) For any  $p \in P^{\rho}$ ,  $\pi^{-1}(\pi(p)) \cap P^{\rho} = pA^{\rho}$ , i.e.,  $h(pa) = (pa)\rho(h) \Leftrightarrow p\rho(h) a = pa\rho(h) \Leftrightarrow \rho(h)a = a\rho(h)$ .
  - (3)  $\pi(P^{\varrho}) \subset X^H$ .
  - (4) If  $x \in X^H$ ,  $x \in \pi(P^{\rho})$ , some  $\rho \in \mathbb{R}$ .

In fact, by the remark above, there is a trivializing chart (V, H') with  $x \in V$  and  $P \mid GV = 1_{\rho'}^{H'}(V) \ \rho' \colon H' \to B$ . Now  $H \subset G_x \subset H'$ . Since  $\pi^{-1}(V) \simeq V \times A$  with H' action,  $h'(v, a) = (h'v, \rho'(h')a)$ ;  $(x, e_2) \in V \times A$  and  $h(x, e_2) = (x, e_2)\rho'(h)$ . Hence  $x \in \pi(P^{\rho})$  where  $\rho = \rho' \mid H$ . If  $\rho \notin R$ , then  $c(a) \circ \rho \in R$ , some  $a \in A$ . But if  $p \in P^{\rho}$ ,  $pa^{-1} \in P^{c(a) \circ \rho}$ . Hence  $x \in \pi(P^{\rho})$ , some  $\rho \in R$ .

(5)  $\pi \mid P^{\rho}$  is a locally trivial principal  $A^{\rho}$ -bundle and  $\pi(P^{\rho})$  is open in  $X^{H}$ .

If  $x \in \pi$  ( $P^{\rho}$ ), then we may choose a trivializing chart (V, H') such that  $x \in V$  and  $P \mid GV = 1_{\rho'}^{H'}$ ,  $\rho' : H' \to B$  and  $\rho' \mid H' = \rho$ . Now if  $U = V \cap X^H$  we claim  $\pi^{-1}(U) \cap P^{\rho} = U \times A^{\rho} \subset V \times A$ . In fact,  $\pi^{-1}(U) = U \times A$  with  $h'(u, a) = (h' u, \rho(h')a)$ . If  $(u, a) \in P^{\rho}$ ,  $h(u, a) = (u, a) \rho(h) = (hu, \rho(h)a) = (u, \rho(h)a)$  by (3). Hence  $\rho(h)a = a\rho(h)$  and  $a \in A^{\rho}$ . Since V is open in X, U is open in  $X^H$ . But  $(u, e_2) \in P^{\rho}$  and  $U \subset \pi(P^{\rho})$ . Hence  $P^{\rho}$  is locally trivial and  $\pi(P^{\rho})$  is open in  $X^H$ .

 $(6) \pi(P^{\rho}) \cap \pi(P^{\rho'}) = \emptyset, \rho, \rho' \in \mathbb{R}, \rho \neq \rho'.$ 

If  $x \in \pi(P^{\rho'}) \cap \pi(P^{\rho'})$  and  $p \in \pi^{-1}(x) \cap P^{\rho}$ , then  $pa \in P^{\rho'}$ , some  $a \in A$ . Hence  $\rho = c(a) \circ \rho'$ . Contradiction.

By (1), (4), (5) and (6),  $\pi(P^{\rho}) = BA^{\rho}$  and  $X^{H} = \prod_{\rho \in R} BA^{\rho}$ .

Notation. We will denote the classifying space for G-O(n) bundles by  $BO_n(G)$  and for G-(Top<sub>n</sub>,  $O_n$ ) bundles by B Top<sub>n</sub>(G). This last will cause no confusion because only locally linear  $R^n$ -bundles will be used. Note that since G-vector bundles are locally linear  $R^n$ -bundles, there is a G-map of  $BO_n(G)$  into G Top<sub>n</sub>(G) defined up to G-homotopy. More explicitly:

LEMMA 9. If  $\pi: E \operatorname{Top}_n(G) \to B \operatorname{Top}_n(G)$  is a universal G-( $\operatorname{Top}_n, O_n$ ) bundle then the quotient map  $q: E \operatorname{Top}_n(G) \to E \operatorname{Top}_n(G)/O_n$  is a universal G- $O_n$  bundle and we can take  $BO_n(G) = E \operatorname{Top}_n(G)/O_n$ . With this choice  $BO_n(G)$  is a numerable G-( $\operatorname{Top}_n, O_n$ ) bundle over  $B \operatorname{Top}_n(G)$  with fibre  $\operatorname{Top}_n/O_n$ .

PROOF. q is a principal G- $O_n$  bundle. To see that it is G-locally trivial let (V, H) be a trivializing chart for  $\pi$ . Then  $\pi^{-1}(GV) \simeq G \times_H (V \times \operatorname{Top}_n)$ ,  $\rho: H \to O_n$ , and  $\pi^{-1}(GV)/O_n \simeq G \times_H (V \times \operatorname{Top}_n/O_n)$ . Now  $\operatorname{Top}_n \to \operatorname{Top}_n/O_n$  has local cross-sections since  $O_n$  is compact Lie. Since  $\operatorname{Top}_n$  and hence  $\operatorname{Top}_n/O_n$  is metrizable,  $\operatorname{Top}_n$  is an H- $O_n$  locally trivial bundle over  $\operatorname{Top}_n/O_n$  and hence has a trivializing H partition of unity. It is easy to see that this implies that q is a numerable G- $O_n$  bundle. Since E  $\operatorname{Top}_n(G)$  satisfies (1) and (2) of Theorem 6 as a G-( $\operatorname{Top}_n,O_n$ ) bundle it satisfies (1) and (2) as a G- $O_n$  bundle and G is universal.

THEOREM 10. Let  $p_n: BO_n(G) \to B \operatorname{Top}_n(G)$  be the G-bundle of Lemma 9. For any  $H \subset G$ , let  $p_n^H: [BO_n(G)]^H \to [B \operatorname{Top}_n(G)]^H$  be the restriction to fixed point sets. Then  $p_n^H$  is a numerable bundle such that the fibre over the component  $B \operatorname{Top}_n^\rho, \rho: H \to B$ 

 $O_n$ , of  $[B \operatorname{Top}_n(G)]^H$  is  $\prod_{o' \in S} \operatorname{Top}_n^{o'}/O_n^{o'}$ , where S consists of one representation

 $\rho': H \to O_n$  from each  $O_n$ -equivalence class which is  $\operatorname{Top}_n$  equivalent to  $\rho$ .

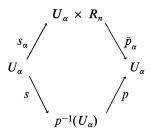
PROOF. If  $z \in E$  Top<sub>n</sub>(G) is such that  $q(z) \in [BO_n(G)]^H = [E \text{ Top}_n(G)/O_n]^H$ , then  $hz = z\rho'(h), \rho' : H \to O_n$  a representation. But if  $\pi(z) \in B \text{ Top}_n^\rho, \rho'$  is Top<sub>n</sub> equivalent to  $\rho$  (see step (6) of the proof of Theorem 8). Thus

$$p_n^{-1}(B \operatorname{Top}_n^{\rho}) \cap [BO_n(G)]^H = \coprod_{\rho' \in S} BO_n^{\rho'},$$

where  $BO_n^{\rho'} = (E\operatorname{Top}_n(G))^{\rho'}/O_n^{\rho'}$ ,  $(E\operatorname{Top}_n(G))^{\rho'} = \{z \in E\operatorname{Top}_n(G) \mid hz = z\rho'(h)\}$ . Since for each  $\rho' \in S$ ,  $B\operatorname{Top}_n^{\rho} = B\operatorname{Top}_n^{\rho'} = (E\operatorname{Top}_n(G))^{\rho'}/\operatorname{Top}_n^{\rho'}$  and the quotient map is a numerable bundle (see proof of Theorem 8),  $p_n^H \mid B\operatorname{Top}_n^{\rho}$  is a numerable bundle with fibre  $\prod_{\rho' \in S}\operatorname{Top}_n^{\rho'}/O_n^{\rho'}$ .

**2.** G-microbundles. Before defining G-microbundles we recall the definition of microbundles without G-action.

DEFINITION 1. An  $R^n$ -microbundle  $\mu$  over X is a diagram of maps and spaces  $X \xrightarrow{s} E \xrightarrow{p} X$  such that  $ps = \mathrm{id}_X$  and there exist an open covering  $\{U_\alpha\}$  of X and open embeddings  $\varphi_\alpha \colon U_\alpha \times R^n \to p^{-1}(U_\alpha)$  such that



commutes, where  $s_{\alpha}(x) = (x, 0)$  and  $p_{\alpha}(x, y) = x$ .  $\mu$  is called *numerable* if there exists a partition of unity subordinate to  $\{U_{\alpha}\}$ .

If  $E^0$  is a neighborhood of sX in E,  $X \xrightarrow{s} E^0 \xrightarrow{p} X$  is again a microbundle. However,  $E^0$  may not be a numerable microbundle even if E is, as the following example from [H2] shows:

Example 1. Let X be a denumerable set and let  $x_0 \in X$  be a fixed element. Define a topology on X by requiring  $U \subset X$  to be open if U is empty or contains  $x_0$ . Then X is connected and so any continuous function  $f\colon X\to R$  is constant. Consider the trivial microbundle  $\varepsilon\colon X\xrightarrow{s} X\times R\xrightarrow{p} X$ , s(x)=(x,0), p(x,r)=x. Writing  $X=\{x_i,\ i=0,\ 1,\ 2,\cdots\}$ , let  $E^0\subset X\times R$  be the set  $E^0=\bigcup_i x_i\times (-1/i,\ 1/i)$ . Then  $E^0=\bigcup_i U_i\times (-1/i,\ 1/i)$ , where  $U_i\subset X$  is the open set  $U_i=\{x_j,\ 0\le j\le i\}$ . Hence  $E^0$  is an open neighborhood of  $s(X)=X\times 0$ . We claim  $E^0$  is not a numerable microbundle. Suppose there existed a partition of unity  $\{\lambda_\alpha\}$  and open embeddings  $\varphi_\alpha\colon W_\alpha\times R\to p^{-1}(W_\alpha)\cap E^0$ ,  $W_\alpha=\lambda_\alpha^{-1}(0,\ 1]$ , such that  $p\varphi_\alpha=p_\alpha$  and  $\varphi_\alpha s_\alpha=s$  as above. Define  $f_\alpha\colon W_\alpha\to R$  by  $f_\alpha(x)=pr_2\varphi_\alpha(x,\ 1)$ . Then  $f_\alpha$  is a continuous positive function and so is  $f=\sum \lambda_\alpha f_\alpha\colon X\to R$ . Then on the one hand, f is a constant function, and on the other hand  $\{(x,\ r)\in X\times R\mid r\le f(x)\}$  must be in  $E^0$ . Contradiction.

We will say that a neighborhood  $E^0$  of sX in the numerable microbundle  $\mu$ :  $X \xrightarrow{s} E \xrightarrow{p} X$  is a microbundle neighborhood if  $X \xrightarrow{} E^0 \xrightarrow{p} X$  is a numerable