# Kaluza-Klein Spectrometry for String Compactifications

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with Bobev, Duboeuf, Eloy, Galli, Giambrone, Guarino, Josse, Nicolai, Petrini, Robinson, Samtleben, Sterckx, Trigiante, van Muiden + W.I.P

## The importance of Kaluza-Klein spectra



FIG. 2. Mass spectrum of scalars.

- AdS/CFT: conformal dimensions
- Stability of non-SUSY vacua

## Computing Kaluza-Klein spectra is hard

Free scalar on  $\mathbb{R}^{D-1,1} \times S^1$ :

$$0 = \partial_x^2 \phi(x, y) + \partial_y^2 \phi(x, y),$$
  
$$\phi(x, y) = \sum_k \phi^{(k)}(x) e^{i k y/R}, \qquad m^2 = \frac{k^2}{R^2}.$$

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SUGRA: (linearised) EoMs mix metric & fluxes  $\Rightarrow$  eigenmodes?

$$\nabla_{Q} f^{QMNP} + \frac{1}{2} F^{QMNP} \nabla_{Q} h_{R}^{R} - \nabla_{Q} \left( h^{QR} F_{R}^{MNP} \right) - 3 \nabla^{Q} \left( h^{S[M} F_{QS}^{NP]} \right) = -\frac{1}{288} \epsilon^{MNPQ_{1} \dots Q_{8}} F_{Q_{1} \dots Q_{4}} f_{Q_{5} \dots Q_{4}} + \frac{1}{2} F_{QS}^{MNP} F_{QS}^{NP} + \frac{1}{2} F_{QS}^{MNP} + \frac{1}{2} F$$

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► SUGRA: (linearised) EoMs mix metric & fluxes ⇒ eigenmodes?

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#### Previously, only two cases understood:

▶ Spin-2 fields [Bachas, Estes '11] ✓
 ▶ M<sub>int</sub> = G/H ✓

- Non-linear truncation to subset of KK-modes
- Solutions are solutions to higher-dim theory
- Compute subset of masses for any vacuum.
- Results can be misleading!







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[EM, Samtleben '20]

Extend this to full KK spectrum using Exceptional Field Theory! Exploit hidden structures





# Consistent truncation

Non-linear embedding of lower-dimensional theory into 10-/11-d supergravity

- ▶ All solutions of lower-d SUGRA  $\rightarrow$  solutions of 10-/11-d SUGRA
- Non-linearity: highly non-trivial!
- Symmetry arguments crucial

## Consistent truncation on group manifold





#### Consistent truncation on group manifold



#### Larger symmetry groups from generalising geometry

Symmetry argument for other consistent truncations?

$$S = \int d^{D+2}x \sqrt{|g|} \left( R_g - (\nabla \phi)^2 - e^{\alpha \phi} F^2 \right)$$



#### Larger symmetry groups from generalising geometry

Symmetry argument for other consistent truncations?

$$S = \int d^{D+3}x \sqrt{|G|} (R_G)$$



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$$S = \int d^{D+3}x \sqrt{|G|} (R_G)$$



Consistent truncations beyond group manifolds





[de Wit, Nicolai '82]

#### Exceptional Field Theory

[Siegel '93], [West '01], [Hull '07], [Hull, Zwiebach '09], [Berman, Perry '10], [Coimbra, Strickland-Constable, Waldram '11], [Hohm, Samtleben, '13], ...

Exceptional Field Theory: Unify metric + fluxes of supergravity

11-d SUGRA on  $M_4 \times C_7$ :

$$\{g, C_{(3)}, C_{(6)}, \ldots\} = \mathcal{M}_{MN} \in \frac{E_{7(7)}}{SU(8)}.$$

 $\begin{array}{rcl} \mbox{Diffeo} + \mbox{gauge transf} & \rightarrow & \mbox{generalised vector field } V^M \in {\bf 56} \mbox{ of } E_{7(7)} \\ & \mbox{Lie derivative } \rightarrow & \mbox{generalised Lie derivative} \end{array}$ 

 $\mathcal{L}_{V} = V^{M} \partial_{M} - (\partial \times_{adj} V) = \text{diffeo} + \text{gauge transf},$ 

with  $\partial_M = (\partial_i, \partial^{ij}, \partial^{ijklm}, \ldots) = (\partial_i, 0, \ldots, 0).$ 

## Exceptional Field Theory = reformulation of supergravity

Exceptional Field Theory: Reformulation of 10-/11-d supergravity

$$\{g, C_{(3)}, C_{(6)}, \ldots\} = \mathcal{M}_{MN}$$

$$L = R - rac{1}{48} F_{\mu
u\lambda
ho} F^{\mu
u\lambda
ho} + \dots$$

with  $F_{\mu\nu\rho\lambda} = 4\partial_{[\mu}C_{\nu\rho\lambda]}$ .

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$$L = R - \frac{1}{48} F_{\mu\nu\lambda\rho} F^{\mu\nu\lambda\rho} + \dots$$
$$= \mathcal{M}^{MN} \partial_M \mathcal{M}^{PQ} \partial_N \mathcal{M}_{PQ} + \dots$$

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Generalised Lie derivative  $\Rightarrow$  generalised Ricci scalar

Similar for type II theories & other dimensions

# Exceptional Field Theory and consistent truncations

Consistent truncations to max. gSUGRA captured by "generalised group manifolds" in ExFT



$$U_A^M \in E_{7(7)}$$

$$\mathcal{L}_{U_A} U_B = X_{AB}{}^C U_C$$

$$\mathcal{M}_{MN}(x, Y) = M_{AB}(x)(U^{-1})_M{}^A(Y)(U^{-1})_N{}^B(Y)$$

e.g. deformations of  $AdS_4 \times S^7$ ,  $AdS_5 \times S^5$ , ...

 $\mathcal{M}_{MN}(x, Y) = M_{AB}(x)(U^{-1})_{M}{}^{A}(Y)(U^{-1})_{N}{}^{B}(Y)$ 



e.g. deformations of  $\mathsf{AdS}_4\times S^7$ ,  $\mathsf{AdS}_5\times S^5$ ,  $\ldots$ 

 $\mathcal{M}_{MN}(x,Y) = \delta_{AB}(U^{-1})_M{}^A(Y)(U^{-1})_N{}^B(Y)$ 



e.g. deformations of  $\mathsf{AdS}_4\times S^7$ ,  $\mathsf{AdS}_5\times S^5$ ,  $\ldots$ 

 $\mathcal{M}_{MN}(x, Y) = M_{AB}(x)(U^{-1})_{M}{}^{A}(Y)(U^{-1})_{N}{}^{B}(Y)$ 



Warped compactifications with few/no remaining (super-)symmetries

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Warped compactifications with few/no remaining (super-)symmetries

"Hidden" group structure!

#### Kaluza-Klein spectroscopy



FIG. 2. Mass spectrum of scalars.



" $\mathcal{N}=8$  supermultiplet contains all SUGRA fields"



 $\mathcal{M}_{MN}(x,Y)\in E_{7(7)}/\mathrm{SU}(8)$ 











#### Mass matrix



Differential problem  $\rightarrow$  Algebraic mass matrix

$$\mathbb{M}^2 \sim X^2 + X \, \mathcal{T} + \mathcal{T}^2 \, .$$

# Harmonics




#### Harmonics





#### Harmonics



Use same harmonics as for max. symmetric point

Multiplication by  $E_{7(7)}$  matrix,  $M_{AB}(x)$ !

# KK Spectroscopy Summary

- Only scalar harmonics of maximally symmetric point (round sphere)
- ▶ ExFT KK Ansatz  $\implies$  Differential problem  $\rightarrow$  algebraic problem
- Compute full spectrum for any vacuum in consistent truncation
- Don't need explicit metric, fluxes!
- Spectrum for compactifications with few/no remaining (super-)symmetries

# Applications



## Applications



#### 1. Non-SUSY AdS

2. Global properties of conformal manifold

# Application to non-SUSY vacua



# Application to non-SUSY vacua



#### Can compute spectrum for non-SUSY vacua!

# Stability of non-SUSY AdS vacua



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# Stability of non-SUSY AdS vacua



#### Ex 1. Warning: Kaluza-Klein instability





FIG. 2. Mass spectrum of scalars.

Single non-SUSY  $AdS_4$  that is stable in 4-d truncation of 11-d SUGRA on  $S^7$ ! [Warner '83], [Fischbacher, Pilch, Warner '10], [Comsa, Firsching, Fischbacher '19]



#### Modes $\ell \leq 1$ : still stable!

[EM, Nicolai, Samtleben '20]



#### Modes $\ell \leq 2$ : tachyons!

[EM, Nicolai, Samtleben '20]









### Ex 2. Global properties of conformal manifolds



### Ex 2. Global properties of conformal manifolds



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# Ex 2. $\mathcal{N} = 2 \text{ AdS}_4$ family

 $[\mathsf{SO}(6) \times \mathsf{SO}(1,1)] \ltimes \mathbb{R}^{12}$  supergravity

2 moduli  $(\varphi, \delta) \in \mathbb{R}^2_{\geq 0}$  in 4-d theory  $\Leftrightarrow \mathcal{N} = 2$  conformal manifold [Guarino, Sterckx, Trigiante '20], [Bobev, Gautason, van Muiden '21]



Expected to be compact e.g. [Perlmutter, Rastelli, Vafa, Valenzuela, '20]



























#### Ex 2. Space invaders

Higher KK modes become massless when  $\varphi = \frac{p\pi}{R}$ ,  $p \in \mathbb{Z}$ [Giambrone, EM, Samtleben, Trigiante '21]



Spectrum identical for  $\varphi = \frac{2 p \pi}{R}$ ,  $p \in \mathbb{Z}$ Spectrum differs for  $\varphi = \frac{(2 p+1) \pi}{R}$ ,  $p \in \mathbb{Z}$  Ex 2. KK spectrum along  $\mathcal{N} = 2$  conformal manifold

[Giambrone, EM, Samtleben, Trigiante '21]

▶  $\varphi \in \mathbb{R}^+$  a 4-d artefact  $\longrightarrow \varphi \in [0, \frac{2\pi}{R})$  in 10 dimensions

• KK spectrum as fct of  $\varphi$ :

$$\Delta = \frac{1}{2} + \sqrt{\frac{17}{4} + \frac{1}{2}r^2 - J(J+1) - 2k(k+1) + \ell(\ell+4) + 4(\frac{\pi n}{R} - j\varphi)^2}$$

Lorentz spin: J SU(2) spin: k U(1)<sub>R</sub> charge: r U(1)  $\subset$  SU(2) Cartan: j S<sup>5</sup> level:  $\ell$ S<sup>1</sup> level: n

 KK spectrum as fct of δ: non-compact? [Bobev, Gautason, van Muiden '21], [Cesàro, Larios, Varela '21] [Bobev, Gautason, van Muiden '23]
### Ex 2. Geometric understanding of $\varphi$

• Geometric origin:  $\varphi \to \mathbb{C}$ -structure deformation (locally diffeos)

• Universal feature of all vacua with  $S^1$ 

- Other S-fold vacua [Cesàro, Larios, Varela '22]
- $AdS_3 \times S^3 \times S^3 \times S^1$  [Eloy, Galli, EM '23]
- $AdS_3 \times M_3 \times T^4$  [Eloy, Larios '23]
- Supersymmetric or non-supersymmetric! [Guarino, Sterckx '21] [Giambrone, Guarino, EM, Samtleben, Sterckx, Trigiante '21]
- Fully perturbatively stable non-SUSY AdS vacua.
  Many protection mechanisms against other instabilities

# KK Spectrometry beyond consistent truncations



#### KK spectrum beyond consistent truncations

Deformations not triggered by  $\mathcal{N} = 8$  scalars?



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Deformations not triggered by  $\mathcal{N} = 8$  scalars?



e.g. generic single-trace RG flows of  $\mathcal{N}=4$  SYM, ABJM

#### Generalised Leibniz parallelisability

[Duboeuf, EM, Samtleben '22]  $U_A^M \in E_{7(7)}$  give basis for all fields But,  $\mathcal{L}_{U_A}U_B = X_{AB}{}^C(y)U_C$ .



Only need scalar harmonics:  $\mathcal{Y}_\Sigma$ 



 $\mathcal{M}_{MN}(x, Y) = (\delta_{AB} + j_{AB}{}^{\Sigma}(x)\mathcal{Y}_{\Sigma})(U^{-1})_{M}{}^{A}(Y)(U^{-1})_{N}{}^{B}(Y)$ (Modified) ExFT mass matrices still apply!

# KK spectrum of generic 10-d/11-d SUGRA deformations



# KK spectrum of generic 10-d/11-d SUGRA deformations

► Full spectrum for first time

$$L[J] \otimes [p,q,r] \otimes \{s\}: \quad \Delta = 1 + \frac{5}{3}s + \frac{1}{3}\sqrt{(3J+2s^2)^2 + 5C(p,q,r)}.$$

[Duboeuf, EM, Samtleben '22], [Duboeuf, Galli, EM, Samtleben '23]

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[Duboeuf, EM, Samtleben '22], [Duboeuf, Galli, EM, Samtleben '23]

Other examples, e.g. β-deformation of AdS<sub>5</sub> × S<sup>5</sup>
 [Cotellucci, Galli, Josse, EM, Petrini W.I.P]

#### Higher-point couplings



### Higher-point couplings

Expand fluctuations to higher order [Duboeuf, EM, Samtleben '23]

 $\mathcal{M}_{MN}(x,Y) = \exp(j_{\alpha}^{\Sigma} t^{\alpha}{}_{AB}\mathcal{Y}_{\Sigma})(U^{-1})_{M}{}^{A}(U^{-1})_{N}{}^{B}$ 

• Plug into quadratic action  $\Rightarrow$  *n*-point couplings

$$\mathcal{G}(j^{lpha_1 \Sigma_1}, j^{lpha_2 \Sigma_2}, \dots, j^{lpha_n \Sigma_n}) \sim c^{\Sigma_1 \Sigma_2 \dots \Sigma_n} \equiv \int dy \, \mathcal{Y}^{\Sigma_1} \mathcal{Y}^{\Sigma_2} \dots \mathcal{Y}^{\Sigma_n}$$

Non-vanishing *n*-point interaction requires  $c^{\sum_1 \sum_2 \dots \sum_n}$  to exist!

Holds for all vacua of the truncation! Extension of "consistent truncation" to full KK spectrum

### Example: Vanishing of near-extremal correlators

AdS<sub>5</sub> × S<sup>5</sup>: chiral primaries O in [m, 0, 0] of SO(6)
 SO(6) group theory: [m<sub>1</sub>, 0, 0] ⊗ . . . ⊗ [m<sub>n</sub>, 0, 0] ∋ [0, 0, 0]

$$\left(\sum_{j\neq i}m_j\right)-m_i<0\,\Rightarrow\, {\sf vanishing\ coupling}$$

▶ ExFT analysis:  $m = \ell + 2$ ,  $[\ell_1, 0, 0] \otimes \ldots \otimes [\ell_n, 0, 0] \ni [0, 0, 0]$ 

$$\left(\sum_{j \neq i} m_j\right) - m_i \leq 2(n-3) \Rightarrow$$
 vanishing coupling

 Proves conjectured vanishing of extremal and "near-extremal couplings" [D'Hoker, Erdmenger, Freedman, Perez-Victoria '00], [D'Hoker, Pioline '00]
 AdS<sub>4</sub> × S<sup>7</sup>, AdS<sub>7</sub> × S<sup>4</sup>, any vacua of the gSUGRA

### Conclusions

ExFT: Compute full KK spectrum for warped compactifications with few/no remaining (super-)symmetries

- Danger of trusting lower-dimensional supergravity!
- Higher KK modes crucial for physics
  - Higher KK modes can trigger instabilities
  - Compactness of conformal manifold
  - Perturbatively stable non-SUSY AdS
- New holographic test & predictions: Comparison with index [Bobev, EM, Robinson, Samtleben, van Muiden '20]
- Structure of n-point couplings, explicit formulae extending "heroic efforts" [Lee, Minwalla, Rangamani, Seiberg '98], [Arutyunov, Frolov '99, '00]

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Outlook:

- Implications for holography? Structure of correlation functions?
- More general vacua?

# Thank you!