# Superconformal and Supersymmetric Constraints to Hadron Masses in Light-Front Holographic QCD

#### Guy F. de Téramond

Universidad de Costa Rica

#### The Proton Mass: at the Heart of Most Visible Matter

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In collaboration with Stan Brodsky, Alexandre Deur, Hans G. Dosch, Cédric Lorce and Raza Sabbir Sufian

- We use a superconformal algebraic structure to construct relativistic light-front (LF) semiclassical bound-state equations which can be embedded in a higher-dimensional classical gravitational theory
- This approach to hadron physics incorporates basic nonperturbative properties which are not apparent from the chiral QCD Lagrangian:
  - I. Emergence of a mass scale and confinement out of a classically scale-invariant theory
  - II. Occurrence of a zero-mass bound state in the limit of zero quark masses
  - III. Universal Regge trajectories for mesons and baryons
  - IV. Breaking of chirality in the hadron excitation spectrum
  - V. Precise connections between the light meson and nucleon spectra
- Effective theory can be extended to heavy-light hadrons where heavy quark masses break the conformal invariance but the underlying dynamical supersymmetry still holds
- Procedure based on work by de Alfaro, Fubini and Furlan, and Fubini and Rabinovici: a generalized Hamiltonian is constructed as a superposition of superconformal generators which carry different dimensions
- The Hamiltonian remains within the superconformal algebraic structure and leads to unique form of the confinement potential

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Superconformal and Supersymmetric Constraints to Hadron Masses

## **1** Superconformal quantum mechanics

[S. Fubini and E. Rabinovici, NPB 245, 17 (1984)]

• SUSY QM [E. Witten (1981)] contains two fermionic generators Q and  $Q^{\dagger}$  with anticommutation relations

$$\{Q,Q\} = \{Q^{\dagger},Q^{\dagger}\} = 0$$

and the Hamiltonian  $H = \frac{1}{2} \{Q, Q^{\dagger}\}$  which commutes with the fermionic generators

$$[Q,H] = [Q^{\dagger},H] = 0$$

closing the graded-Lie  ${\it sl}(1/1)$  algebra

- Since  $[Q^{\dagger}, H] = 0$  the states  $|E\rangle$  and  $Q^{\dagger}|E\rangle$  have identical eigenvalues E, but for a zero eigenvalue we can have the trivial solution  $|E = 0\rangle = 0$
- In matrix notation

$$Q = \begin{pmatrix} 0 & q \\ 0 & 0 \end{pmatrix}, \qquad Q^{\dagger} = \begin{pmatrix} 0 & 0 \\ q^{\dagger} & 0 \end{pmatrix}, \qquad H = \frac{1}{2} \begin{pmatrix} q q^{\dagger} & 0 \\ 0 & q^{\dagger} q \end{pmatrix}$$

with

$$q = -\frac{d}{dx} + \frac{f}{x}, \qquad q^{\dagger} = \frac{d}{dx} + \frac{f}{x}$$

for a conformal theory and f is dimensionless

• Conformal graded-Lie algebra has in addition to the Hamiltonian H and supercharges Q and  $Q^{\dagger}$ , a new operator S related to the generator of conformal transformations K

$$S = \left(\begin{array}{cc} 0 & x \\ 0 & 0 \end{array}\right), \qquad S^{\dagger} = \left(\begin{array}{cc} 0 & 0 \\ x & 0 \end{array}\right)$$

• Find enlarged algebra (Superconformal algebra of Haag, Lopuszanski and Sohnius (1974))

$$\frac{1}{2} \{Q, Q^{\dagger}\} = H, \qquad \frac{1}{2} \{S, S^{\dagger}\} = K, \{Q, S^{\dagger}\} = f - B + 2iD, \qquad \{Q^{\dagger}, S\} = f - B - 2iD$$

where  $B = \frac{1}{2}\sigma_3$ , and the generators of translation, dilatation and the special conformal transformation H, D and K

$$H = \frac{1}{2} \left( -\frac{d^2}{dx^2} + \frac{f^2 + 2Bf}{x^2} \right)$$
$$D = \frac{i}{4} \left( \frac{d}{dx} x + x \frac{d}{dx} \right)$$
$$K = \frac{1}{2} x^2$$

satisfy the conformal algebra

$$[H, D] = iH,$$
  $[H, K] = 2iD,$   $[K, D] = -iK$ 

• Following F&R define a fermionic generator R, a linear combination of the generators Q and S

$$R_{\lambda} = Q + \lambda S$$

which generates a new Hamiltonian

$$G_{\lambda} = \{R_{\lambda}, R_{\lambda}^{\dagger}\}$$

where by construction

$$\{R_{\lambda}, R_{\lambda}\} = \{R_{\lambda}^{\dagger}, R_{\lambda}^{\dagger}\} = 0, \qquad [R_{\lambda}, G_{\lambda}] = [R_{\lambda}^{\dagger}, G_{\lambda}] = 0$$

which also closes under the graded algebra  ${\it sl}(1/1)$ 

• The Hamiltonian  $G_{\lambda}$  is given by

$$G_{\lambda} = 2H + 2\lambda^2 K + 2\lambda \left(f - \sigma_3\right)$$

and leads to the eigenvalue equations

$$\left(-\frac{d^2}{dx^2} + \lambda^2 x^2 + 2\lambda f - \lambda + \frac{4(f + \frac{1}{2})^2 - 1}{4x^2}\right)\phi_1 = E\phi_1$$
$$\left(-\frac{d^2}{dx^2} + \lambda^2 x^2 + 2\lambda f + \lambda + \frac{4(f - \frac{1}{2})^2 - 1}{4x^2}\right)\phi_2 = E\phi_2$$

Superconformal and Supersymmetric Constraints to Hadron Masses

## 2 Superconformal meson-baryon symmetry

[GdT, H.G. Dosch and S. J. Brodsky, PRD **91**, 045040 (2015)] [H.G. Dosch, GdT, and S. J. Brodsky, PRD **91**, 085016 (2015)]

• Upon the substitutions (slide 6)

$$\begin{array}{rcccc} x & \mapsto & \zeta \\ E & \mapsto & M^2 \\ \lambda & \mapsto & \lambda_B = \lambda_M \\ f & \mapsto & L_M - \frac{1}{2} = L_B + \frac{1}{2} \end{array}$$

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$$\phi\rangle = \left(\begin{array}{c} \phi_{\rm Meson} \\ \phi_{\rm Baryon} \end{array}\right)$$

we find the LF bound-state equations

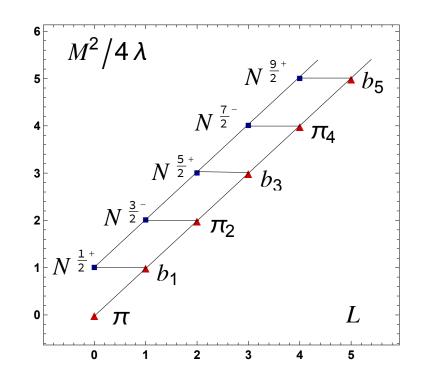
$$\left(-\frac{d^{2}}{d\zeta^{2}} + \frac{4L_{M}^{2} - 1}{4\zeta^{2}} + \lambda_{M}^{2}\zeta^{2} + 2\lambda_{M}(L_{M} - 1)\right)\phi_{Meson} = M^{2}\phi_{Meson}$$
$$\left(-\frac{d^{2}}{d\zeta^{2}} + \frac{4L_{N}^{2} - 1}{4\zeta^{2}} + \lambda_{B}^{2}\zeta^{2} + 2\lambda_{B}(L_{N} + 1)\right)\phi_{Baryon} = M^{2}\phi_{Baryon}$$

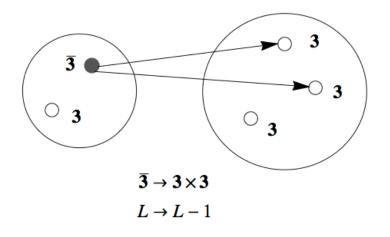
obtained previously from LF holographic QCD (LFHQCD)

S. J. Brodsky, GdT, H. G. Dosch and J. Erlich, Phys. Rep. 584, 1 (2015)

•  $\zeta$  is the invariant transverse separation between constituents in LF quantization which is identified with the holographic variable z in AdS classical gravity:  $\zeta = z$ ,  $\zeta^2 = x(1-x)b_{\perp}^2$ 

- Superconformal QM imposes the condition  $\lambda = \lambda_M = \lambda_B$  (equality of Regge slopes) and the remarkable relation  $\Rightarrow L_M = L_B + 1$
- $L_M$  is the LF angular momentum between the quark and antiquark in the meson and  $L_B$  is the relative angular momentum between the active quark and spectator cluster in the baryon
- Special role of the pion as a unique state of zero energy  $R^{\dagger}|M,L\rangle = |B,L-1\rangle, \quad R^{\dagger}|M,L=0\rangle = 0$
- Emerging dynamical SUSY from SU(3) color (Hadronic SUSY introduced by H. Miyazawa (1966))



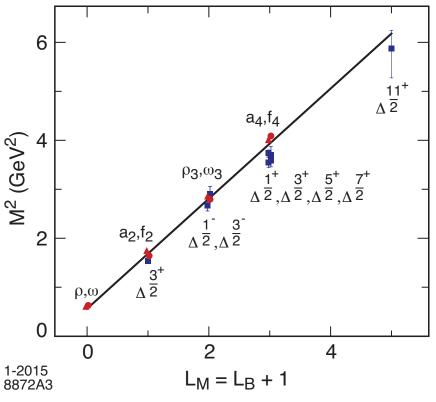


• Spin-dependent Hamiltonian to describe mesons and baryons with internal spin (chiral limit)

[S. J. Brodsky, GdT, H. G. Dosch, C. Lorcé, PLB 759, 171 (2016)]

$$G = \{R_{\lambda}^{\dagger}, R_{\lambda}\} + 2\lambda S \qquad S = 0, 1$$

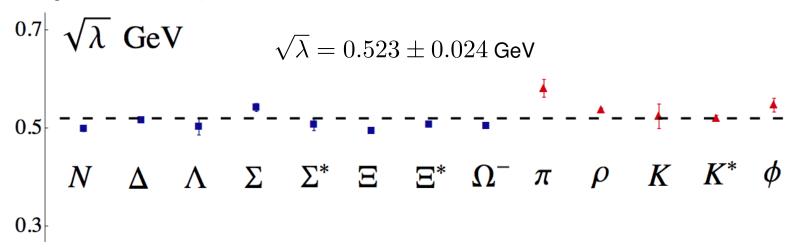
- Mesons :  $M^2 = 4\lambda (n + L_M) + 2\lambda S$ Baryons :  $M^2 = 4\lambda (n + L_B + 1) + 2\lambda S$
- Superconformal meson-baryon partners ( $\sqrt{\lambda} = 0.53~{\rm GeV}$ )



• Quadratic mass correction for light quark masses

$$\Delta M^2[m_1, \cdots, m_n] = \frac{\lambda^2}{F} \frac{\mathrm{d}F}{\mathrm{d}\lambda}$$
with  $F[\lambda] = \int_0^1 \cdots \int \mathrm{d}x_1 \cdots \mathrm{d}x_n \, e^{-\frac{1}{\lambda} \left(\sum_{i=1}^n \frac{m_i^2}{x_i}\right)} \delta(\sum_{i=1}^n x_i - 1)$ 

 How universal is the semiclassical approximation based on superconformal QM and its LF holographic embedding? [S. J. Brodsky, GdT, H. G. Dosch, C. Lorcé, PLB 759, 171 (2016)]]



Best fit for hadronic scale  $\sqrt{\lambda}$  from the different light hadronic sectors including radial and orbital excitations

• Frame-independent decomposition of the quadratic masses for light hadrons in the chiral limit:

$$\begin{array}{ll} \mbox{contribution from 2-dim} & \mbox{contribution from AdS and} \\ \mbox{light-front harmonic oscillator} & \mbox{superconformal algebra} \\ M_H^2/\lambda = \underbrace{(2n+L_H+1)}_{\mbox{kinetic}} + \underbrace{(2n+L_H+1)}_{\mbox{potential}} & + \underbrace{2(L_H+s)+2\chi}_{\mbox{}} \end{array}$$

Here n is the radial excitation number,  $L_H$  the LF angular momentum, s is the total spin of the meson or the cluster in the baryon,  $\chi = -1$  for mesons and  $\chi = +1$  for baryons

## **3** Supersymmetry across the heavy-light hadronic spectrum

[H.G. Dosch, GdT, and S. J. Brodsky, Phys. Rev. D 92, 074010 (2015), Phys. Rev. D 95, 034016 (2017)]

- For light quark masses we apply superconformal dynamics and treat quark masses as perturbations: confinement scale remains universal
- For light quark masses decoupling of transverse degrees of freedom (LF variable  $\zeta$ ) from longitudinal ones (LF longitudinal momentum fraction x) [GdT and S. J. Brodsky, PRL **102**, 081601 (2009)]
- Heavy quark mass breaks conformal symmetry but needs not break supersymmetry since it can stem form the dynamics of color confinement: confinement scale depend on the mass of the heavy quark
- Light quarks present in heavy-light hadrons: system still ultrarelativistic and described by LF relativistic bound-state equations
- If separation of kinematic and dynamic variables also persist for heavy-light hadrons, then the holographic embedding constrains specific form of the confinement potential
- Heavy quark effective theory (HQET) determines the dependence of the confinement scale on the heavy quark mass

• SUSY LF bound-state equations for relativistic heavy-light bound states

$$\left(-\frac{d^2}{d\zeta^2} + \frac{4L_M^2 - 1}{4\zeta^2} + U_M(\zeta)\right)\phi_{Meson} = M^2\phi_{Meson}$$
$$\left(-\frac{d^2}{d\zeta^2} + \frac{4L_N^2 - 1}{4\zeta^2} + U_M(\zeta)\right)\phi_{Baryon} = M^2\phi_{Baryon}$$

where

$$U_{M,B}(\zeta) = V^2(\zeta) \mp V'(\zeta) + \frac{2L_{M,B} \mp 1}{\zeta}V(\zeta)$$

and the superpotential V is a priori unknown

• Embedding in AdS<sub>5</sub> [Phys. Rev. D 95, 034016 (2017)]

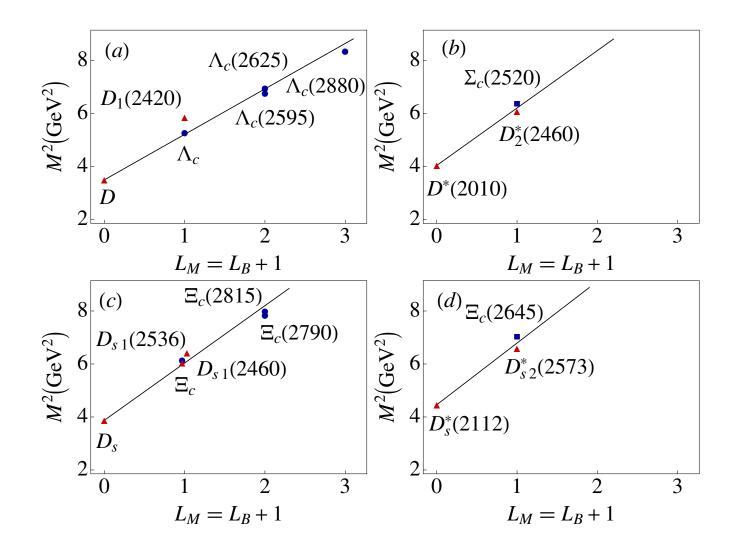
$$V(\zeta) = \frac{1}{2} \left( \lambda \zeta \sigma(\zeta) + \frac{\lambda^2 \zeta^2 \sigma'(\zeta)}{\lambda^2 \zeta^2 \sigma(\zeta) + 2(L_M - 1)\lambda} \right)$$

where  $\sigma(\zeta)$  is an arbitrary function

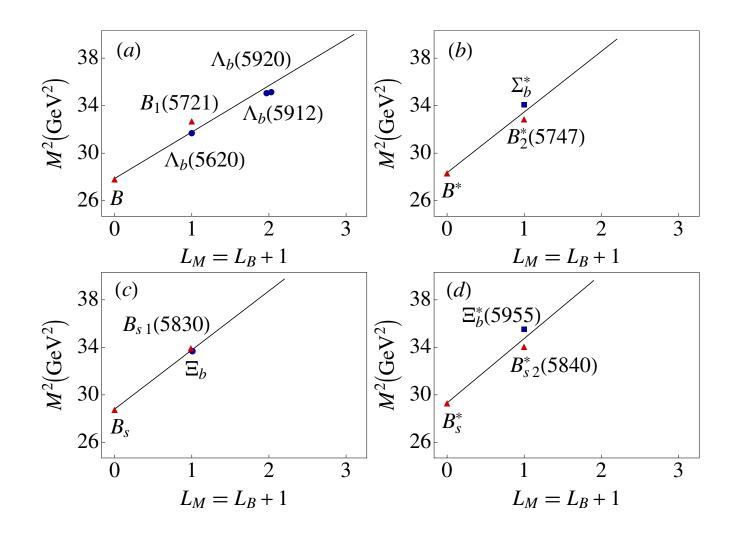
- If embedding is free of kinematical quantities  $\sigma'(\zeta)=0$  and  $\sigma=\mathrm{const}\equiv 2A$  with

$$V(\zeta) = \lambda A \zeta$$

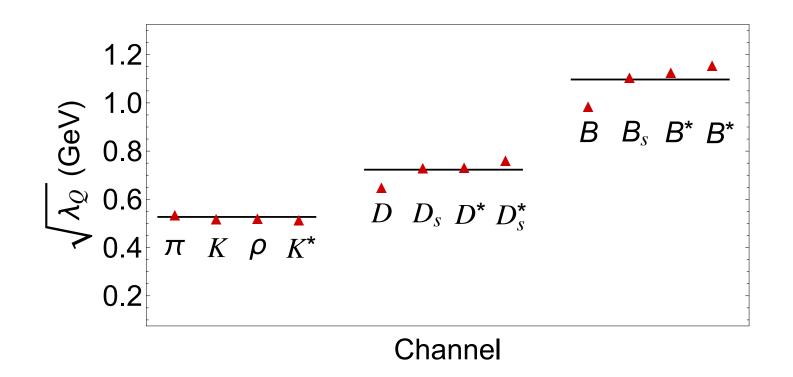
• For strongly broken conformal invariance the potential is still quadratic,  $U \sim \zeta^2$ , but since A is arbitrary the strength of the potential is not determined



Heavy-light mesons and baryons with one charm quark:  $D = q\overline{c}$ ,  $D_s = s\overline{c}$ ,  $\Lambda_c = udc$ ,  $\Sigma_c = qqc$ ,  $\Xi_c = usc$ . In (a) and (c) s = 0 and in (b) and (d) s = 1, where s is the total quark spin in the mesons or the spin of the quark cluster in the baryons



Heavy-light mesons and baryons with one bottom quark:  $B = q\overline{b}$ ,  $B_s = s\overline{b}$ ,  $\Lambda_c = udb$ ,  $\Sigma_b = qqb$ ,  $\Xi_c = usb$ . In (a) and (c) s = 0 and in (b) and (d) s = 1, where s is the total quark spin in the mesons or the spin of the diquark cluster in the baryons



Fitted value of  $\sqrt{\lambda_Q}$  for different meson-baryon trajectories indicated by lowest meson state on given trajectory

### Scale dependence of $\lambda_Q$ from heavy quark symmetry

- HQET result for heavy mesons  $M_M$ :  $\sqrt{M_M} f_M \to C$
- LFHQCD result for decay constant

$$f_M = \frac{1}{\sqrt{\int_0^1 dx \, e^{-m_Q^2/\lambda(1-x)}}} \frac{\sqrt{2N_C\lambda}}{\pi} \int_0^1 dx \sqrt{x(1-x)} \, e^{-m_Q^2/2\lambda(1-x)}$$

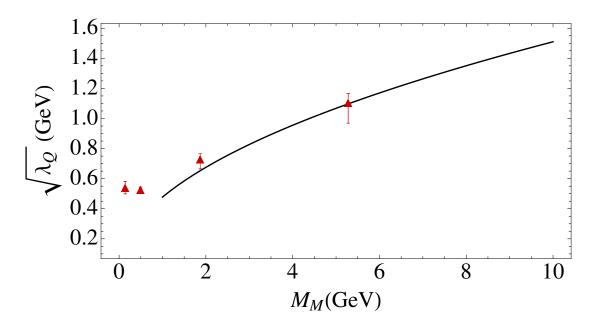
• In the large  $m_Q$  limit

$$f_M = \sqrt{\frac{6}{e}} \left(1 + \operatorname{erf}\left(\frac{1}{2}\right)\right) \frac{\lambda^{3/2}}{m_Q^2}$$

• From the HQET relation

$$\lambda_Q = \mathcal{C} m_Q, \dim(C) = [\mathrm{Mass}]$$

• Increase of  $\lambda_Q$  with increasing quark mass is dynamically necessary



## 4 Infrared behavior of the strong coupling in light-front holographic QCD

[S. J. Brodsky, GdT and A. Deur, PRD **81** (2010) 096010]

[A. Deur, S. J. Brodsky and GdT, PLB 750, 528 (2015); PLB 757, 275 (2016), arXiv:1608.04933 [hep-ph]]

• Effective coupling  $\alpha_{g_1} = g_1^2/4\pi$  defined from an observable:  $g_1$  scheme from Bjorken sum rule

$$\frac{\alpha_{g_1}(Q^2)}{\pi} = 1 - \frac{6}{g_A} \int_0^1 dx \, g_1^{p-n}(x, Q^2)$$

• Infrared behavior of strong coupling in LFHQCD from Fourier transform of the LF transverse coupling:

$$\alpha_{g_1}^{LFHQCD}(Q^2) = \pi \exp\left(-Q^2/4\lambda\right)$$

- Large Q-dependence of  $\alpha_s$  is computed from the pQCD  $\beta$  series:

$$Q^2 \frac{d\alpha_s}{dQ^2} = \beta(Q^2) = -(\beta_0 \alpha_s^2 + \beta_1 \alpha_s^3 + \beta_2 \alpha_s^4 + \cdots)$$

where coefficients  $\beta_i$  are known up to  $\beta_4$  in  $\overline{MS}$  scheme (five-loops):

•  $\alpha_{g_1}^{pQCD}(Q^2)$  expressed as a perturbative expansion in  $\alpha_{\overline{MS}}(Q)$ :

$$\alpha_{g_1}^{pQCD}(Q^2) = \pi \left[ \alpha_{\overline{MS}} / \pi + a_1 \left( \alpha_{\overline{MS}} / \pi \right)^2 + a_2 \left( \alpha_{\overline{MS}} / \pi \right)^3 + \cdots \right]$$

The coefficients  $a_i$  are known up to order  $a_4$ 

- $\alpha^{3.5}_{s}$ •  $\Lambda_{QCD}$  and transition scale  $Q_0$  from matching perturbative and nonperturbative regimes: for  $\sqrt{\lambda}=0.523\pm0.024~{\rm GeV}$ 3  $q_1$  $\Lambda_{\overline{MS}} = 0.339 \pm 0.019 \,\mathrm{GeV}$ 2.5 Transition scale (World Average:  $\Lambda_{\overline{MS}} = 0.332 \pm 0.019$  GeV) 2 Transition scale:  $Q_0^2 \simeq 1 \ {\rm GeV}^2$ 1.5 • Connection between the proton mass  $M_p^2 = 4\lambda$ and the fundamental QCD mass scale  $\Lambda_{QCD}$ in any renormalization scheme ! 0.5 0  $10^{\overline{-1}}$ Q(GeV)
- Nonperturbative  $\beta$ -function from LFHQCD (infrared fixed point  $\beta \left( Q^2 \rightarrow 0 \right) = 0$ )

$$\beta(\alpha_s) = Q^2 \frac{d\alpha_s}{dQ^2} = -\pi \frac{Q^2}{4\lambda} e^{-Q^2/4\lambda}$$

• Similar behavior of the IR strong coupling from DSE:

D. Binosi, C. Mezrag, J. Papavassiliou, C. D. Roberts and J. Rodriguez-Quintero, arXiv:1612.04835

# 5 Constraints from the QCD trace anomaly (In preparation)

- Why the pion is exactly massless in the chiral limit but the proton massive?
- Not a simple problem in QCD where the pion is a bound state of a quark and anti-quark: It requires an exact cancellation of the kinetic and potential energy
- In LFHQCD masslessness of the pion is guaranteed from the strong constraints imposed by superconformal symmetry
- What is the connection between the universal LFHQCD scale  $\lambda$  and QCD dynamical variables?
- Constraints imposed by the QCD trace anomaly?

$$\Theta^{\mu}_{\ \mu} = \frac{\beta(\alpha_s)}{2\alpha_s} \, G^a_{\rho\sigma} G^{a\,\rho\sigma} + (1+\gamma_m) \, m\overline{\psi}\psi$$

with

$$\beta(\alpha_s) = \mu^2 \frac{d\alpha_s}{d\mu^2}, \ \gamma_m = \mu \frac{d}{d\mu} \log m$$

• The matrix element of  $\Theta^{\mu\nu}$  is

$$\langle P|\Theta^{\mu\nu}|P\rangle = 2P^{\mu}P^{\nu}$$

• In the chiral limit

$$M^2 = -\frac{1}{4} \frac{\beta(\alpha_s(\mu^2))}{\alpha_s(\mu^2)} \langle P | G^2 | P \rangle_{\mu^2}$$

evaluated at the renormalization scale  $\mu^2=M^2$ 

• The pion is a special case since we evaluate the  $\beta$ -function at the scale  $\mu^2 = 0$  where it vanishes: The quantum contribution from the anomaly is zero and the pion is massless in the chiral limit

- At the proton scale 
$$-P^2=\mu^2=M^2$$

$$\lambda = \frac{1}{16} \langle P | G^2 | P \rangle_{\mu^2 = M_p^2}$$

with  $\alpha_s(\mu^2)=\alpha_s(0)e^{-\mu^2/4\lambda}$ 

• Fundamental connection between LFHQCD scale  $\lambda$  and the QCD matrix element of  $G^2$  in the proton

## 6 Nucleon form factors in light-front holographic QCD

[R. S. Sufian, G. F. de Teramond, S. J. Brodsky, A. Deur and H. G. Dosch, PRD 91 (2016) 096010]

• LFHQCD leads to analytic expressions for the hadron FFs which incorporates power-law scaling for a given twist  $\tau$  from hard scattering and vector dominance at low energy

$$F_{\tau}(Q^2) = \frac{1}{\left(1 + \frac{Q^2}{M_{\rho_{n=0}}^2}\right) \left(1 + \frac{Q^2}{M_{\rho_{n=1}}^2}\right) \cdots \left(1 + \frac{Q^2}{M_{\rho_{n=\tau-2}}^2}\right)}$$

expressed as a product of au-1 poles along the vector meson Regge radial trajectory

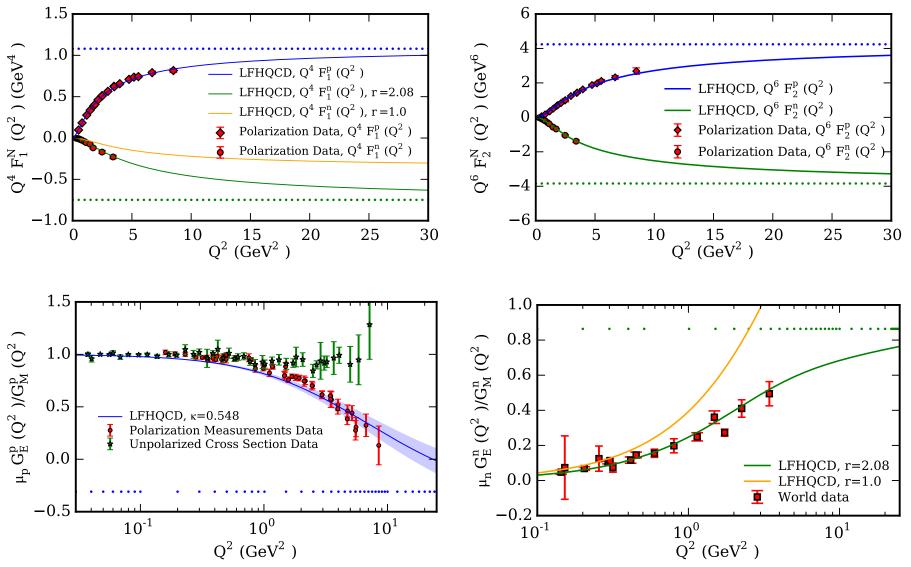
• FF contains a cluster decomposition: hadronic FF factorizes into the  $\tau = N - 1$  product of twist-two monopole FFs evaluated at different scales

$$F_i(Q^2) = F_{i=2}(Q^2) F_{i=2}(\frac{1}{3}Q^2) \cdots F_{i=2}(\frac{1}{2i-1}Q^2)$$

• In the case of a nucleon, for example, the Dirac FF for the twist-3 valence state

$$F_1(Q^2) = F_{i=2}(Q^2) F_{i=2}(\frac{1}{3}Q^2)$$

is the product of a point-like quark and a diquark-cluster FF consistent with leading-twist scaling,  $Q^4F_1(Q^2)\sim {\rm const}$ 



Comparison of the holographic results with selected world and data and asymptotic predictions

• Free parameters: two parameters for the probabilities of higher Fock states for the Pauli FF and a parameter r for possible SU(6) spin-flavor symmetry breaking effects in the neutron Dirac FF

## 7 Structure functions in light-front holographic QCD

- Recent progress in computation of nonperturbative structure functions: DAs, GPDs, TMDs ....
- Require QCD evolution of structure functions from hadronic scale given by LFHQCD to higher scales
  - T. Gutsche, V. E. Lyubovitskij and I. Schmidt, arXiv:1610.03526
  - C. Mondal, arXiv:1609.07759
  - M. C. Traini, arXiv:1608.08410
  - M. Traini, S. Scopetta, M. Rinaldi and V. Vento, arXiv:1609.07242
  - T. Maji and D. Chakrabarti, arXiv:1702.04557
  - M. Rinaldi, arXiv:1703.00348
  - A. Bacchetta, S. Cotogno and B. Pasquini, arXiv:1703.07669

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## Thanks !

Review of LFHQCD, see S. J. Brodsky, GdT, H. G. Dosch and J. Erlich, Phys. Rept. 584, 1 (2015)