

# **Decomposition and the Gross-Taylor string**

**NYU-Abu Dhabi, “M-theory and mathematics”**

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An overview of T. Pantev, ES, arXiv:2307.08729

The purpose of this talk today is to reconcile two different perspectives on two-dimensional pure Yang-Mills theories:

1) Decomposition

(Hellerman, Henriques, Pantev, ES, Ando '06; ...  
..., Nguyen, Tanizaki, Unsal '21, ...)

Two-dimensional pure Yang-Mills =  $\bigoplus_R$  (Trivial (invertible) QFTs )

2) Gross-Taylor expansion

(Gross, Taylor '93; Cordes, Moore, Ramgoolam '94, ...)

Two-dimensional pure Yang-Mills = target-space field theory of a string field theory

Executive summary:

Decomposition appears to predict a one-form symmetry in the Gross-Taylor string theory.

## Plan of the talk:

### 1) Review decomposition

Focusing on examples of  $S_n$  orbifolds & 2d pure YM

### 2) Gross-Taylor and two puzzles

Logic of Gross-Taylor:

First rewrite pure YM partition function as a sum of  $S_n$  orbifolds, then, interpret those orbifolds as branched covers and then as SFT.

We'll see that the  $S_n$  orbifolds interlace with decomposition *perfectly*, but two puzzles arise in the branched covers/SFT interpretation.

### 3) Proposed resolution

The branched cover/SFT interpretation will also be compatible if the GT string is required to have a novel symmetry.

## A short review of decomposition

In  $d > 1$  spacetime dimensions,  
if a local quantum field theory has a global  $(d - 1)$ -form symmetry,  
it is equivalent to a disjoint union of other local QFT's,  
known in this context as 'universes.'

We call this **decomposition**.

(2d: Hellerman et al '06, ...;  
 $d > 2$ : Tanizaki-Unsal '19, Cherman-Jacobson '20, ...)



When this happens, we say the QFT 'decomposes.'

Decomposition has been explored in many examples, as I'll quickly review.

Today: understand decomposition in the Gross-Taylor expansion of 2d pure YM.

More on decomposition...

What does it mean for one local QFT to be a sum of other local QFTs?

(Hellerman et al '06)

## 1) Existence of projection operators

The theory contains topological local operators  $\Pi_i$  such that

$$\Pi_i \Pi_j = \delta_{i,j} \Pi_j \quad \sum_i \Pi_i = 1 \quad [\Pi_i, \mathcal{O}] = 0$$

Operators  $\Pi_i$  simultaneously diagonalizable; state space =  $\mathcal{H} = \bigoplus_i \mathcal{H}_i$

Correlation functions:

$$\langle \mathcal{O}_1 \cdots \mathcal{O}_m \rangle = \sum_i \langle \Pi_i \mathcal{O}_1 \cdots \mathcal{O}_m \rangle = \sum_i \langle (\Pi_i \mathcal{O}_1) \cdots (\Pi_i \mathcal{O}_m) \rangle = \sum_i \langle \tilde{\mathcal{O}}_1 \cdots \tilde{\mathcal{O}}_m \rangle_i$$

## 2) Partition functions decompose

$$Z = \sum_{\text{states}} \exp(-\beta H) = \sum_i \sum \exp(-\beta H_i) = \sum_i Z_i$$

(on a connected spacetime)

# Decomposition in 2d gauge theories

(Hellerman et al '06)

Example:

S'pose have  $G$ -gauge theory,  $G$  semisimple, with finite central  $K \subset G$  acting trivially.

Statement of decomposition (in this example):

$$\text{QFT}(G\text{-gauge theory}) = \coprod_{\text{char's } \hat{K}} \text{QFT}(G/K\text{-gauge theory w/ discrete theta angles})$$

Example: pure  $SU(2)$  gauge theory = sum  $SO(3)_+ + SO(3)_-$  pure gauge theories

where  $\pm$  denote discrete theta angles ( $w_2$ )

Perturbatively, the  $SU(2)$ ,  $SO(3)_\pm$  theories are identical  
— differences are all nonperturbative.

# Decomposition in 2d gauge theories

(Hellerman et al '06)

Example:

S'pose have  $G$ -gauge theory,  $G$  semisimple, with finite central  $K \subset G$  acting trivially.

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Example: pure  $SU(2)$  gauge theory = sum  $SO(3)_+$  +  $SO(3)_-$  pure gauge theories

where  $\pm$  denote discrete theta angles ( $w_2$ )

$SU(2)$  instantons (bundles)  $\subset SO(3)$  instantons (bundles)

The discrete theta angles weight the non- $SU(2)$   $SO(3)$  instantons so as to cancel out of the partition function of the disjoint union.

Summing over the  $SO(3)$  theories projects out some instantons, giving the  $SU(2)$  theory.

# Decomposition in 2d gauge theories

(Hellerman et al '06)

Example:

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Formally, the partition function of the disjoint union can be written

$$Z = \underbrace{\sum_{\theta \in \hat{K}} \int [DA] \exp(-S) \exp \left[ \theta \int \omega_2(A) \right]}_{\text{Disjoint union}} = \int [DA] \exp(-S) \overbrace{\left( \sum_{\theta \in \hat{K}} \exp \left[ \theta \int \omega_2(A) \right] \right)}^{\text{projection operator}}$$

where we have moved the summation inside the integral.

This is an interference effect between universes: **multiverse interference**



# Decomposition in 2d gauge theories

(Hellerman et al '06)

$$Z = \sum_{\theta \in \hat{K}} \int [DA] \exp(-S) \exp \left[ \theta \int \omega_2(A) \right] = \int [DA] \exp(-S) \left( \sum_{\theta \in \hat{K}} \exp \left[ \theta \int \omega_2(A) \right] \right)$$

Disjoint union (under the sum)

projection operator (over the sum)

# Decomposition in 2d gauge theories

(Hellerman et al '06)

One effect is a projection on nonperturbative sectors:

$$\underbrace{\sum_{\theta \in \hat{K}} \int [DA] \exp(-S) \exp \left[ \theta \int \omega_2(A) \right]}_{\text{Disjoint union}} = \int [DA] \exp(-S) \left( \overbrace{\sum_{\theta \in \hat{K}} \exp \left[ \theta \int \omega_2(A) \right]}^{\text{projection operator}} \right)$$

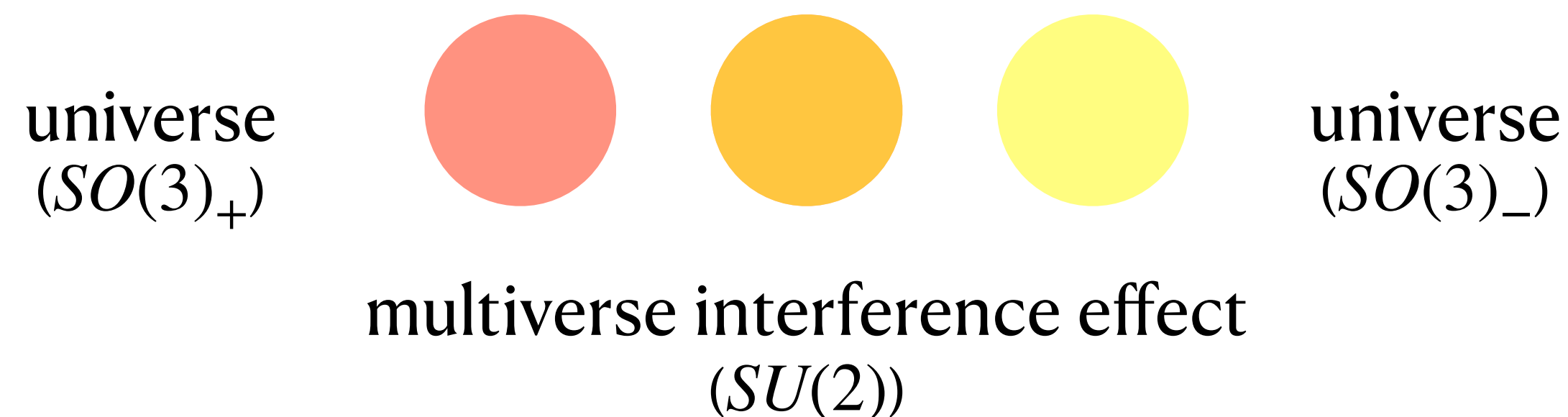
Disjoint union of  
several QFTs / universes

=

'One' QFT with a restriction on  
nonperturbative sectors  
= 'multiverse interference'

Schematically,

two theories combine to form a distinct third:



Since 2005, decomposition has been checked in many examples in many ways. Examples:

- GLSM's: mirrors, quantum cohomology rings (Coulomb branch) (T Pantev, ES '05; Gu et al '18-'20)
- Orbifolds: partition f'ns, massless spectra, elliptic genera (T Pantev, ES '05; Robbins et al '21)
- Open strings, K theory (Hellerman et al hep-th/0606034)
- Susy gauge theories w/ localization (ES 1404.3986)
- Nonsusy pure Yang-Mills ala Migdal (ES '14; Nguyen, Tanizaki, Unsal '21)
- Adjoint QCD<sub>2</sub> (Komargodski et al '20)
- Numerical checks (lattice gauge thy) (Honda et al '21)
- Versions in d-dim'l theories w/ (d-1)-form symmetries (Tanizaki, Unsal, '19; Cherman, Jacobson '20)

This list is incomplete; apologies to those not listed.

Applications include:

- Sigma models with target stacks & gerbes (T Pantev, ES '05)
- Predictions for Gromov-Witten theory (checked by H-H Tseng, Y Jiang, E Andreini, etc starting '08)
- Nonperturbative constructions of geometries in GLSMs (Caldararu et al 0709.3855, Hori '11, ...)
- Elliptic genera (Eager et al '20)
- Anomalies in orbifolds (Robbins et al '21) ..., Romo et al '21)

Today: decomposition in the Gross-Taylor string....

Two examples of decomposition will play an important role in this talk:

- 2d pure Yang-Mills (decomposing to invertibles)
- 2d Dijkgraaf-Witten theory

The role of the first is clear:  
we're trying to reconcile decomposition of 2d pure Yang-Mills  
with its description ala Gross-Taylor.

Now, part of the Gross-Taylor story is a rewriting of the 2d pure YM partition function as a sum of 2d Dijkgraaf-Witten theories, so its decomposition will also play a role.

We'll discuss each in turn.

Example: 2d pure Yang-Mills (decomposing to invertibles)

Recall from (Migdal '75, Drouffe '78, Lang et al '81, Menotti et al '81, Rusakov '90)  
that 2d pure Yang-Mills has been solved exactly.

The partition function  $Z(\Sigma)$  on a closed Riemann surface  $\Sigma$  of genus  $p$  and area  $A$  is

$$Z(\Sigma) = \sum_R (\dim R)^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2} C_2(R)\right)$$

where

$R$  is an irrep of the gauge group

$C_2(R)$  is the quadratic Casimir of  $R$

How does it decompose? ....

Example: 2d pure Yang-Mills (decomposing to invertibles)

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Decomposes into theories associated with irreps  $R$ :

$$Z(\Sigma) = \sum_R Z_R \quad Z_R = (\dim R)^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2} C_2(R)\right)$$

(It can also decompose along center symmetries,  
but the decomposition along irreps will be the focus of the rest of this talk.)

How to interpret those constituent theories?...

Example: 2d pure Yang-Mills (decomposing to invertibles)

2d pure YM is a disjoint sum of trivial ('invertible') field theories,

associated to the irreps  $R$ :

(Nguyen, Tanizaki, Unsal '21)

$$Z(\Sigma) = \sum_R Z_R \quad Z_R = (\dim R)^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2} C_2(R)\right)$$

The constituent invertible field theories are ~ classical theories, with 1d Fock space (only vacuum), indexed by counterterms:

$$S = \int_{\Sigma} \sqrt{-g} (aR + b) \quad Z = \exp(a\chi(\Sigma) + b \cdot \text{Area})$$

so the universe associated to irrep  $R$  (partition function  $Z_R$ )

$$\text{has} \quad a(R) = \ln \dim R, \quad b(R) = -\frac{g_{YM}^2}{2} C_2(R)$$

when interpret as invertible field theory. Next: Dijkgraaf-Witten...

## Example: 2d Dijkgraaf-Witten theory

This is a fancy name for an orbifold of a point:  $[\text{point}/G]$  for  $G$  finite

In cases w/o discrete torsion, operators are twist fields associated to conjugacy classes.

Correlation functions: On a Riemann surface  $\Sigma$  of genus  $p$ ,

$$\langle \mathcal{O}_1 \cdots \mathcal{O}_n \rangle = \frac{1}{|G|} \sum_{s_1, t_1, \dots, s_p, t_p \in G} \delta \left( \mathcal{O}_1 \cdots \mathcal{O}_n \prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1} \right)$$

where 
$$\delta(g) = \begin{cases} 1 & g = 1 \\ 0 & g \neq 1 \end{cases}$$

For example, the partition function is

$$Z = \frac{1}{|G|} \sum_{s_1, t_1, \dots, s_p, t_p \in G} \delta \left( \prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1} \right) \quad \text{How does it decompose? ....}$$



## Example: 2d Dijkgraaf-Witten theory

This theory also decomposes into a disjoint sum of trivial ('invertible') field theories, associated to the irreps  $r$ .

Projection operators  $P_r$  exist: 
$$P_r = \frac{\dim r}{|G|} \sum_{g \in G} \chi_r(g^{-1}) g$$

This can also be written as a sum over conjugacy classes, but this form is simpler.

These are projection operators in the sense that  $P_r P_s = \delta_{r,s} P_r$ ,  $\sum_r P_r = 1$

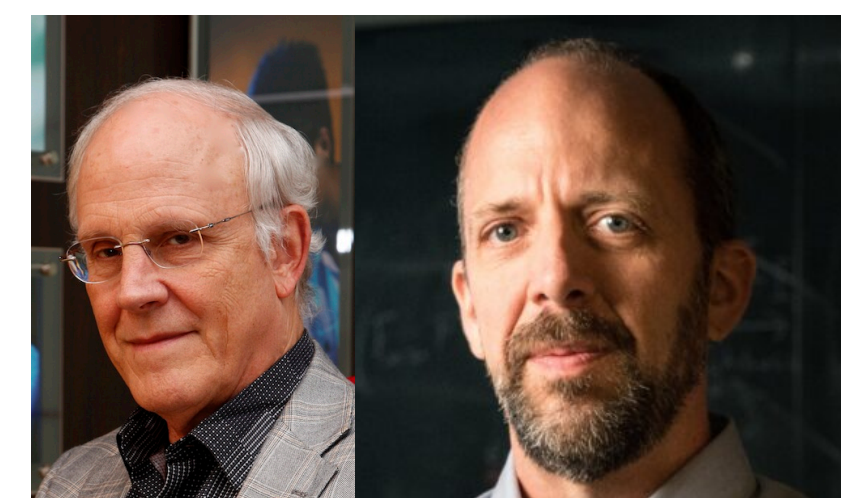
Correlation functions in the universe associated to irrep  $r$  are

$$\langle \mathcal{O}_1 \cdots \mathcal{O}_n \rangle_r = \langle \mathcal{O}_1 \cdots \mathcal{O}_n P_r \rangle = \frac{1}{|G|} \sum_{s_1, t_1, \dots, s_p, t_p \in G} \delta \left( \mathcal{O}_1 \cdots \mathcal{O}_n \left( \prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1} \right) P_r \right)$$

Note 
$$\langle \mathcal{O}_1 \cdots \mathcal{O}_n \rangle = \sum_r \langle \mathcal{O}_1 \cdots \mathcal{O}_n \rangle_r$$

Next: Gross-Taylor...

Next, we turn to the Gross-Taylor expansion of 2d pure  $SU(N)$  Yang-Mills.



They argued that at large  $N$ , this is a target-space SFT of some other 2d string theory, via a series expansion of the partition functions.

Let's review. On a closed Riemann surface  $\Sigma_T$  of genus  $p$  and area  $A$ ,

$$Z(\Sigma_T) = \sum_R (\dim R)^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right)$$

Strictly speaking, to get the right large  $N$  asymptotics, we need to write irreps  $R$  in terms of coupled representations. For sake of time, and b/c it doesn't significantly affect our result, I'll gloss over that step.

Basic strategy: rewrite the sum over  $SU(N)$  irrep data, as a sum over  $S_n$ 's and  $S_n$  irrep data, where  $n$  is the num' boxes in Young tableau for irrep  $R$ , and then interpret in terms of branched covers of  $\Sigma_T$

$$Z(\Sigma_T) = \sum_R (\dim R)^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right)$$

Let's rewrite in terms of irreps & characters of the finite symmetric group  $S_n$

Expand the terms using Schur-Weyl duality:

$$\begin{array}{c} \text{\textit{SU}(N) data} \\ \text{(fixed irrep } R) \end{array} \longrightarrow (\dim R(Y))^m = \left( \frac{N^n \dim r(Y)}{|S_n|} \right)^m \frac{\chi_{r(Y)}((\Omega_n)^m)}{\dim r(Y)} \longleftarrow \begin{array}{c} \text{\textit{S}_n} \text{ data} \end{array}$$

where

$Y$  = Young tableau associated with  $SU(N)$  irrep  $R$

$n$  = num' boxes in Young tableau  $Y$

$r(Y)$  =  $S_n$  irrep associated to  $Y$  (and hence  $R = R(Y)$ )

$$\Omega_n = \sum_{\sigma \in S_n} N^{K_\sigma - n} \sigma$$

$K_\sigma$  = num' cycles in the cycle decomposition of  $\sigma \in S_n$

$$Z(\Sigma_T) = \sum_R (\dim R)^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right)$$

$$(\dim R(Y))^m = \left(\frac{N^n \dim r(Y)}{|S_n|}\right)^m \frac{\chi_{r(Y)}((\Omega_n)^m)}{\dim r(Y)}$$

Use the identity  $\sum_{s,t \in G} \chi_r(sts^{-1}t^{-1}) = \left(\frac{|G|}{\dim r}\right)^2 \dim r$  to show

$$\begin{aligned} (\dim R(Y))^m &= N^{nm} \left(\frac{\dim r(Y)}{|S_n|}\right)^{m+2p} \sum_{s_1, t_1, \dots, s_p, t_p \in S_n} \frac{\chi_r\left((\Omega_n)^m \prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1}\right)}{\dim r(Y)} \\ &= N^{nm} \left(\frac{\dim r(Y)}{|S_n|}\right)^{m+2p-1} \sum_{s_1, t_1, \dots, s_p, t_p \in S_n} \frac{\delta\left((\Omega_n)^m \left(\prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1}\right) P_{r(Y)}\right)}{\dim r(Y)} \end{aligned}$$

One more step....

$$Z(\Sigma_T) = \sum_R (\dim R)^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right)$$

So far:

$$(\dim R(Y))^m = N^{nm} \left(\frac{\dim r(Y)}{|S_n|}\right)^{m+2p-1} \sum_{s_1, t_1, \dots, s_p, t_p \in S_n} \frac{\delta\left((\Omega_n)^m \left(\prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1}\right) P_{r(Y)}\right)}{\dim r(Y)}$$

Use the identity

$$\frac{C_2(R(Y))}{N} = n + \frac{2 \chi_{r(Y)}(T_2)}{N \dim r(Y)} - \frac{n^2}{N^2}$$

to write

$$\begin{aligned} & (\dim R(Y))^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right) \\ &= N^{n(2-2p)} \left(\frac{\dim r(Y)}{|S_n|}\right) \sum_{s_1, t_1, \dots, s_p, t_p \in S_n} \frac{\delta\left((\Omega_n)^{2-2p} \left(\prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1}\right) P_{r(Y)}\right)}{\dim r(Y)} \exp\left(-g_{YM}^2 \frac{A}{2} n\right) \end{aligned}$$

+ subleading

Finally, we have the Gross-Taylor series expansion.

The partition function of two-dimensional pure  $SU(N)$  Yang-Mills

$$Z(\Sigma_T) = \sum_R (\dim R)^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right)$$

has now been rewritten in terms of  $S_n$ 's and  $S_n$  irrep data:

$$\begin{aligned} & (\dim R(Y))^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right) \xrightarrow{\substack{SU(N) \text{ data} \\ \text{(fixed irrep } R)}} \\ &= N^{n(2-2p)} \left(\frac{\dim r(Y)}{|S_n|}\right) \sum_{s_1, t_1, \dots, s_p, t_p \in S_n} \frac{\delta\left((\Omega_n)^{2-2p} \left(\prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1}\right) P_{r(Y)}\right)}{\dim r(Y)} \exp\left(-g_{YM}^2 \frac{A}{2} n\right) \\ & \hspace{20em} + \text{subleading} \end{aligned} \quad \swarrow S_n \text{ data}$$

Strictly speaking, we need to break up each irrep  $R$  into coupled reps; however, the analysis is nearly identical, and the expression above emerges as one of two chiral components.

Next: interpretation...

Let's interpret:

$$(\dim R(Y))^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right) \leftarrow \text{Partition function of a single universe in the decomposition of 2d pure YM.}$$

$$= N^{n(2-2p)} \left(\frac{\dim r(Y)}{|S_n|}\right) \sum_{s_1, t_1, \dots, s_p, t_p \in S_n} \frac{\delta\left((\Omega_n)^{2-2p} \left(\prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1}\right) P_{r(Y)}\right)}{\dim r(Y)} \exp\left(-g_{YM}^2 \frac{A}{2} n\right) + \text{subleading}$$

The RHS (above) is a sum of 2d Dijkgraaf-Witten correlation functions for group  $S_n$ .

In fact, note that the correlation functions have projectors  $P_{r(Y)}$   
 — these are correlation functions in the universe associated to  $r(Y)$  !

Takeaway: the partition function of a single universe in the decomposition of 2d pure YM, is a sum of correlation functions in a single universe of 2d Dijkgraaf-Witten for  $S_n$ .

Perfect match!      Next: Gross-Taylor and 2d strings....

So far: written partition function of a single universe of 2d pure  $SU(N)$  Yang-Mills as a sum of correlation functions in a single universe of 2d Dijkgraaf-Witten for  $S_n$

Decomposition meshes perfectly!

Next: interpret in terms of branched covers of the Riemann surface  $\Sigma_T$



# Interpretation of $S_n$ Dijkgraaf-Witten in terms of branched $n$ -covers

(Gross, Taylor '93)

For simplicity, let's take the Riemann surface  $\Sigma_T = S^2$

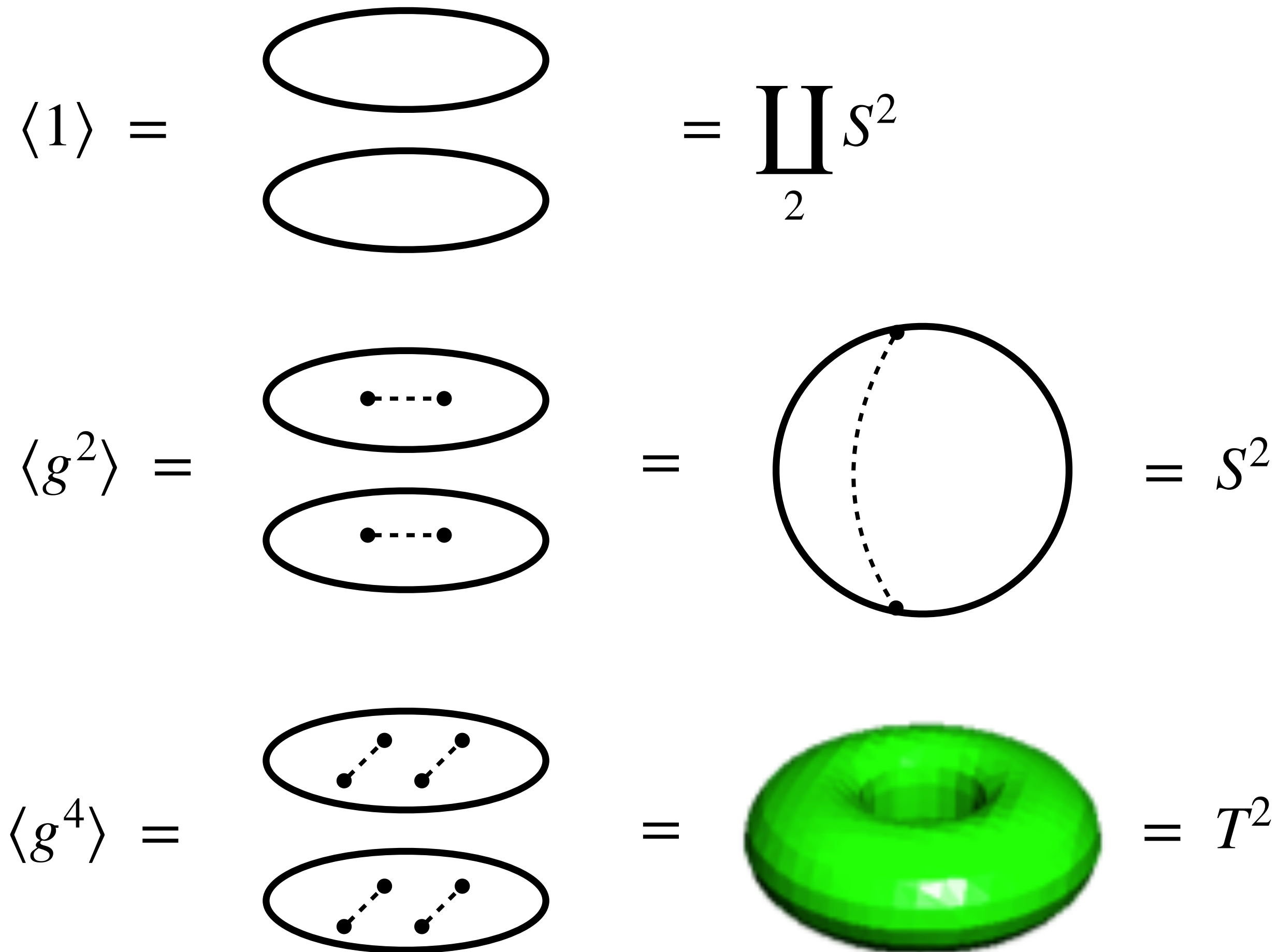
If there are no insertions, then, identify the cover with a disjoint union  $\coprod_n S^2$

An insertion of  $g \in S_n$  corresponds to a branch point of monodromy  $g$ ,  
that ties the  $n$  sheets of the cover together.

Let's see some examples....

# Interpretation of $S_n$ Dijkgraaf-Witten in terms of branched $n$ -covers

Examples:  $\Sigma_T = S^2$ ,  $n = 2$ : double covers of  $S^2$



$S^2$  as branched double cover of  $S^2$ ; branch pts at poles, and wraps.

Let's apply to the (original) Gross-Taylor expansion:

$$\begin{aligned} & \sum_R (\dim R(Y))^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right) \\ &= \sum_{n=0}^{\infty} \sum_r N^{n(2-2p)} \left(\frac{\dim r(Y)}{|S_n|}\right) \sum_{s_1, t_1, \dots, s_p, t_p \in S_n} \frac{\delta\left((\Omega_n)^{2-2p} \left(\prod_{i=1}^p s_i t_i s_i^{-1} t_i^{-1}\right)\right)}{\dim r(Y)} \exp\left(-g_{YM}^2 \frac{A}{2} n\right) \\ & \hspace{25em} + \text{subleading} \end{aligned}$$

This is the expansion of the full YM theory — includes sum over all representations (so the projectors  $P_{r(Y)}$  sum out — we'll return to them when we look at individual universes).

$$\Omega_n = \sum_{\sigma \in S_n} N^{K_\sigma - n} \sigma$$

Powers of  $N$ :

$$\begin{aligned} n(2-2p) + \sum_j \left(K_{\sigma_j} - n\right) &= n\chi(\Sigma_T) + \sum_j \left(K_{\sigma_j} - n\right) \\ &= \chi(\Sigma_W) \hspace{10em} (\text{Riemann-Hurwitz theorem}) \end{aligned}$$

where  $\Sigma_W$  is a branched  $n$ -fold cover of  $\Sigma_T$

Let's apply to the (original) Gross-Taylor expansion:

$$\begin{aligned} \sum_R (\dim R(Y))^{2-2p} \exp\left(-g_{YM}^2 \frac{A}{2N} C_2(R)\right) \\ = \sum_{n=0}^{\infty} \sum_{s_i, t_i \in S_n} \sum_{L=0}^{\infty} \sum_{v_1, \dots, v_L \in S_n} N^{\chi(\Sigma_W)} (\#) \delta\left(v_1 \cdots v_L \left(\prod_{i=1}^p [s_i, t_i]\right)\right) \exp\left(-\frac{A}{\alpha'_{GT}} n\right) \\ + \text{subleading} \end{aligned}$$

where

$\Sigma_W$  = branched  $n$ -fold cover of  $\Sigma_T$ , branched over  $L$  points

$$\alpha'_{GT} = \frac{2}{g_{YM}^2}$$

$\#$  = misc' numerical factors, which match Euler char' of space of maps

This is the form expected if 2d pure YM is the SFT of a sigma model  $\Sigma_W \rightarrow \Sigma_T$ , at large  $N$

Now let's turn to the decomposition.

The partition function of a single universe of 2d pure YM is

$$\begin{aligned}
 & (\dim R(Y))^{2-2p} \exp \left( -g_{YM}^2 \frac{A}{2N} C_2(R) \right) \\
 &= \sum_{s_i, t_i \in S_n} \sum_{L=0}^{\infty} \sum_{v_1, \dots, v_L \in S_n} N^{\chi(\widetilde{\Sigma}_w)} (\#) \delta \left( v_1 \cdots v_L \left( \prod_{i=1}^p [s_i, t_i] \right) \underline{P_{r(Y)}} \right) \exp \left( -\frac{A}{\alpha'_{GT}} n \right) \\
 & \qquad \qquad \qquad + \text{subleading}
 \end{aligned}$$

- Restrict to single  $SU(N)$  irrep  $R(Y)$
- which fixes  $n = \text{num}' \text{ boxes in Young diagram } Y$  for irrep  $R(Y) = \text{covering map deg}'$
- plus added factor of projector  $P_{r(Y)}$  in the delta function

This means:

- 1) Sigma model is restricted to maps of a single degree ( $n$ )
- 2) Presence of projector  $P_{r(Y)}$  implies add'l contributions not present previously

So, we have puzzles to explain in the expansion of a single YM universe:

- 1) Sigma model is restricted to maps of a single degree ( $n$ )
- 2) Presence of projector  $P_{r(Y)}$  implies add'l contributions not present previously

In broad brushstrokes, both phenomena are typical in decomposition:

- Restrictions on instantons / nonperturbative sectors
- Individual universes can receive contributions which cancel out in sums over universes as we saw previously in the  $SU(2) = SO(3)_+ \amalg SO(3)_-$  example.

However, the details here are more extreme:

- Restrictions are usually to a subset of instantons, not to a single instanton degree
- Here the extra contributions would expand possible worldsheets beyond smooth Riemann surfaces

Let's examine in detail...

1) Sigma model is restricted to maps of a single degree ( $n$ )

In a 2d NLSM, this is a restriction to (worldsheet) instantons of a single degree.

This is more extreme than we ordinarily see in decomposition.

Furthermore,

labelling field configurations by instanton number  
is typically just an artifact of a semiclassical expansion,  
and ordinarily does not have an intrinsic meaning in QFT.

Proposal:

the Gross-Taylor string has a symmetry for which map degree is a conserved quantity.

But map degree is a 2-form ( $\phi^*\omega$ ),  
so such a symmetry would be either a 1-form or (-1)-form symmetry.

1) Sigma model is restricted to maps of a single degree ( $n$ )

Proposal:

the Gross-Taylor string has a symmetry for which map degree is a conserved quantity.

But map degree is a 2-form ( $\phi^*\omega$ ),  
so such a symmetry would be either a 1-form or (-1)-form symmetry.

To make this more concrete,  
next I'll walk through a related example, where precisely this happens:  
2d pure Maxwell theory.



1) Sigma model is restricted to maps of a single degree ( $n$ )

2d pure Maxwell theory:

Pure Maxwell theory in any dimension has a global  $BU(1)$  (1-form) symmetry:

$$A \mapsto A + \Lambda$$

and Noether current  $J^e = *F$ , associated to operator  $U_\alpha(p) = \exp(i\alpha *F(p))$

In 2d, it also has a magnetic (-1)-form symmetry,

with current  $J^m = F$ , associated to operator  $U_\beta(\Sigma) = \exp\left(i\beta \int_\Sigma F\right)$

So, the symmetries are of the same form as proposed for Gross-Taylor, making it a useful prototype....

1) Sigma model is restricted to maps of a single degree ( $n$ )

2d pure Maxwell theory:

$$Z(\Sigma) = \int [DA] \exp(-S) \quad \text{for} \quad S = \frac{1}{g_{YM}^2} \int_{\Sigma} F^{\mu\nu} F_{\mu\nu} + i\theta \int_{\Sigma} F$$
$$\propto \sum_{n=-\infty}^{\infty} \exp\left(-\frac{n^2}{g_{YM}^2 A} + i\theta n\right) \quad \text{where} \quad n \sim c_1 \sim \int F$$

After Poisson resummation,

$$Z(\Sigma) = \sum_{m=-\infty}^{\infty} \exp\left(-\frac{g_{YM}^2 A}{4} (\theta + 2\pi m)^2\right)$$

This is the form of the exact expression for pure YM.

(Paniak, Szabo '02; Gross, Matytsin, '94;  
Minahan, Polychronakos, '93;  
Caselle et al '93; Fine '90)

Decomposes into universes indexed by  $m$  (irreps of  $U(1)$ ), *Poisson dual* to  $n \sim c_1$ .

1) Sigma model is restricted to maps of a single degree ( $n$ )

2d pure Maxwell theory:

$$Z(\Sigma) = \sum_{m=-\infty}^{\infty} \exp\left(-\frac{g_{YM}^2 A}{4} (\theta + 2\pi m)^2\right) \propto \sum_{n=-\infty}^{\infty} \exp\left(-\frac{n^2}{g_{YM}^2 A} + i\theta n\right)$$

Decomposes into universes indexed by  $m$  (irreps of  $U(1)$ ), *Poisson dual* to  $n \sim c_1$ .

Partition function of a single universe is  $\exp\left(-\frac{g_{YM}^2 A}{4} (\theta + 2\pi m)^2\right)$

Analogue of the Witten effect:

Shifting  $\theta \mapsto \theta + 2\pi$  is equivalent to changing the universe:  $m \mapsto m + 1$

1) Sigma model is restricted to maps of a single degree ( $n$ )

2d pure Maxwell theory:

$$Z(\Sigma) = \sum_{m=-\infty}^{\infty} \exp\left(-\frac{g_{YM}^2 A}{4} (\theta + 2\pi m)^2\right) \propto \sum_{n=-\infty}^{\infty} \exp\left(-\frac{n^2}{g_{YM}^2 A} + i\theta n\right)$$

This is a prototype for the Gross-Taylor proposal:  
there's a decomposition, into universes indexed by  $m$ ,  
which is Poisson dual to the bundle degree.

In Gross-Taylor, we propose there exists a symmetry which allows us to pick out sectors of  
single map degree (single worldsheet instanton number),  
which is analogous.

1) Sigma model is restricted to maps of a single degree ( $n$ )

So far, we've proposed that the Gross-Taylor string admits an extra symmetry.

Can that be seen directly?

There are (at least) 2 proposals in the literature for the Gross-Taylor string:

1) Cordes-Moore-Ramgoolam: GT string = modification of A model TFT

Standard kinetic terms; localizes on holomorphic maps  $\{\bar{\partial}x = 0\}$

2) Horava: GT string = twisted NLSM with nonstandard kinetic terms

Localizes on harmonic maps  $\{\partial\bar{\partial}x = 0\}$

The desired symmetry is not immediately visible in either;  
might be realized nonlinearly, or, maybe there exists a third version.

Review: puzzles to explain in the expansion of a single YM universe:

1) Sigma model is restricted to maps of a single degree ( $n$ )

We've argued this implies the GT string has a new symmetry.

2) Presence of projector  $P_{r(Y)}$  implies add'l contributions not present previously

We'll study this problem next.

2) Presence of projector  $P_{r(Y)}$  implies add'l contributions not present previously

Example:  $\Sigma_T = S^2$  ( $p = 0$ ),  $n = 2$

$$\begin{aligned}
 Z &= \frac{N^{2n}}{n!} \delta \left( (\Omega_n)^2 P_r \right) = \frac{N^{2n}}{n!} \delta \left( (1)P_r + 2 \left( \frac{1}{N} \right) vP_r + \left( \frac{1}{N} \right)^2 v^2 P_r \right) \\
 &= \frac{N^4}{2!} \delta (P_r) + 2 \frac{N^3}{2!} \delta (vP_r) + \frac{N^2}{2!} \delta (v^2 P_r) \\
 &= \frac{N^4}{4} \pm \frac{N^3}{2} + \frac{N^2}{4}
 \end{aligned}$$

$\Sigma_W = S^2 \amalg S^2$	????	$\Sigma_W = S^2$
$\chi(\Sigma_W) = 4$		$\chi(\Sigma_W) = 2$

The  $N^3$  term is new — not present in original GT — present here only b/c of  $P_r$ .

How to interpret?  $N^\chi = N^3$  so  $\chi = 3$ , but no closed string worldsheet has  $\chi$  odd

2) Presence of projector  $P_{r(Y)}$  implies add'l contributions not present previously

How to interpret? No closed string worldsheet has  $\chi$  odd

Some options:

- Expand out the projector  $P_r$

In the previous example, we'd get a term prop' to  $N^3 \delta(vv)$ .  
From the delta, should be  $S^2$ , but wrong Euler characteristic.

- Open string?

Subleading corrections were interpreted in the old literature as nonpert' corrections;  
open string worldsheets could have odd  $\chi$

But these terms aren't all subleading, so expect them to be perturbative,  
hence not from open worldsheets.



2) Presence of projector  $P_{r(Y)}$  implies add'l contributions not present previously

How to interpret? No closed string worldsheet has  $\chi$  odd

Another possible option: stacky worldsheets

Returning to previous example ( $\Sigma_T = S^2, n = 2$ ):

$$\begin{aligned} Z &= \frac{N^4}{2!} \delta(P_r) + \underline{2 \frac{N^3}{2!} \delta(vP_r)} + \frac{N^2}{2!} \delta(v^2P_r) \\ &= \frac{N^4}{4} \pm \underline{\frac{N^3}{2}} + \frac{N^2}{4} \end{aligned}$$

Interpret as 2 copies of  $S^2$  with a single  $\mathbb{Z}_2$  orbifold point ( $\mathbb{P}_{[1,2]}^1$ )

$$\chi\left(\mathbb{P}_{[1,2]}^1\right) = 3/2 \quad \chi\left(\mathbb{P}_{[1,2]}^1 \amalg \mathbb{P}_{[1,2]}^1\right) = (2)(3/2) = 3$$

matches power of  $N$ !

2) Presence of projector  $P_{r(Y)}$  implies add'l contributions not present previously

How to interpret? No closed string worldsheet has  $\chi$  odd

Another possible option: stacky worldsheets

For  $\Sigma_T = S^2$ , there is a systematic construction of stacky  $\Sigma_W$ 's  
(here, Riemann surfaces w/ orbifold points)  
that gives matching powers of  $N$ .

Idea: Given  $\delta(v_1 \cdots v_L)$ , write each  $v_i \in S_n$  as a product of cycles.  
On  $j$ th copy of  $S^2$ , if  $j$  appears in a cycle of length  $k$ , insert  $\mathbb{Z}_k$

Example: S'pose  $n = 6$  and  $v = (12)(345)(6)$

Then, insert  $\mathbb{Z}_2$  on 2 copies,  $\mathbb{Z}_3$  on 3 copies, smooth pt on last copy.

Can show  $\chi = n(2 - 2p) + \sum_j (K_{v_j} - n)$  which matches power of  $N$

2) Presence of projector  $P_{r(Y)}$  implies add'l contributions not present previously

How to interpret? No closed string worldsheet has  $\chi$  odd

Another possible option: stacky worldsheets

Issues:

- Construction only understood for  $S^2$ , not higher genus
- Construction not unique — orb' points can be redistributed across sheets of cover
- Have not tried to compare Hurwitz moduli spaces in general cases

In the same spirit, at least on  $\Sigma_T = S^2$ ,  
one can reinterpret the terms as contributions from `stacky' copies of  $\Sigma_T$ ,  
meaning, copies with orbifold points.

This is in the spirit of the decomposition:  
instead of a sigma model summing over maps  $\Sigma_W \rightarrow \Sigma_T$ ,  
this would reflect a decomposition, to trivial field theories  
(corresponding to copies of  $\Sigma_T$ ).

# Summary: reconciling decomposition & GT string pictures of 2d pure YM

## 1) Reviewed decomposition

Focusing on examples of  $S_n$  orbifolds & 2d pure YM

## 2) Gross-Taylor and the puzzles

Logic of Gross-Taylor:

First rewrote pure YM partition function as a sum of  $S_n$  orbifolds, then, interpreted those orbifolds as branched covers and then as SFT.

We saw that the  $S_n$  orbifolds interlace with decomposition perfectly, but two puzzles arise in the branched covers/SFT interpretation.

## 3) Proposed resolution

The branched cover/SFT interpretation will also be compatible if the GT string is required to have a novel symmetry.

Thank you for your time!

Before going on, let's quickly check these claims for pure  $SU(2)$  Yang-Mills in 2d.

The partition function  $Z$ , on a Riemann surface of genus  $g$ , is

(Migdal, Rusakov)

$$Z(SU(2)) = \sum_R (\dim R)^{2-2g} \exp(-AC_2(R)) \quad \text{Sum over all } SU(2) \text{ reps}$$

$$Z(SO(3)_+) = \sum_R (\dim R)^{2-2g} \exp(-AC_2(R)) \quad \text{Sum over all } SO(3) \text{ reps}$$

(Tachikawa '13)

$$Z(SO(3)_-) = \sum_R (\dim R)^{2-2g} \exp(-AC_2(R)) \quad \begin{array}{l} \text{Sum over all } SU(2) \text{ reps} \\ \text{that are not } SO(3) \text{ reps} \end{array}$$

Result:  $Z(SU(2)) = Z(SO(3)_+) + Z(SO(3)_-)$  as expected.

(Later we'll review a more extreme decomposition of 2d pure YM, which we'll compare to GT.)

1) Sigma model is restricted to maps of a single degree ( $n$ )

In a 2d NLSM, this is a restriction to (worldsheet) instantons of a single degree.

In decomposition, one often sees restrictions on instanton degrees.

For example, in the  $SU(2) = SO(3)_+ \amalg SO(3)_-$  example,  
 $SU(2)$  instantons are a subset of  $SO(3)$  instantons.

However, in that case, and most other examples,  
one restricts to a subset of instantons,  
not to instantons of a single degree.

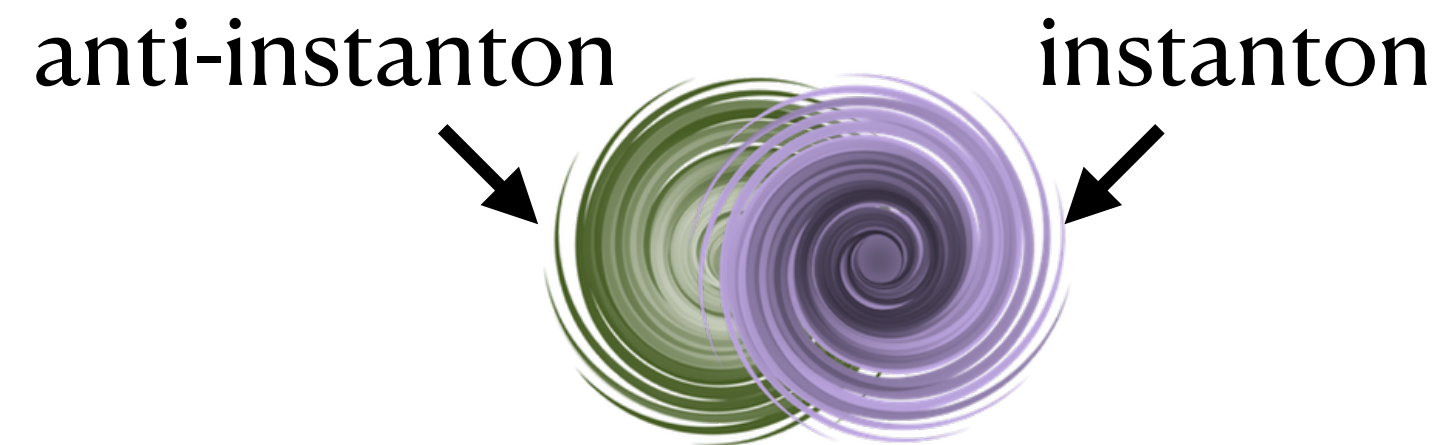
Let's take a moment to review some underlying physics....



Suppose we try to require that the total instanton number always vanish in our QFT.

Start with a field configuration with no net instantons.

Now, move them far away from one another:



---

Nonzero  
instanton number  
here!

Total instanton number : 0

---

Nonzero  
instanton number  
here!

If physics is local (“cluster decomposition”),  
then in those widely-separated regions, the theories have instantons.

So, even if we start with no net instantons,  
cluster decomposition implies we get instantons!

## Cluster decomposition:



For this reason, Steven Weinberg taught us:

All local quantum field theories must sum over all instantons,  
so as to preserve cluster decomposition.

Loophole: Disjoint unions of QFTs also violate cluster decomposition  
(ex: multiple dimension zero operators),  
but in principle are straightforward to deal with.

So, if a theory with a restriction on instantons is also a disjoint union,  
of theories which are well-behaved, then all is OK.

