

## 2-Covering spaces

David M Roberts  
University of Adelaide

October 1, 2009

## Topological groupoids and functors

A *topological groupoid*  $\mathbf{X}$  consists of:

- ▶ A space  $X_0$  ('objects') and a space  $X_1$  ('arrows' or 'morphisms')
- ▶ Maps  $s, t: X_1 \rightarrow X_0$  ('source' and 'target')  $\text{id}_{(-)}: X_0 \rightarrow X_1$  ('unit' or 'identity')
- ▶ Composition  $X_1 \times_{X_0} X_1 \rightarrow X_1$  and inverse  $(-)^{-1}: X_1 \rightarrow X_1$

Often will denote a groupoid  $\mathbf{X}$  by

$$X_1 \rightrightarrows X_0$$

# Topological groupoids and functors

A *functor* between topological groupoids,  $f: \mathbf{X} \rightarrow \mathbf{Y}$  consists of

- ▶ Maps  $f_0: X_0 \rightarrow Y_0$  and  $f_1: X_1 \rightarrow Y_1$  ('object component' and 'arrow component')

such that

- ▶  $sf_1 = f_0s$ ,  $tf_1 = f_0t$  (respects source and target)
- ▶  $f(\gamma\eta) = f(\gamma)f(\eta)$ ,  $f(id_x) = id_{f(x)}$  and  $f(\gamma^{-1}) = f(\gamma)^{-1}$  (respects composition, identities and inverses)

We thus have a category  $TG$  of topological groupoids and functors.

## Examples

- ▶ There is a functor  $Top \hookrightarrow TG$  sending a space to the groupoid with no non-trivial arrows.
- ▶ There is a full subcategory  $Gpd \hookrightarrow TG$  of groupoids with the discrete topology - these will be referred to as t-d (topologically discrete) groupoids.
- ▶ Let  $X$  be a locally connected, semilocally simply-connected topological space. The fundamental groupoid

$$\Pi_1(X) := (X' / \sim \rightrightarrows X)$$

can be given a topology such that  $\Pi_1(X) \rightarrow X \times X$  is a covering space.

## Examples

Let  $p : E \rightarrow X$  be a map, and  $\text{vert}(E') \subset E'$  the subset of *vertical* paths. Denote by  $\sim_v$  the equivalence relation 'vertically homotopic rel endpoints'.

Then

$$\Pi_1/X(E) := (\text{vert}(E')/\sim_v \rightrightarrows E^\delta)$$

is a (t-d) groupoid equipped with a functor

$$\Pi_1/X(E) \rightarrow X.$$

When  $p$  is a fibre bundle, or even locally homotopically trivial, and the fibres have universal covering spaces, we can make  $\Pi_1/X(E)$  a topological groupoid.

## Fibres are discrete. . . ish

- ▶ The fibres of a covering space are sets, or more accurately, spaces in the essential image of the functor  $Set \rightarrow Top$ .
- ▶ We think of a covering space as being a family of sets parameterised by the base space. The idea is that a 2-covering space is a family of groupoids, all of which are in the essential image of

$$Gpd \rightarrow TG'$$

where  $TG'$  is a category (actually bicategory) of groupoids which is just the naïve (2-)category  $TG$  with some formal inverses thrown in.

## Brief detour into $TG'$

### Definition

A *weak equivalence* (Everaert-Kieboom-van der Linden)

$f: \mathbf{X} \xrightarrow{\sim} \mathbf{Y}$  of topological groupoids is a functor which satisfies:



$$\begin{array}{ccc} X_1 & \xrightarrow{f_1} & Y_1 \\ (s,t) \downarrow & & \downarrow (s,t) \\ X_0 \times X_0 & \xrightarrow{f_0 \times f_0} & Y_0 \times Y_0 \end{array}$$

is a pullback ( $f$  is *fully faithful*), and



$$\begin{array}{ccccc} X_0 & \longleftarrow & X_0 \times Y_0 & Y_1 & \\ f_0 \downarrow & & \downarrow & \searrow \rho & \\ Y_0 & \longleftarrow_s & Y_1 & \xrightarrow{t} & Y_0 \end{array},$$

$\rho$  admits local sections ( $f$  is *essentially epi*).

## Brief detour into $TG'$

- ▶ There is a 2-category (also denoted  $TG$ ) of groupoids, functors and *natural transformations* (Ehresmann). Equivalences in this 2-category are weak equivalences but the converse is not true.
- ▶ The bicategory  $TG'$  is 'the' universal construction such that the functor  $q: TG \rightarrow TG'$  sends weak equivalences to actual equivalences (Pronk). We can construct  $TG'$  such that  $q$  includes  $TG$  as a *strict* sub-bicategory with the same objects as  $TG'$  ([R.], drawing on Makkai, Bartels).
- ▶ 1-arrows in this bicategory, which are spans  $\mathbf{X} \xleftarrow{\sim} \mathbf{X}[U] \rightarrow \mathbf{Y}$ , will be denoted  $\mathbf{X} \twoheadrightarrow \mathbf{Y}$  and equivalences will be denoted  $\mathbf{X} \xrightarrow{\sim} \mathbf{Y}$ .



## Fibres are discrete. . . ish

### Definition

A groupoid  $\mathbf{P}$  is *weakly discrete* if it is equivalent (in  $TG'$ ) to a t-d groupoid. That is, there is an equivalence  $\mathbf{D} \xrightarrow{\sim} \mathbf{P}$ .

If we let  $\mathbf{X}^\delta$  denote a groupoid considered with the discrete topology, we have the following pleasing result:

## Fibres are discrete. . . ish

### Lemma

*A groupoid  $\mathbf{P}$  is weakly discrete if and only if the canonical functor  $\mathbf{P}^\delta \rightarrow \mathbf{P}$  is a weak equivalence.*

The fundamental groupoid  $\Pi_1(X)$  of a (locally nice) space is weakly discrete. If  $X$  is locally badly behaved this can fail to be so, or even fail to be topological e.g. Hawaiian earring (P. Fabel).

## 2-covering spaces

### Definition

Let  $\mathbf{Z} \rightarrow X$  be a functor.  $\mathbf{Z}$  is a *2-covering space* of  $X$  if there is an open cover  $\{U_i\}$  of  $X$  such that for each pullback groupoid

$$\mathbf{Z}_{U_i} := (Z_1 \times_X U_i \rightrightarrows Z_0 \times_X U_i)$$

## 2-covering spaces

### Definition

Let  $\mathbf{Z} \rightarrow X$  be a functor.  $\mathbf{Z}$  is a *2-covering space* of  $X$  if there is an open cover  $\{U_i\}$  of  $X$  such that for each pullback groupoid

$$\mathbf{Z}_{U_i} := (Z_1 \times_X U_i \rightrightarrows Z_0 \times_X U_i)$$

there is an equivalence  $\phi_i: \mathbf{Z}_{U_i} \xrightarrow{\sim} U_i \times \mathbf{D}_i$  commuting with the obvious maps to  $U_i$ ,

## 2-covering spaces

### Definition

Let  $\mathbf{Z} \rightarrow X$  be a functor.  $\mathbf{Z}$  is a *2-covering space* of  $X$  if there is an open cover  $\{U_i\}$  of  $X$  such that for each pullback groupoid

$$\mathbf{Z}_{U_i} := (Z_1 \times_X U_i \rightrightarrows Z_0 \times_X U_i)$$

there is an equivalence  $\phi_i: \mathbf{Z}_{U_i} \xrightarrow{\sim} U_i \times \mathbf{D}_i$  commuting with the obvious maps to  $U_i$ , and where each  $\mathbf{D}_i$  is t-d.

## 2-covering spaces

### Definition

Let  $\mathbf{Z} \rightarrow X$  be a functor.  $\mathbf{Z}$  is a *2-covering space* of  $X$  if there is an open cover  $\{U_i\}$  of  $X$  such that for each pullback groupoid

$$\mathbf{Z}_{U_i} := (Z_1 \times_X U_i \rightrightarrows Z_0 \times_X U_i)$$

there is an equivalence  $\phi_i: \mathbf{Z}_{U_i} \xrightarrow{\sim} U_i \times \mathbf{D}_i$  commuting with the obvious maps to  $U_i$ , and where each  $\mathbf{D}_i$  is t-d.

2-covering spaces equipped with an equivalences like the  $\mathbf{Z}_{U_i}$  are will be called *trivialisable*.

## An example

Let  $A$  be an abelian topological group. Recall (Murray) that an  $A$ -bundle gerbe  $(P, Y)$  on a space  $X$  consists of a map  $Y \rightarrow X$  admitting local sections, a principal  $A$ -bundle  $P \rightarrow Y \times_X Y$  together with a 'product' map

$$p_{12}^* P \otimes p_{23}^* P \rightarrow p_{13}^* P$$

of bundles over  $Y^{[3]} := Y \times_X Y \times_X Y$  which is associative over  $Y^{[4]}$ .

### Theorem

*An  $A$ -bundle gerbe  $(P, Y)$  on  $X$  for discrete  $A$  determines a 2-covering space*

$$(P \rightrightarrows Y) \rightarrow X.$$

## An example, continued

As a concrete example, let  $(E, S^3)$  be the lifting bundle gerbe associated to the  $U(1)$ -bundle  $S^3 \rightarrow S^2$  and the central extension  $\mathbb{Z} \rightarrow \mathbb{R} \rightarrow S^1$ .

$$E \rightarrow S^3 \times_{S^2} S^3$$

is a principal  $\mathbb{Z}$ -bundle and  $E \rightrightarrows S^3$  is a 2-covering space of  $S^2$ . It can be shown that this 2-covering space is not trivialisable.



## Properties of 2-covering spaces

Let us now consider a general 2-covering space  $\mathbf{Z} \rightarrow X$ . We find the following results

### Theorem

- ▶ *The map  $Z_0 \rightarrow X$  admits local sections,*
- ▶  *$Z_1 \rightarrow Z_0 \times_X Z_0$  is a covering space,*
- ▶ *Each fibre  $Z_x$  is a weakly discrete groupoid,*
- ▶ *For path-connected  $X$ , all the fibres are equivalent objects in  $TG'$ .*

Note that for the second point we need to allow fibres to be empty, and if  $Z_0 \times_X Z_0$  is not path-connected then the fibres need not all be isomorphic.

## Generic example

Let us return to our example from earlier,  $\Pi_1/X(E) \rightarrow X$ . We need to use some easy properties of the functor  $\Pi_1 : Top/X \rightarrow TG/X$ :

- ▶  $\Pi_1$  commutes with pullbacks:  
 $\Pi_1/X(E) \times_X A \simeq \Pi_1/A(E \times_X A),$
- ▶  $\Pi_1/X(X \times F) \simeq \Pi_1(F) \times X,$
- ▶ Vertically homotopic maps are sent to isomorphic functors

The properties are used to *define* the topology on  $\Pi_1/X(E)$ .

## Generic example

Assume (wlog)  $X$  is path-connected.

- ▶ If  $E \rightarrow X$  is a fibre bundle then as it is locally trivial,  $\Pi_1/X(E)$  is locally trivialisable. All that is necessary for  $\Pi_1/X(E)$  to be a 2-covering space is that the typical fibre has a universal covering space.
- ▶ If  $E \rightarrow X$  is locally homotopy trivial, and the typical fibre (defined up to homotopy equivalence) has a universal covering space, then  $\Pi_1/X(E)$  is again a 2-covering space.
- ▶ As a more specific example of this last case, assume that  $X$  is paracompact and has a nhd basis of inessential open sets (i.e.  $U \hookrightarrow X$  is null-homotopic). Then any Hurewicz fibration over  $X$  is locally homotopy trivial (Dold).

## Low-dimensional homotopy properties

- ▶ Recall that for a covering space  $p: \tilde{X} \rightarrow X$ ,  $\pi_1(p)$  is injective. We would like a similar result for 2-covering spaces, but now we do not consider the fundamental group, but the fundamental *2-group*. This is best explained with an example, which we shall need to use later
- ▶ Consider the fundamental groupoid of a (based) loop space,  $\Pi_1(\Omega X)$ . The H-space structure on  $\Omega X$  induces an up-to-homotopy associative multiplication on the objects and arrows of the groupoid, and reversing paths gives up-to-homotopy inverses.

## Low-dimensional homotopy properties

- ▶  $\Pi_2(X, *) := \Pi_1(\Omega X)$  is called the *fundamental 2-group* of  $X$  (e.g. Baez-Lauda). Groupoids with such a group-like structure are called 2-groups (they have a history under different names going back to the 1960s). Note that this construction is functorial for pointed spaces and maps.
- ▶ We do not have at present a completely satisfactory loop 'space' of a groupoid, but it is still possible [R.] to define the fundamental 2-group  $\Pi_2(\mathbf{X}, *)$  of a pointed groupoid  $\mathbf{X}$  by other means.
- ▶ This reduces (up to equivalence) to the original construction when  $\mathbf{X}$  is a space, and is a functor of pointed groupoids and basepoint-preserving functors.

## Low-dimensional homotopy properties

There are analogues of path- and homotopy-lifting theorems (more like Dold fibrations than Hurewicz or Serre). These help us to prove:

### Theorem

*Given a 2-covering space  $\mathbf{Z} \rightarrow X$  (and basepoints), the induced functor  $\Pi_2(\mathbf{Z}, *) \rightarrow \Pi_2(X, *)$  is faithful.*

From work of M. Dupont we see that this should be taken as the definition of a sub-2-group.

## A 2-connected cover

We say a groupoid  $\mathbf{X}$  is 2-connected if  $\Pi_2(\mathbf{X}, *)$  is equivalent to the trivial groupoid.

Let  $(X, x)$  be a pointed space with a nhd basis of inessential open sets (not assuming  $X$  is paracompact) and let  $PX \rightarrow X$  be the path fibration.

### Theorem

$\mathbf{X}^{(2)} := \Pi_1/X(PX) \rightarrow X$  is a 2-covering space and the groupoid  $\mathbf{X}^{(2)}$  is 2-connected.

Furthermore, this is functorial: a map  $X \rightarrow Y$  gives a commuting square

$$\begin{array}{ccc} \mathbf{X}^{(2)} & \longrightarrow & \mathbf{Y}^{(2)} \\ \downarrow & & \downarrow \\ X & \longrightarrow & Y \end{array}$$

## Comments

- ▶ The local contractibility condition on the base is too strong, but this is the best we can do with the current definition of 2-covering space. This points toward replacing  $TG'$  with a different localisation  $TG''$  (replacing open covers with another Grothendieck topology).
- ▶ We can form (weak) quotients of  $\mathbf{X}^{(2)}$  by sub-2-groups of  $\Pi_2(X, *)$  – these will also be 2-covering spaces, giving us a functor from the 2-category of such sub-2-groups to that of (pointed, path-connected) 2-covering spaces. This is conjectured to be an equivalence of 2-categories.
- ▶ 2-covering spaces give us lots of explicit examples of 2-bundles (T. Bartels) which previously have been hard to come by.



## References

- R. D.M. Roberts, *Fundamental bigroupoids and 2-covering spaces*, PhD thesis. Draft available from <http://ncatlab.org/david+roberts/show/HomePage> (Chapters 1 and 2).