

## THE BRAID GROUPS

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### 1. Introduction.

The braid groups  $B_n$ ,  $n = 1, 2, 3, \dots$ , were introduced in 1926 by E. Artin [1] and have been the subject of numerous investigations. Although there is a well-known presentation of  $B_n$  that has been derived several times the derivations that appear in the literature e.g. [1], [2] are all, in one way or another, somewhat devious. Our principal object is to give a straightforward derivation of this presentation, based on the previously unnoted remark that  $B_n$  may be considered as the fundamental group of the space  $\mathbb{E}^{2n}$  of configurations of  $n$  undifferentiated points in the plane.

Our derivation uses a method of computation that has never been published, although knowledge of it is probably widely distributed. It is proposed to publish the details of this method in a later paper; however the ideas involved are transparent enough to be believably communicated very briefly, and this we do in § 2 of the present paper.

By examining a certain covering of  $\mathbb{E}^{2n}$  and using the results of [3] it is shown that  $\mathbb{E}^{2n}$  is aspherical, and certain consequences of this fact are noted. In particular it follows immediately that  $B_n$  has no elements of finite order; we believe that this was not previously known.

### 2. Computation of $\pi_1$ .

If  $X$  is a regular cell-complex, then we consider mappings of  $X$  onto  $X/R$  where  $R$  is a relation obtained from a family  $\Phi$  of identifications of the cells of  $X$ .  $\Phi$  is required to satisfy the following conditions:

- 0) Each  $\varphi$  in  $\Phi$  is a homeomorphism with domain a closed cell of  $X$ .
- i) If  $U$  is a cell of  $X$ ,  $\varphi: \bar{U} \rightarrow \bar{U}$  is in  $\Phi$  if and only if  $\varphi$  is the identity.
- ii) If  $\varphi \in \Phi$ ,  $\varphi: \bar{U}_1 \rightarrow \bar{U}_2$  then  $\varphi^{-1}: \bar{U}_2 \rightarrow \bar{U}_1$  is in  $\Phi$ .
- iii) If  $\varphi: \bar{U}_1 \rightarrow \bar{U}_2$  and  $\varphi^1: \bar{U}_2 \rightarrow \bar{U}_3$  are in  $\Phi$ , so is  $\varphi^1\varphi: \bar{U}_1 \rightarrow \bar{U}_3$ .
- iv) If  $\varphi: \bar{U}_1 \rightarrow \bar{U}_2$  is in  $\Phi$  and  $V_1$  is a cell contained in  $\bar{U}_1$  then  $V_2 = \varphi(V_1)$  is also a cell, and  $\varphi|_{V_1}: \bar{V}_1 \rightarrow \bar{V}_2$  is in  $\Phi$ .

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In what follows  $X$  and  $X/R$  will be manifolds of dimension  $n$ , and we shall compute  $\pi_1$  of the complement of an  $(n-2)$ -dimensional subcomplex  $K$  of  $X/R$ .

The algorithm for the computation is roughly as follows: Select in  $X/R$  a maximal  $n$ -dimensional "cave"  $\mathcal{C}$  of  $n$ -dimensional, and oriented  $(n-1)$ -dimensional cells (this will be dual to a maximal tree in a dual cell decomposition). To each oriented  $(n-1)$ -cell not in the cave will correspond a generator of  $\pi_1$ . This generator is represented by a loop that penetrates the  $(n-1)$  cell once with intersection number 1 but otherwise lies entirely in  $\mathcal{C}$ . To each  $(n-2)$ -cell of  $X/R$  that does not belong to  $K$  will correspond a relation, obtained from the "non-abelian coboundary" of the  $(n-2)$ -cell in question. More precisely, a small loop about an  $(n-2)$ -cell  $\sigma$  will intersect, in a certain order and sense, all the  $(n-1)$ -cells having  $\sigma$  on their boundary. Joining this loop to the base point will give a representative of an element of the fundamental group of the union of the  $n$ , and  $(n-1)$ -cells of  $X/R - K$ . In this way a set of elements of the free group generated by the  $(n-1)$ -cells not in  $\mathcal{C}$  is defined. This set of elements, one for each  $(n-2)$ -cell not in  $K$ , will be a complete set of relations for  $\pi_1(X/R - K)$ .

### 3. A cellular decomposition of $S^{2n}$ .

An ordered  $n$ -tuple  $(p_1, \dots, p_n)$  of points of the plane  $E^2$  may be considered to be a point  $p$  of  $2n$ -dimensional space  $E^{2n}$ . If the coordinates of  $p_i$  are  $x_i, y_i$ , the coordinates of the corresponding point  $p$  are

$$x_1, y_1, x_2, y_2, \dots, x_n, y_n.$$

Let us write  $i_1 < i_2$  whenever the abscissa of  $p_{i_1}$  is smaller than the abscissa of  $p_{i_2}$ ,  $i_1 \underline{\leq} i_2$  whenever  $p_{i_1}$  and  $p_{i_2}$  have the same abscissa, and the ordinate of  $p_{i_1}$  is smaller than the ordinate of  $p_{i_2}$ , and  $i_1 = i_2$  whenever  $p_{i_1}$  coincides with  $p_{i_2}$ . Information of this sort can be condensed into a single symbol,  $\theta$ , describing a point set in  $E^{2n}$ . Thus, for example, the symbol  $(3 < 5 = 1 < 6 \underline{\leq} 4 \underline{\leq} 2 = 7)$  denotes the set of all points  $(x_1, y_1, \dots, x_7, y_7)$  in  $E^{14}$  such that

$$\begin{aligned} x_3 < x_5 = x_1 < x_6 = x_4 = x_2 = x_7, \\ y_5 = y_1, \quad y_6 < y_4 < y_2 = y_7. \end{aligned}$$

(Of course the same information is indicated by each of the symbols

$$\begin{aligned} (3 < 1 = 5 < 6 \underline{\leq} 4 \underline{\leq} 2 = 7), \\ (3 < 5 = 1 < 6 \underline{\leq} 4 \underline{\leq} 7 = 2), \\ (3 < 1 = 5 < 6 \underline{\leq} 4 \underline{\leq} 7 = 2); \end{aligned}$$

we shall not distinguish between such equivalent symbols). The same symbol  $\theta$  will be used to denote the set of all those points  $p$  satisfying the indicated conditions.

It is easy to see that each  $\theta$  is a convex subset of  $E^{2n}$  and that, together with the point at infinity, they are the (open) cells of a regular cell-subdivision of the  $2n$ -dimensional sphere  $S^{2n} = E^{2n} \cup \infty$ . The dimension of the cell  $\theta$  is obviously equal to  $2n$  minus the sum of the number of occurrences of  $\underline{\leq}$  and twice the number of occurrences of  $=$ . The lower dimensional cells that are on the boundary of  $\theta$  are obtained by replacing instances of  $i_1 < i_2$  by  $i_1 \underline{\leq} i_2$  or  $i_2 \underline{\leq} i_1$  and/or replacing instances of  $j_1 \underline{\leq} j_2$  by  $j_1 = j_2$  (or  $j_2 = j_1$ ). For example the boundary of the 5-dimensional cell  $(1 < 2 \underline{\leq} 3)$  consists of the 4-dimensional cells  $(1 \underline{\leq} 2 \underline{\leq} 3)$ ,  $(2 \underline{\leq} 1 \underline{\leq} 3)$ ,  $(1 < 2 = 3)$ , the 3-dimensional cells  $(1 = 2 \underline{\leq} 3)$ ,  $(1 \underline{\leq} 2 = 3)$ ,  $(2 \underline{\leq} 1 = 3)$ , the 2-dimensional cell  $(1 = 2 = 3)$ , and the vertex  $\infty$ .

In what follows we shall be concerned especially with the cells of dimension  $\geq 2n - 2$ . There are  $n!$  cells of dimension  $2n$ . One of them is  $(1 < 2 < \dots < n)$ , and the others may be obtained from this by permuting the indices  $1, 2, \dots, n$ . The  $(2n - 1)$ -cells on the boundary of

$$(1 < 2 < \dots < n)$$

are

$$\begin{aligned} & (1 \underline{\leq} 2 < 3 < \dots < n), \\ & (2 \underline{\leq} 1 < 3 < \dots < n), \\ & (1 < 2 \underline{\leq} 3 < \dots < n), \\ & (1 < 3 \underline{\leq} 2 < \dots < n) \text{ etc.}, \end{aligned}$$

and the  $(2n - 2)$ -cells on the boundary of, say,  $(1 \underline{\leq} 2 < 3 < \dots < n)$  are

$$\begin{aligned} & (1 = 2 < 3 < \dots < n), \\ & (1 \underline{\leq} 2 \underline{\leq} 3 < \dots < n), \\ & (1 \underline{\leq} 3 \underline{\leq} 2 < \dots < n), \\ & (3 \underline{\leq} 1 \underline{\leq} 2 < \dots < n), \\ & (1 \underline{\leq} 2 < 3 \underline{\leq} 4 < \dots < n), \\ & (1 \underline{\leq} 2 < 4 \underline{\leq} 3 < \dots < n) \text{ etc.} \end{aligned}$$

#### 4. The action of $\Sigma_n$ on $S^{2n}$ .

To the permutation

$$\begin{pmatrix} 1 & 2 & \dots & n \\ i_1 & i_2 & \dots & i_n \end{pmatrix}$$

associate the autohomeomorphism of  $S^{2n}$  that maps an arbitrary point

$(p_1, p_2, \dots, p_n)$  of  $E^{2n}$  into the point  $(p_{i_1}, p_{i_2}, \dots, p_{i_n})$ ; thus an action on  $S^{2n}$  of the symmetric group  $\Sigma_n$  of permutations of  $n$  symbols  $1, 2, \dots, n$  is defined. Denote the collapsed space by  $\hat{S}^{2n}$ , and the image of  $E^{2n}$  under the collapsing  $A$  by  $\hat{E}^{2n}$ . Each of the autohomeomorphisms of  $S^{2n}$  considered maps  $\infty$  into  $\infty$  and permutes the cells  $\theta$ ; the collapsing  $A$  maps one or more  $m$ -cells  $\sigma$  upon an  $m$ -cell  $\tau$  of  $\hat{E}^{2n}$ , (not necessarily homeomorphically). The cells  $\tau$ , together with the image of the point at  $\infty$ , constitute a regular cell-subdivision with identifications of  $\hat{S}^{2n}$ . A symbolic designation of the cells  $\tau$  is readily derived. For example the cells of  $\hat{S}^6$  are  $\{1 < 2 < 3\}$ ,  $\{1 < 2 \underline{\vee} 3\}$ ,  $\{1 \underline{\vee} 2 < 3\}$ ,  $\{1 \underline{\vee} 2 \underline{\vee} 3\}$ ,  $\{1 < 2 = 3\}$ ,  $\{1 = 2 < 3\}$ ,  $\{1 \underline{\vee} 2 = 3\}$ ,  $\{1 = 2 \underline{\vee} 3\}$ ,  $\{1 = 2 = 3\}$ , and  $\infty$ .

### 5. The subcomplex $\Delta$ .

The points  $p_1, \dots, p_n$  of  $E^2$  are distinct if and only if, for each  $i < j$ ,  $(x_i - x_j)^2 + (y_i - y_j)^2 > 0$ . Accordingly we consider the collection  $\Delta$  of those cells  $\theta$  of our decomposition of  $E^{2n}$  in whose symbols the sign  $=$  occurs at least once. Since boundaries are obtained by changing  $<$  to  $\underline{\vee}$  or  $\underline{\vee}$  to  $=$ , it is clear that  $\Delta$  and  $\infty$  together form a  $(2n - 2)$ -dimensional subcomplex of the cell complex  $S^{2n}$ . Furthermore the points  $p_1, \dots, p_n$  of  $E^{2n}$  are distinct if and only if  $p$  lies in  $E^{2n} - \Delta$ . Let  $\hat{\Delta}$  denote the image of  $\Delta$  under the collapsing  $A$  of  $S^{2n}$  to  $\hat{S}^{2n}$ . Then  $\hat{\Delta} \cup \infty$  is a subcomplex of the cell complex  $\hat{S}^{2n}$ , and  $p_1, \dots, p_n$  are distinct if and only if  $\hat{p} \in \hat{E}^{2n} - \hat{\Delta}$ . Note that the point  $\hat{p}$  may be considered to be an unordered  $n$ -tuple of points  $p_1, \dots, p_n$  of  $E^2$ . Let  $\hat{E}^{2n} = \hat{E}^{2n} - \hat{\Delta}$ .

### 6. The Braid group.

Let  $\mathcal{B}_n$  denote the braid group on  $n$  strings,  $\varphi$  the well-known homomorphism of  $\mathcal{B}_n$  upon  $\Sigma^n$ , and  $\mathcal{S}_n$  the kernel of  $\varphi$ . If we look at the plane cross sections of a braid  $\beta$ , we see that it may be described kinematically as a motion of  $n$  distinct points in the plane that ends with these points back in their original position but permuted as indicated by the permutation  $\varphi(\beta)$ . In particular  $\beta$  belongs to  $\mathcal{S}_n$  if and only if the motion described returns each point to its original position. From these remarks it should be clear that the fundamental group of  $E^{2n} - \Delta$  is  $\mathcal{S}_n$ , the fundamental group of  $\hat{E}^{2n} - \hat{\Delta}$  is  $\mathcal{B}_n$ , and that  $E^{2n} - \Delta$  is the unbranched covering space of  $\hat{E}^{2n} - \hat{\Delta}$  that belongs to the subgroup  $\mathcal{S}_n$  of  $\mathcal{B}_n$ .

### 7. A presentation of $\mathcal{B}_n$ .

To calculate  $\pi_1(\hat{E}^{2n} - \hat{\Delta})$  choose the base point in the interior of the  $2n$ -cell  $\lambda^{2n} = \{1 < 2 < \dots < n\}$ . Since this is the only  $2n$ -cell of  $\hat{S}^{2n}$ , there is a generator  $\sigma_j$  corresponding to each  $(2n - 1)$ -cell

$$\lambda_j^{2n-1} = \{ \dots < j \preceq j+1 < \dots \};$$

it is represented by a loop in  $\lambda^{2n} \cup \lambda_j^{2n-1}$  that cuts  $\lambda_j^{2n-1}$  exactly once. Let us suppose that  $\lambda_j^{2n-1}$  is so oriented that the motion of  $p_1 \cup \dots \cup p_n$  in  $E^2$  described by a loop representative of  $\sigma_j$  causes the points  $p_j$  and  $p_{j+1}$  to interchange places (and names) by circling one another in a counterclockwise direction. The motion for  $\sigma_j^{-1}$  is shown in Figure 1.



Fig. 1.

The braid  $\sigma_j^{-1}$  is shown in Figure 2.

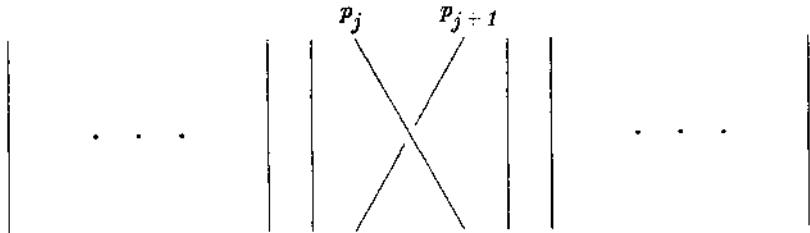


Fig. 2.

According to the general theory, a complete set of relations can be found in one to one correspondence with the cells of  $\hat{E}^{2n} - \hat{J}$  of dimension  $2n - 2$ . These are of two sorts:

$$\lambda_{i,k} = \{ \dots < i \preceq i+1 < \dots < k \preceq k+1 < \dots \}, \quad i+1 < k,$$

$$\lambda_{i,i+1} = \{ \dots < i \preceq i+1 \preceq i+2 < \dots \}.$$

Now  $\lambda_{i,k}$  is on the boundary of just the  $(2n - 1)$ -cells  $\lambda_i$  and  $\lambda_k$ . Figure 3 shows a local cross section of  $E^{2n}$  by a plane perpendicular to the  $(2n - 2)$ -cell

$$(1 < \dots < i \preceq i+1 < \dots < k \preceq k+1 < \dots < n).$$

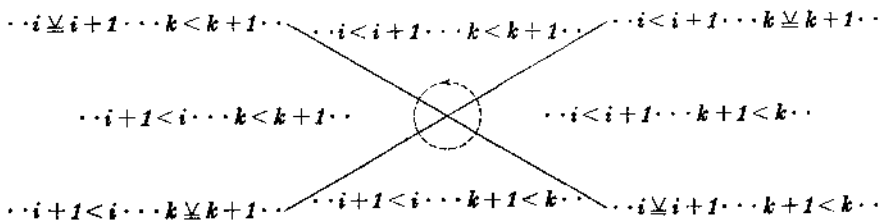


Fig. 3.

The relation  $r_{i,k}$  corresponding to the cell  $\lambda_{i,k}$  in  $E^{2n}$  is read as a "non-abelian coboundary" of  $\lambda_{i,k}$ . It is

$$r_{i,k} = \sigma_i \sigma_k \sigma_i^{-1} \sigma_k^{-1}$$

as may be seen by traversing the dotted loop in Figure 3. The motion of  $(p_1, \dots, p_n)$  in  $E^2$  described by  $r_{i,k}$  is shown in Figure 4 and its interpretation as a braid in Figure 5.

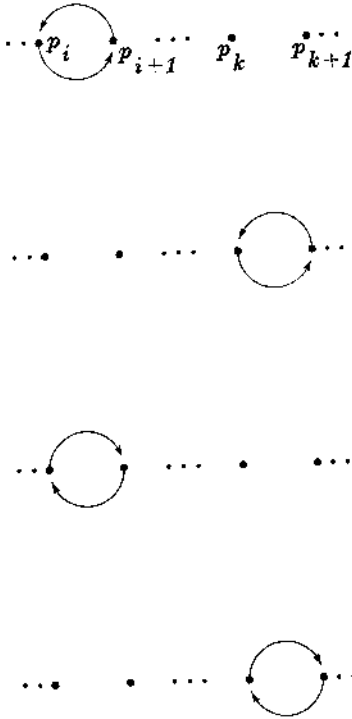


Fig. 4.

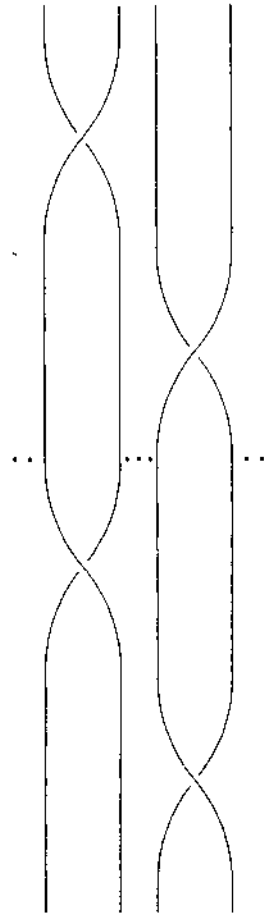


Fig. 5.

As for  $\lambda_{i,i+1}$ , it is on the boundary of  $\lambda_i$  and  $\lambda_{i+1}$ . A local cross section of  $E^{2n}$  by a plane perpendicular to the  $(2n-2)$ -cell

$$(1 < \dots < i \leq i+1 \leq i+2 < \dots < n)$$

is shown in Figure 6.

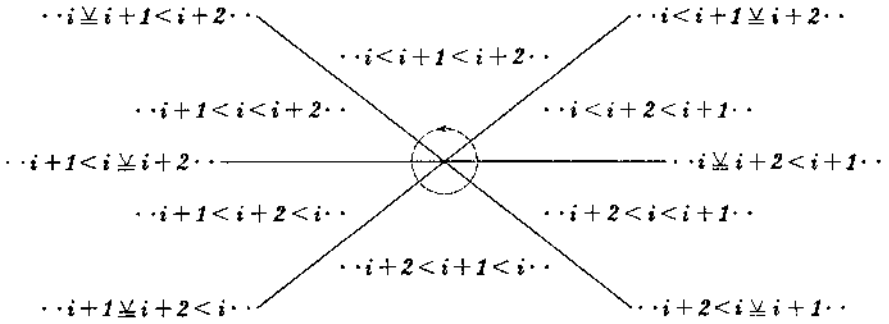


Fig. 6.

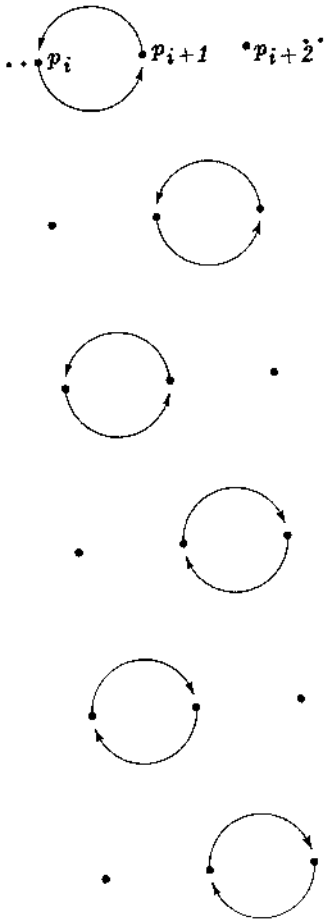


Fig. 7.

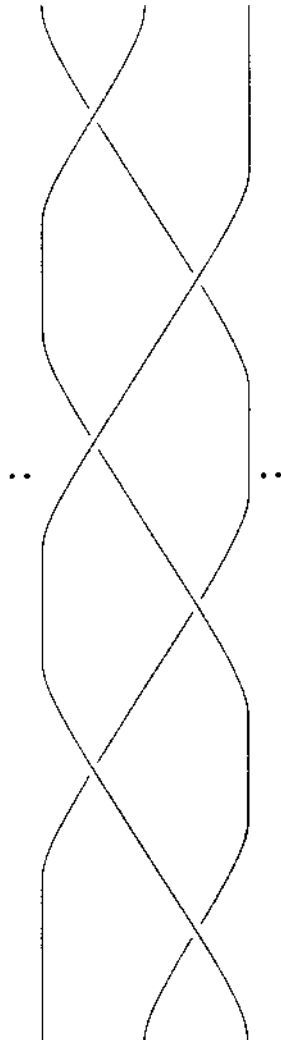


Fig. 8.

The corresponding relation  $r_{i, i+1}$  is

$$r_{i, i+1} = \sigma_i \sigma_{i+1} \sigma_i \sigma_{i+1}^{-1} \sigma_i^{-1} \sigma_{i+1}^{-1}$$

as may be seen by traversing the dotted loop in Figure 6. The motion of  $(p_1, \dots, p_n)$  in  $E^2$  thereby described is shown in Figure 7, and its interpretation as a braid in Figure 8.

Thus we have derived anew the well-known presentation

$$\mathcal{B}_n = (\sigma_1, \dots, \sigma_{n-1}; r_{1,2}, r_{1,3}, \dots, r_{n-2, n-1}).$$

REMARK. The same method could be used to find a presentation of  $\mathcal{S}_n$ , but the result could just as well be obtained by applying the Reidemeister-Schreier theorem.

### 8. Corollaries.

The covering of  $\tilde{E}^{2n}$  corresponding to the representation of  $\mathcal{B}_n$  on  $\Sigma_n$  (symmetric group of degree  $n$ ) is just the space  $F_{0,n}^2$  of [3], hence according to [3] has trivial homotopy groups above dimension 1. It follows then that  $\tilde{E}^{2n}$  is *aspherical*. As an immediate corollary we have:

COROLLARY 1.  $\mathcal{B}_n$  has no elements of finite order.

PROOF.  $\tilde{E}^{2n}$  is a finite dimensional  $K(\mathcal{B}_n, 1)$  space, hence every subgroup of  $\mathcal{B}_n$  must be of finite geometric, hence finite cohomological dimension, but an element of finite order would generate a subgroup of infinite cohomological dimension.

Clearly  $\tilde{E}^{2n}$  is an open  $2n$ -dimensional manifold so we have:

COROLLARY 2.  $\mathcal{B}_n$  has the homology groups of an open  $2n$ -dimensional manifold.

REMARK. It seems reasonable to expect that the homology groups of  $\tilde{E}^{2n}$ , which by virtue of the asphericity of  $\tilde{E}^{2n}$  are those of  $\mathcal{B}_n$ , may be calculated from the cellular decomposition of  $\tilde{E}^{2n}$  which we have given.

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