***** Introduction to Spectral Sequences

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This page is an introduction to <u>spectral sequences</u>. We motivate <u>spectral sequences of filtered complexes</u> from the computation of <u>cellular cohomology</u> via stratum-wise <u>relative cohomology</u>. In the end we generalize to <u>spectral sequences of filtered spectra</u>.

For background on homological algebra see at Introduction to Homological algebra.

For background on <u>stable homotopy theory</u> see at <u>Introduction to Stable homotopy theory</u>.

For application to <u>complex oriented cohomology</u> see at <u>Introduction to Cobordism and Complex Oriented</u> <u>Cohomology</u>.

For application to the <u>Adams spectral sequence</u> see <u>Introduction to Adams spectral sequences</u>.

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In <u>Introduction to Stable homotopy theory</u> we have set up the concept of <u>spectra</u> X and their <u>stable</u> <u>homotopy groups</u> $\pi_{\bullet}(X)$ (<u>def.</u>). More generally for X and Y two spectra then there is the graded stable homotopy group $[X, Y]_{\bullet}$ of homotopy classes of maps bewteen them (<u>def.</u>). These may be thought of as generalized cohomology groups (exmpl.). Moreover, in *part 1.2* we discussed the <u>symmetric monoidal smash</u> <u>product of spectra</u> $X \land Y$. The stable homotopy groups of such a smash product spectrum may be thought of as generalized homology groups (rmk.).

These stable homotopy and generalized (co-)homology groups are the fundamental invariants in <u>algebraic</u> <u>topology</u>. In general they are as rich and interesting as they are hard to compute, as famously witnessed by the <u>stable homotopy groups of spheres</u>, some of which we compute in <u>part 2</u>.

In general the only practicable way to carry out such computations is by doing them along a decomposition of the given spectrum into a "sequence of stages" of sorts. The concept of <u>spectral sequence</u> is what formalizes this idea.

(Here the re-occurence of the root "spectr-" it is a historical coincidence, but a lucky one.)

Here we give a expository introduction to the concept of spectral sequences, building up in detail to the spectral sequence of a filtered complex.

We put these spectral sequences to use in

- part 2 -- Adams spectral sequences.
- part S -- Complex oriented cohomology theory

1. For filtered complexes

We begin with recalling basics of <u>ordinary relative homology</u> and then seamlessly derive the notion of <u>spectral sequences</u> from that as the natural way of computing the ordinary cohomology of a <u>CW-complex</u> stagewise from the relative cohomology of its <u>skeleta</u>. This is meant as motivation and warmup. What we are mostly going to use further below are spectral sequences induced by <u>filtered spectra</u>, this we turn to <u>next</u>.

Ordinary homology

Let *X* be a <u>topological space</u> and $A \hookrightarrow X$ a <u>topological subspace</u>. Write $C_{\bullet}(X)$ for the <u>chain complex</u> of <u>singular</u> <u>homology</u> on *X* and $C_{\bullet}(A) \hookrightarrow C_{\bullet}(X)$ for the <u>chain map</u> induced by the subspace inclusion.

Definition 1.1. The (degreewise) <u>cokernel</u> of this inclusion, hence the <u>quotient</u> $C_{\bullet}(X)/C_{\bullet}(A)$ of $C_{\bullet}(X)$ by the <u>image</u> of $C_{\bullet}(A)$ under the inclusion, is the **chain complex of** A**-relative singular chains**.

- A boundary in this quotient is called an A-relative singular boundary,
- a cycle is called an *A*-relative singular cycle.
- The chain homology of the quotient is the A-relative singular homology of X

$$H_n(X,A) \coloneqq H_n(\mathcal{C}_{\bullet}(X)/\mathcal{C}_{\bullet}(A))$$

Remark 1.2. This means that a singular (n + 1)-chain $c \in C_{n+1}(X)$ is an A-relative cycle precisely if its <u>boundary</u> $\partial c \in C_n(X)$ is, while not necessarily 0, contained in the n-chains of A: $\partial c \in C_n(A) \hookrightarrow C_n(X)$. So the boundary vanishes possibly only "up to contributions coming from A".

We record two evident but important classes of long exact sequences that relative homology groups sit in:

Proposition 1.3. Let $A \stackrel{\iota}{\hookrightarrow} X$ be a <u>topological subspace</u> inclusion. The corresponding relative singular homology, def. <u>1.1</u>, sits in a <u>long exact sequence</u> of the form

$$\cdots \to H_n(A) \xrightarrow{H_n(i)} H_n(X) \to H_n(X, A) \xrightarrow{\delta_{n-1}} H_{n-1}(A) \xrightarrow{H_{n-1}(i)} H_{n-1}(X) \to H_{n-1}(X, A) \to \cdots$$

The <u>connecting homomorphism</u> $\delta_n: H_{n+1}(X, A) \to H_n(A)$ sends an element $[c] \in H_{n+1}(X, A)$ represented by an *A*-relative cycle $c \in C_{n+1}(X)$, to the class represented by the <u>boundary</u> $\partial^X c \in C_n(A) \hookrightarrow C_n(X)$.

Proof. This is the <u>homology long exact sequence</u>, induced by the defining <u>short exact sequence</u> $0 \rightarrow C_{\bullet}(A) \stackrel{i}{\hookrightarrow} C_{\bullet}(X) \rightarrow \operatorname{coker}(i) \simeq C_{\bullet}(X) / C_{\bullet}(A) \rightarrow 0$ of chain complexes.

Proposition 1.4. Let $B \hookrightarrow A \hookrightarrow X$ be a sequence of two <u>topological subspace</u> inclusions. Then there is a <u>long</u> <u>exact sequence</u> of <u>relative singular homology</u> groups of the form

$$\cdots \to H_n(A,B) \to H_n(X,B) \to H_n(X,A) \to H_{n-1}(A,B) \to \cdots$$

Proof. Observe that we have a <u>short exact sequence</u> of chain complexes, def. \ref{ShortExactSequenceOfChainComplexes}

 $0 \to \mathcal{C}_{\bullet}(A)/\mathcal{C}_{\bullet}(B) \to \mathcal{C}_{\bullet}(X)/\mathcal{C}_{\bullet}(B) \to \mathcal{C}_{\bullet}(X)/\mathcal{C}_{\bullet}(A) \to 0 \ .$

The corresponding <u>homology long exact sequence</u>, prop. \ref{HomologyLongExactSequence}, is the long exact sequence in question. ■

We look at some concrete fundamental examples in a moment. But first it is useful to make explicit the following general sub-notion of relative homology.

Let *X* still be a given topological space.

Definition 1.5. The <u>augmentation</u> map for the <u>singular homology</u> of *X* is the <u>homomorphism</u> of <u>abelian</u> <u>groups</u>

$$\epsilon : \mathcal{C}_0(X) \to \mathbb{Z}$$

which adds up all the coefficients of all 0-chains:

$$\epsilon :: \sum_i n_i \sigma_i \mapsto \sum_i n_i .$$

Since the <u>boundary</u> of a 1-chain is in the <u>kernel</u> of this map, by example $\ref{BasicExamplesOfChainBoundaries}$, it constitutes a <u>chain map</u>

$$\epsilon: \mathcal{C}_{\bullet}(X) \to \mathbb{Z}$$
,

where now $\ensuremath{\mathbb{Z}}$ is regarded as a chain complex concentrated in degree 0.

Definition 1.6. The **reduced singular chain complex** $\tilde{C}_{\bullet}(X)$ of *X* is the <u>kernel</u> of the augmentation map, the chain complex sitting in the <u>short exact sequence</u>

$$0 \to \tilde{C}_{\:\raisebox{1pt}{\text{\circle*{1.5}}}}(\mathcal{C}) \to \mathcal{C}_{\:\raisebox{1pt}{\text{\circle*{1.5}}}}(X) \xrightarrow{\epsilon} \mathbb{Z} \to 0 \ .$$

The **reduced singular homology** $\tilde{H}_{\bullet}(X)$ of X is the <u>chain homology</u> of the reduced singular chain complex

$$\tilde{H}_{\bullet}(X) \coloneqq H_{\bullet}(\tilde{C}_{\bullet}(X))$$
 .

Equivalently:

Definition 1.7. The **reduced singular homology** of *X*, denoted $\tilde{H}_{\bullet}(X)$, is the <u>chain homology</u> of the <u>augmented</u> chain complex

$$\cdots \to \mathcal{C}_2(X) \stackrel{\partial_1}{\to} \mathcal{C}_1(X) \stackrel{\partial_0}{\to} \mathcal{C}_0(X) \stackrel{\epsilon}{\to} \mathbb{Z} \to 0 \ .$$

Let X be a topological space, $H_{\bullet}(X)$ its singular homology and $\tilde{H}_{\bullet}(X)$ its reduced singular homology, def. <u>1.6</u>.

Proposition 1.8. For $n \in \mathbb{N}$ there is an <u>isomorphism</u>

$$H_n(X) \simeq \begin{cases} \tilde{H}_n(X) & \text{ for } n \geq 1 \\ \\ \tilde{H}_0(X) \oplus \mathbb{Z} & \text{ for } n = 0 \end{cases}$$

Proof. The <u>homology long exact sequence</u>, prop. \ref{HomologyLongExactSequence}, of the defining short exact sequence $\tilde{C}_{\bullet}(\mathcal{C}) \rightarrow C_{\bullet}(X) \xrightarrow{\epsilon} \mathbb{Z}$ is, since \mathbb{Z} here is concentrated in degree 0, of the form

$$\cdots \to \tilde{H}_n(X) \to H_n(X) \to 0 \to \cdots \to 0 \to \cdots \to \tilde{H}_1(X) \to H_1(X) \to 0 \to \tilde{H}_0(X) \to H_0(X) \stackrel{\epsilon}{\to} \mathbb{Z} \to 0 \ .$$

Here <u>exactness</u> says that all the morphisms $\tilde{H}_n(X) \to H_n(X)$ for positive *n* are <u>isomorphisms</u>. Moreover, since \mathbb{Z} is a <u>free abelian group</u>, hence a <u>projective object</u>, the remaining <u>short exact sequence</u>

$$0 \to \tilde{H}_0(X) \to H_0(X) \to \mathbb{Z} \to 0$$

is <u>split</u>, by prop. \ref{SplittingLemma}, and hence $H_0(X) \simeq \tilde{H}_0(X) \oplus \mathbb{Z}$.

Proposition 1.9. For X = * the point, the morphism

$$H_0(\epsilon): H_0(X) \to \mathbb{Z}$$

is an isomorphism. Accordingly the reduced homology of the point vanishes in every degree:

$$\tilde{H}_{\bullet}(*) \simeq 0$$

Proof. By the discussion in section <u>2</u>) we have that

$$H_n(*) \simeq \begin{cases} \mathbb{Z} & \text{for } n = 0 \\ 0 & \text{otherwise} \end{cases}.$$

Moreover, it is clear that $\epsilon: C_0(*) \to \mathbb{Z}$ is the <u>identity</u> map.

Now we can discuss the relation between reduced homology and relative homology.

Proposition 1.10. For X an <u>inhabited topological space</u>, its <u>reduced singular homology</u>, def. <u>1.6</u>, coincides with its <u>relative singular homology</u> relative to any base point $x: * \rightarrow X$:

$$\tilde{H}_{\:\raisebox{1pt}{\text{\circle*{1.5}}}}(X)\simeq H_{\:\raisebox{1pt}{\text{\circle*{1.5}}}}(X,\,*\,)$$
 .

Proof. Consider the sequence of <u>topological subspace</u> inclusions

$$\emptyset \hookrightarrow * \stackrel{x}{\hookrightarrow} X$$
.

By prop. 1.4 this induces a long exact sequence of the form

$$\cdots \rightarrow H_{n+1}(*) \rightarrow H_{n+1}(X) \rightarrow H_{n+1}(X,*) \rightarrow H_n(*) \rightarrow H_n(X) \rightarrow H_n(X,*) \rightarrow \cdots \rightarrow H_1(X) \rightarrow H_1(X,*) \rightarrow H_0(*) \xrightarrow{H_0(X)} H_0(X) \rightarrow H_n(X,*) \rightarrow H_n(X,$$

Here in positive degrees we have $H_n(*) \simeq 0$ and therefore <u>exactness</u> gives <u>isomorphisms</u>

$$H_n(X) \xrightarrow{\simeq} H_n(X, *) \quad \forall_{n \ge 1}$$

and hence with prop. $\underline{1.8}$ isomorphisms

$$\tilde{H}_n(X) \xrightarrow{\simeq} H_n(X, *) \quad \forall_{n \ge 1} .$$

It remains to deal with the case in degree 0. To that end, observe that $H_0(x):H_0(*) \rightarrow H_0(X)$ is a monomorphism: for this notice that we have a <u>commuting diagram</u>

$$\begin{array}{ccc} H_0(*) & \stackrel{\mathrm{id}}{\to} & H_0(*) \\ & & \\ H_0(x) \downarrow H_0(f) \nearrow & \downarrow_{\simeq}^{H_0(\epsilon)}, \\ & & \\ & & \\ H_0(X) & \stackrel{H_0(\epsilon)}{\to} & \mathbb{Z} \end{array}$$

where $f: X \to *$ is the terminal map. That the outer square commutes means that $H_0(\epsilon) \circ H_0(x) = H_0(\epsilon)$ and hence the composite on the left is an <u>isomorphism</u>. This implies that $H_0(x)$ is an injection.

Therefore we have a $\underline{short\ exact\ sequence}$ as shown in the top of this diagram

Using this we finally compute

$$\begin{split} \tilde{H}_0(X) &\coloneqq \ker H_0(\epsilon) \\ &\simeq \operatorname{coker}(H_0(x)) \ . \\ &\simeq H_0(X, \, \ast \,) \end{split}$$

With this understanding of homology *relative to a point* in hand, we can now characterize relative homology more generally. From its definition in def. <u>1.1</u>, it is plausible that the relative homology group $H_n(X, A)$ provides information about the quotient topological space X/A. This is indeed true under mild conditions:

Definition 1.11. A <u>topological subspace</u> inclusion $A \hookrightarrow X$ is called a **good pair** if

- 1. *A* is <u>closed</u> inside *X*;
- 2. *A* has an <u>neighbourhood</u> $A \hookrightarrow U \hookrightarrow X$ such that $A \hookrightarrow U$ has a <u>deformation retract</u>.
- **Proposition 1.12**. If $A \hookrightarrow X$ is a <u>topological subspace</u> inclusion which is good in the sense of def. <u>1.11</u>, then the A-relative singular homology of X coincides with the <u>reduced singular homology</u>, def. <u>1.6</u>, of the <u>quotient space</u> X/A:

$$H_n(X/A) \simeq \tilde{H}_n(X,A)$$
.

The proof of this is spelled out at <u>Relative homology – relation to quotient topological spaces</u>. It needs the proof of the <u>Excision property</u> of relative homology. While important, here we will not further dwell on this. The interested reader can find more information behind the above links.

Cellular homology

With the general definition of relative homology in hand, we now consider the basic *cells* such that <u>*cell*</u> <u>*complexes*</u> built from such cells have tractable relative homology groups. Actually, up to <u>weak homotopy</u> <u>equivalence</u>, *every* <u>Hausdorff topological space</u> is given by such a <u>cell complex</u> and hence its relative homology, then called <u>*cellular homology*</u>, is a good tool for computing singular homology rather generally.

Definition 1.13. For $n \in \mathbb{N}$ write

- $D^n \hookrightarrow \mathbb{R}^n \in \underline{\text{Top}}$ for the standard $n-\underline{\text{disk}}$;
- $S^{n-1} \hookrightarrow \mathbb{R}^n \in \underline{\text{Top}}$ for the standard (n-1)-sphere;

(notice that the 0-sphere is the disjoint union of *two points*, $S^0 = * \coprod *$, and by definition the (-1)-sphere is the <u>empty set</u>)

• $S^{-1} \hookrightarrow D^n$ for the <u>continuous function</u> that includes the (n-1)-sphere as the <u>boundary</u> of the *n*-disk.

Example 1.14. The <u>reduced singular homology</u> of the *n*-<u>sphere</u> S^n equals the S^{n-1} -relative homology of the *n*-<u>disk</u> with respect to the canonical <u>boundary</u> inclusion $S^{n-1} \hookrightarrow D^n$: for all $n \in \mathbb{N}$

$$\tilde{H}_{\bullet}(S^n) \simeq H_{\bullet}(D^n, S^{n-1})$$

Proof. The *n*-<u>sphere</u> is <u>homeomorphic</u> to the *n*-<u>disk</u> with its entire <u>boundary</u> identified with a point:

$$S^n \simeq D^n / S^{n-1}$$

Moreover the boundary inclusion is a good pair in the sense of def. 1.11. Therefore the example follows with

prop. <u>1.12</u>.

When forming <u>cell complexes</u> from disks, then each relative dimension will be a <u>wedge sum</u> of disks:

Definition 1.15. For $\{x_i: * \to X_i\}_i$ a set of pointed topological spaces, their <u>wedge sum</u> $v_i X_i$ is the result of identifying all base points in their <u>disjoint union</u>, hence the quotient

$$\left(\prod_{i} X_{i}\right)/\left(\prod_{i} *\right).$$

Example 1.16. The wedge sum of two pointed <u>circles</u> is the "figure 8"-topological space.

Proposition 1.17. Let $\{* \to X_i\}_i$ be a set of <u>pointed topological spaces</u>. Write $v_i X_i \in \text{Top}$ for their <u>wedge sum</u> and write $\iota_i: X_i \to v_i X_i$ for the canonical inclusion functions.

Then for each $n \in \mathbb{N}$ the homomorphism

$$(\tilde{H}_n(\iota_i))_i : \bigoplus_i \tilde{H}_n(X_i) \to \tilde{H}_n(\vee_i X_i)$$

is an isomorphism.

Proof. By prop. 1.12 the reduced homology of the wedge sum is equivalently the relative homology of the disjoint union of spaces relative to their disjoint union of basepoints

$$\tilde{H}_n(\vee_i X_i) \simeq H_n(\coprod_i X_i, \coprod_i *) \; .$$

The relative homology preserves these coproducts (sends them to direct sums) and so

$$H_n(\coprod_i X_i, \coprod_i *) \simeq \bigoplus_i H_n(X_i, *).$$

The following defines topological spaces which are inductively built by gluing disks to each other.

Definition 1.18. A <u>**CW complex of dimension**</u> (-1) is the <u>empty topological space</u>.

By induction, for $n \in \mathbb{N}$ a <u>CW complex</u> of <u>dimension</u> n is a <u>topological space</u> X_n obtained from

- 1. a CW-complex X_{n-1} of dimension n-1;
- 2. an index set $Cell(X)_n \in Set$;
- 3. a set of <u>continuous maps</u> (the **attaching maps**) $\{f_i: S^{n-1} \to X_{n-1}\}_{i \in \text{Cell}(X)_n}$

as the <u>pushout</u>

$$X_n \simeq \left(\coprod_{j \in \operatorname{Cell}(X)_n} D^n \right) \coprod_{j \in \operatorname{Cell}(X)_n S^{n-1}} X_n$$

in

$$\begin{split} & \coprod_{j \in \operatorname{Cell}(X)_n} S^{n-1} \xrightarrow{(f_j)} X_{n-1} \\ & \downarrow & \downarrow , \\ & \coprod_{j \in \operatorname{Cell}(X)_n} D^n & \to & X_n \end{split}$$

hence as the topological space obtained from X_{n-1} by gluing in *n*-disks D^n for each $j \in \text{Cell}(X)_n$ along the given boundary inclusion $f_j: S^{n-1} \to X_{n-1}$.

By this construction, an *n*-dimensional CW-complex is canonically a <u>filtered topological space</u>, hence a sequence of <u>topological subspace</u> inclusions of the form

$$\emptyset \hookrightarrow X_0 \hookrightarrow X_1 \hookrightarrow \cdots \hookrightarrow X_{n-1} \hookrightarrow X_n$$

which are the right vertical morphisms in the above pushout diagrams.

A general <u>**CW complex**</u> *X* then is a <u>topological space</u> which is the limiting space of a possibly infinite such sequence, hence a topological space given as the <u>sequential colimit</u> over a <u>tower</u> <u>diagram</u> each of whose morphisms is such a filter inclusion

$$\emptyset \hookrightarrow X_0 \hookrightarrow X_1 \hookrightarrow \cdots \hookrightarrow X$$

The following basic facts about the singular homology of <u>CW complexes</u> are important.

Now we can state a variant of singular homology adapted to CW complexes which admits a more systematic way of computing its homology groups. First we observe the following.

Proposition 1.19. The <u>relative singular homology</u>, def. <u>1.1</u>, of the filtering degrees of a <u>CW complex</u> X, def. <u>1.18</u>, is

$$H_n(X_k, X_{k-1}) \simeq \begin{cases} \mathbb{Z}[\operatorname{Cells}(X)_n] & \text{if } k = n \\ 0 & \text{otherwise} \end{cases}$$

where $\mathbb{Z}[\operatorname{Cells}(X)_n]$ denotes the <u>free abelian group</u> on the set of *n*-cells.

Proof. The inclusion $X_{k-1} \hookrightarrow X_k$ is a *good pair* in the sense of def. <u>1.11</u>. The quotient X_k/X_{k-1} is by definition of CW-complexes a wedge sum, def. <u>1.15</u>, of *k*-spheres, one for each element in $Cell(X)_k$. Therefore by prop. <u>1.12</u> we have an isomorphism $H_n(X_k, X_{k-1}) \simeq \tilde{H}_n(X_k/X_{k-1})$ with the <u>reduced homology</u> of this wedge sum. The statement then follows by the respect of reduced homology for wedge sums, prop. <u>1.17</u>.

Proposition 1.20. For *X* a <u>*CW* complex</u> with skeletal filtration $\{X_n\}_n$ as above, and with $k, n \in \mathbb{N}$ we have for the <u>singular homology</u> of *X* that

$$(k > n) \Rightarrow (H_k(X_n) \simeq 0)$$

In particular if X is a CW-complex of finite <u>dimension</u> dim X (the maximum degree of cells), then

$$(k > \dim X) \Rightarrow (H_k(X) \simeq 0).$$

Moreover, for k < n the inclusion

 $H_k(X_n) \xrightarrow{\simeq} H_k(X)$

is an $\underline{isomorphism}$ and for k = n we have an isomorphism

$$mage(H_n(X_n) \to H_n(X)) \simeq H_n(X)$$

Proof. By the long exact sequence in relative homology, prop. <u>1.3</u> we have an exact sequence of the form

$$H_{k+1}(X_n, X_{n-1}) \to H_k(X_{n-1}) \to H_k(X_n) \to H_k(X_n, X_{n-1})$$
.

Now by prop. <u>1.19</u> the leftmost and rightmost homology groups here vanish when $k \neq n$ and $k \neq n - 1$ and hence exactness implies that

$$H_k(X_{n-1}) \stackrel{\simeq}{\to} H_k(X_n)$$

is an isomorphism for $k \neq n, n-1$. This implies the first claims by induction on n.

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Finally for the last claim use that the above exact sequence gives

$$H_{n-1+1}(X_n, X_{n-1}) \to H_{n-1}(X_{n-1}) \to H_{n-1}(X_n) \to 0$$

and hence that with the above the map $H_{n-1}(X_{n-1}) \rightarrow H_{n-1}(X)$ is surjective.

We may now discuss the <u>cellular homology</u> of a <u>CW complex</u>.

- **Definition 1.21.** For *X* a <u>CW-complex</u>, def. <u>1.18</u>, its **cellular chain complex** $H^{CW}_{\bullet}(X) \in Ch_{\bullet}$ is the <u>chain</u> <u>complex</u> such that for $n \in \mathbb{N}$
 - the <u>abelian group</u> of <u>chains</u> is the <u>relative singular homology</u> group, def. <u>1.1</u>, of $X_n \hookrightarrow X$ relative to $X_{n-1} \hookrightarrow X$:

$$H_n^{\mathrm{CW}}(X) \coloneqq H_n(X_n, X_{n-1}),$$

• the differential $\partial_{n+1}^{CW}: H_{n+1}^{CW}(X) \to H_n^{CW}(X)$ is the composition

$$\partial_n^{\text{CW}}: H_{n+1}(X_{n+1}, X_n) \xrightarrow{\partial_n} H_n(X_n) \xrightarrow{i_n} H_n(X_n, X_{n-1}),$$

where ∂_n is the <u>boundary</u> map of the <u>singular chain complex</u> and where i_n is the morphism on <u>relative</u> <u>homology</u> induced from the canonical inclusion of pairs $(X_n, \emptyset) \to (X_n, X_{n-1})$.

Proposition 1.22. The composition $\partial_n^{CW} \circ \partial_{n+1}^{CW}$ of two differentials in def. <u>1.21</u> is indeed zero, hence $H^{CW}_{\bullet}(X)$ is indeed a <u>chain complex</u>.

Proof. On representative singular chains the morphism i_n acts as the identity and hence $\partial_n^{CW} \circ \partial_{n+1}^{CW}$ acts as the double singular boundary, $\partial_n \circ \partial_{n+1} = 0$.

Remark 1.23. This means that

- a **cellular** *n*-**chain** is a singular *n*-chain required to sit in filtering degree *n*, hence in $X_n \hookrightarrow X$;
- a **cellular** n-**cycle** is a singular n-chain whose singular boundary is not necessarily 0, but is contained in filtering degree (n-2), hence in $X_{n-2} \hookrightarrow X$.
- a **cellular** *n***-boundary** is a singular *n*-chain which is the boundary of a singular (n + 1)-chain coming from filtering degree (n + 1).

This kind of situation – chains that are cycles only up to lower filtering degree and boundaries that come from specified higher filtering degree – has an evident generalization to higher relative filtering degrees. And in this greater generality the concept is of great practical relevance. Therefore before discussing cellular homology further now, we consider this more general "higher-order relative homology" that it suggests (namely the formalism of <u>spectral sequences</u>). After establishing a few fundamental facts about that we will come back in prop. <u>1.46</u> below to analyse the above cellular situation using this conceptual tool.

In theorem 1.48 we conclude that cellular homology and singular homology agree of <u>CW-complexes</u> agres.

First we abstract the structure on chain complexes that in the above example was induced by the CW-complex structure on the <u>singular chain complex</u>.

Filtered chain complexes

Definition 1.24. The structure of a <u>filtered chain complex</u> in a <u>chain complex</u> *C*, is a sequence of <u>chain</u> <u>map</u> inclusions

$$\cdots \hookrightarrow F_{p-1}C_{\bullet} \hookrightarrow F_pC_{\bullet} \hookrightarrow \cdots \hookrightarrow C_{\bullet} .$$

The **<u>associated graded</u>** complex of a filtered chain complex, denoted $G_{\bullet}C_{\bullet}$, is the collection of <u>quotient</u> chain complexes

$$G_p C_{\bullet} \coloneqq F_p C_{\bullet} / F_{p-1} C_{\bullet}$$

We say that element of G_pC_{\bullet} are *in filtering degree* p.

Remark 1.25. In more detail this means that

- 1. $[\dots \xrightarrow{\partial_n} C_n \xrightarrow{\partial_{n-1}} C_{n-1} \rightarrow \dots]$ is a <u>chain complex</u>, hence $\{C_n\}$ are <u>objects</u> in \mathcal{A} (*R*-modules) and $\{\partial_n\}$ are <u>morphisms</u> (module <u>homomorphisms</u>) with $\partial_{n+1} \circ \partial_n = 0$;
- 2. For each $n \in \mathbb{Z}$ there is a filtering $F \cdot C_n$ on C_n and all these filterings are compatible with the differentials in that

$$\partial(F_p\mathcal{C}_n) \subset F_p\mathcal{C}_{n-1}$$

3. The grading associated to the filtering is such that the p-graded elements are those in the <u>quotient</u>

$$G_p C_n \coloneqq \frac{F_p C_n}{F_{p-1} C_n} \ .$$

Since the differentials respect the grading we have chain complexes G_pC_{\bullet} in each filtering degree p.

Hence elements in a filtered chain complex are **<u>bi-graded</u>**: they carry a degree as elements of *C*. as usual, but now they also carry a filtering degree: for $p, q \in \mathbb{Z}$ we therefore also write

$$C_{p,q} \coloneqq F_p C_{p+q}$$

and call this the collection of (p,q)-chains in the filtered chain complex.

Accordingly we have (p,q)-cycles and -boundaries. But for these we may furthermore refine to a notion where also the filtering degree of the boundaries is constrained:

Definition 1.26. Let *F*.*C*. be a <u>filtered chain complex</u>. Its <u>associated graded</u> chain complex is the set of chain complexes

$$G_pC_{\bullet} \coloneqq F_pC_{\bullet}/F_{p-1}C_{\bullet}$$

for all p.

Then for $r, p, q \in \mathbb{Z}$ we say that

1. $G_p C_{p+q}$ is the module of (p,q)-<u>chains</u> or of (p+q)-chains in filtering degree p;

2. the module

$$Z_{p,q}^{r} \coloneqq \{ c \in G_{p}C_{p+q} \mid \partial c = 0 \mod F_{p-r}C_{\bullet} \}$$
$$= \{ c \in F_{p}C_{p+q} \mid \partial(c) \in F_{p-r}C_{p+q-1} \} / F_{p-1}C_{p+q}$$

is the module of r-almost (p,q)-cycles (the (p+q)-chains whose differential vanishes modulo terms of filtering degree p-r);

3.
$$B_{p,q}^r \coloneqq \partial(F_{p+r-1}C_{p+q+1})$$
,

is the module of *r*-almost (*p*, *q*)-boundaries.

Similarly we set

$$Z_{p,q}^{\infty} \coloneqq \{c \in F_p \mathcal{C}_{p+q} \mid \partial c = 0\} / F_{p-1} \mathcal{C}_{p+q} = Z(\mathcal{G}_p \mathcal{C}_{p+q})$$
$$B_{p,q}^{\infty} \coloneqq \partial (F_p \mathcal{C}_{p+q+1}) .$$

From this definition we immediately have that the differentials $\partial : C_{p+q} \to C_{p+q-1}$ restrict to the *r*-almost cycles as follows:

Proposition 1.27. The differentials of C. restrict on r-almost cycles to homomorphisms of the form

$$\partial^r : Z_{p,q}^r \to Z_{p-r,q+r-1}^r$$
.

These are still <u>differentials</u>: $\partial^2 = 0$.

Proof. By the very definition of $Z_{p,q}^r$ it consists of elements in filtering degree p on which ∂ decreases the filtering degree to p - r. Also by definition of differential on a chain complex, ∂ decreases the actual degree p + q by one. This explains that ∂ restricted to $Z_{p,q}^r$ lands in $Z_{p-r,q+r-1}^{\bullet}$. Now the image constists indeed of actual boundaries, not just r-almost boundaries. But since actual boundaries are in particular r-almost boundaries, we may take the <u>codomain</u> to be $Z_{p-r,q+r-1}^r$.

As before, we will in general index these differentials by their codomain and hence write in more detail

$$\partial_{p,q}^r: Z_{p,q}^r \to Z_{p-r,q+r-1}^r$$
.

Proposition 1.28. We have a sequence of canonical inclusions

$$B_{p,q}^{0} \hookrightarrow B_{p,q}^{1} \hookrightarrow \cdots B_{p,q}^{\infty} \hookrightarrow Z_{p,q}^{\infty} \hookrightarrow \cdots \hookrightarrow Z_{p,q}^{1} \hookrightarrow Z_{p,q}^{0} .$$

The following observation is elementary, and yet this is what drives the theory of <u>spectral sequences</u>, as it shows that almost cycles may be computed iteratively by homological means themselves.

Proposition 1.29. The (r + 1)-almost cycles are the ∂^r -kernel inside the *r*-almost cycles:

$$Z_{p,q}^{r+1} \simeq \ker(Z_{p,q}^r \xrightarrow{\partial^r} Z_{p-r,q+r-1}^r)$$
.

Proof. An element $c \in F_pC_{p+q}$ represents

- 1. an element in $Z_{p,q}^r$ if $\partial c \in F_{p-r}C_{p+q-1}$
- 2. an element in $Z_{p,q}^{r+1}$ if even $\partial c \in F_{p-r-1}C_{p+q-1} \hookrightarrow F_{p-r}C_{p+q-1}$.

The second condition is equivalent to ∂c representing the 0-element in the quotient $F_{p-r}C_{p+q-1}/F_{p-r-1}C_{p+q-1}$. But this is in turn equivalent to ∂c being 0 in $Z_{p-r,q+r-1}^r \subset F_{p-r}C_{p+q-1}/F_{p-r-1}C_{p+q-1}$.

With a definition of almost-cycles and almost-boundaries, of course we are now interested in the corresponding homology groups:

Definition 1.30. For $r, p, q \in \mathbb{Z}$ define the *r*-almost (p, q)-<u>chain homology</u> of the filtered complex to be the <u>quotient</u> of the *r*-almost (p,q)-cycles by the *r*-almost (p,q)-boundaries, def. <u>1.26</u>:

$$\begin{split} E_{p,q}^r &\coloneqq \frac{Z_{p,q}^p}{B_{p,q}^r} \\ &= \frac{\left\{x \in F_p C_{p+q} \mid \partial x \in F_{p-r} C_{p+q-1}\right\}}{\partial (F_{p+r-1} C_{p+q+1}) \oplus F_{p-1} C_{p+q}} \end{split}$$

By prop. <u>1.27</u> the differentials of C_{\bullet} restrict on the *r*-almost homology groups to maps

$$\partial^r : E_{p,q}^r \to E_{p-r,q+r-1}^r$$

The central property of these r-almost homology groups now is their following iterative homological characterization.

Proposition 1.31. With definition <u>1.30</u> we have that $E_{\bullet,\bullet}^{r+1}$ is the ∂^r -<u>chain homology</u> of $E_{\bullet,\bullet}^r$.

$$E_{p,q}^{r+1} = \frac{\ker(\partial^r : E_{p,q}^r \to E_{p-r,q+r-1}^r)}{\operatorname{im}(\partial^r : E_{p+r,q-r+1}^r \to E_{p,q}^r)} \ .$$

Proof. By prop. <u>1.29</u>. ■

This structure on the collection of *r*-almost cycles of a filtered chain complex thus obtained is called a *spectral sequence*:

Definition 1.32. A homology spectral sequence of *R*-modules is

- 1. a set $\{E_{p,q}^r\}_{p,q,r\in\mathbb{Z}}$ of *R*-modules;
- 2. a set $\{\partial_{p,q}^r: E_{p,q}^r \to E_{p-r,q+r-1}^r\}_{r,p,q \in \mathbb{Z}}$ of homomorphisms

such that

- 1. the ∂^r s are <u>differentials</u>: $\forall_{p,q,r}(\partial^r_{p-r,q+r-1} \circ \partial^r_{p,q} = 0)$;
- 2. the modules $E_{p,q}^{r+1}$ are the ∂^r -homology of the modules in relative degree r:

$$\forall_{r,p,q} \left(E_{p,q}^{r+1} \simeq \frac{\ker(\partial_{p-r,q+r-1}^r)}{\operatorname{im}(\partial_{p,q}^r)} \right).$$

One says that $E_{\bullet,\bullet}^r$ is the *r*-page of the spectral sequence.

Since this turns out to be a useful structure to make explicit, as the above motivation should already indicate, one introduces the following terminology and basic facts to talk about spectral sequences.

Definition 1.33. Let $\{E_{p,q}^r\}_{r,p,q}$ be a <u>spectral sequence</u>, def. <u>1.32</u>, such that for each p,q there is r(p,q) such that for all $r \ge r(p,q)$ we have

$$E_{p,q}^{r \ge r(p,q)} \simeq E_{p,q}^{r(p,q)}$$

Then one says that

1. the bigraded object

$$E^{\infty} \coloneqq \{E_{p,q}^{\infty}\}_{p,q} \coloneqq \{E_{p,q}^{r(p,q)}\}_{p,q}$$

is the limit term of the spectral sequence;

- the spectral sequence **abuts** to E^{∞} .
- **Example 1.34.** If for a spectral sequence there is r_s such that all <u>differentials</u> on pages after r_s vanish, $\partial^{r \ge r_s} = 0$, then $\{E^{r_s}\}_{p,q}$ is a limit term for the spectral sequence. One says in this cases that the spectral sequence **degenerates** at r_s .

Proof. By the defining relation

$$E_{p,q}^{r+1} \simeq \ker(\partial_{p-r,q+r-1}^r) / \operatorname{im}(\partial_{p,q}^r) = E_{pq}^r$$

the spectral sequence becomes constant in r from r_s on if all the differentials vanish, so that $\ker(\partial_{p,q}^r) = E_{p,q}^r$ for all p, q.

Example 1.35. If for a spectral sequence $\{E_{p,q}^r\}_{r,p,q}$ there is $r_s \ge 2$ such that the r_s th page is concentrated in a single row or a single column, then the spectral sequence degenerates on this pages, example 1.34, hence this page is a limit term, def. 1.33. One says in this case that the spectral sequence **collapses** on this page.

Proof. For $r \ge 2$ the <u>differentials</u> of the spectral sequence

$$\partial^r : E_{p,q}^r \to E_{p-r,q+r-1}^r$$

have domain and codomain necessarily in different rows an columns (while for r = 1 both are in the same

row and for r = 0 both coincide). Therefore if all but one row or column vanish, then all these differentials vanish.

Definition 1.36. A spectral sequence $\{E_{p,q}^r\}_{r,p,q}$ is said to **converge** to a graded object *H*. with filtering *F*.*H*., traditionally denoted

$$E_{p,q}^r \Rightarrow H_{\bullet}$$
,

if the <u>associated graded</u> complex $\{G_pH_{p+q}\}_{p,q} \coloneqq \{F_pH_{p+q}/F_{p-1}H_{p+q}\}$ of *H* is the limit term of *E*, def. <u>1.33</u>:

 $E_{p,q}^\infty\simeq G_pH_{p+q}\qquad \forall_{p,q}\ .$

Remark 1.37. In practice spectral sequences are often referred to via their first non-trivial page, often also the page at which it collapses, def. <u>1.35</u>, often already the second page. Then one tends to use notation such as

$$E_{p,q}^2 \Rightarrow H_{\bullet}$$

to be read as "There is a spectral sequence whose second page is as shown on the left and which converges to a filtered object as shown on the right."

Definition 1.38. A spectral sequence $\{E_{p,q}^r\}$ is called a **bounded spectral sequence** if for all $n, r \in \mathbb{Z}$ the number of non-vanishing terms of total degree n, hence of the form $E_{k,n-k}^r$, is finite.

Definition 1.39. A <u>spectral sequence</u> $\{E_{p,q}^r\}$ is called

- a **first quadrant spectral sequence** if all terms except possibly for $p, q \ge 0$ vanish;
- a **third quadrant spectral sequence** if all terms except possibly for $p, q \le 0$ vanish.

Such spectral sequences are bounded, def. 1.38.

Proposition 1.40. A bounded spectral sequence, def. 1.38, has a limit term, def. 1.33.

Proof. First notice that if a spectral sequence has at most N non-vanishing terms of total degree n on page r, then all the following pages have at most at these positions non-vanishing terms, too, since these are the homologies of the previous terms.

Therefore for a bounded spectral sequence for each *n* there is $L(n) \in \mathbb{Z}$ such that $E_{p,n-p}^r = 0$ for all $p \leq L(n)$ and all *r*. Similarly there is $T(n) \in \mathbb{Z}$ such $E_{n-q,q}^r = 0$ for all $q \leq T(n)$ and all *r*.

We claim then that the limit term of the bounded spectral sequence is in position (p,q) given by the value $E_{p,q}^r$ for

$$r > \max(p - L(p + q - 1), q + 1 - L(p + q + 1))$$
.

This is because for such r we have

- 1. $E_{p-r,q+r-1}^r = 0$ because p-r < L(p+q-1), and hence the kernel ker $(\partial_{p-r,q+r-1}^r) = 0$ vanishes;
- 2. $E_{p+r,q-r+1}^r = 0$ because q r + 1 < T(p + q + 1), and hence the <u>image</u> im $(\partial_{p,q}^r) = 0$ vanishes.

Therefore

$$E_{p,q}^{r+1} = \ker(\partial_{p-r,q+r-1}^r) / \operatorname{im}(\partial_{p,q}^r)$$
$$\simeq E_{p,q}^r / 0$$
$$\simeq E_{p,q}^r$$

The central statement about the notion of the spectral sequence of a filtered chain complex then is the following proposition. It says that the iterative computation of higher order relative homology indeed in the limit computes the genuine homology.

Definition 1.41. For *F*.*C*. a <u>filtered complex</u>, write for $p \in \mathbb{Z}$

$$F_pH_{\bullet}(C) \coloneqq \operatorname{image}(H_{\bullet}(F_pC) \to H_{\bullet}(C))$$
.

This defines a <u>filtering</u> $F_{\bullet}H_{\bullet}(C)$ of the homology, regarded as a graded object.

Proposition 1.42. If the <u>spectral sequence of a filtered complex</u> $F_{\bullet}C_{\bullet}$ of prop. <u>1.31</u> has a limit term, def. <u>1.33</u> then it converges, def. <u>1.36</u>, to the chain homology of C_{\bullet}

$$E_{p,q}^r \Rightarrow H_{p+q}(\mathcal{C}_{\bullet})$$
 ,

i.e. for sufficiently large r we have

$$E_{p,q}^r \simeq G_p H_{p+q}(C)$$

where on the right we have the <u>associated graded object</u> of the filtering of def. <u>1.41</u>.

Proof. By assumption, there is for each p,q an r(p,q) such that for all $r \ge r(p,q)$ the *r*-almost cycles and *r*-almost boundaries, def. <u>1.26</u>, in F_pC_{p+q} are the ordinary cycles and boundaries. Therefore for $r \ge r(p,q)$ def. <u>1.30</u> gives $E_{p,q}^r \simeq G_pH_{p+q}(C)$.

This says what these spectral sequences are converging to. For computations it is also important to know how they start out for low r. We can generally characterize $E_{p,q}^r$ for very low values of r simply as follows:

Proposition 1.43. We have

• $E_{p,q}^0 = G_p C_{p+q} = F_p C_{p+q} / F_{p-1} C_{p+q}$

is the associated p-graded piece of C_{p+q} ;

• $E_{p,q}^1 = H_{p+q}(G_pC_{\bullet})$

Proof. For r = 0 def. <u>1.30</u> restricts to

$$E_{p,q}^{0} = \frac{F_{p}C_{p+q}}{F_{p-1}C_{p+q}} = G_{p}C_{p+q}$$

because for $c \in F_p C_{p+q}$ we automatically also have $\partial c \in F_p C_{p+q}$ since the differential respects the filtering degree by assumption.

For r = 1 def. <u>1.30</u> gives

$$E_{p,q}^{1} = \frac{\{c \in G_{p}C_{p+q} \mid \partial c = 0 \in G_{p}C_{p+q}\}}{\partial (F_{p}C_{p+q})} = H_{p+q}(G_{p}C_{\bullet}) \ .$$

Remark 1.44. There is, in general, a decisive difference between the homology of the associated graded complex $H_{p+q}(G_pC_{\bullet})$ and the associated graded piece of the genuine homology $G_pH_{p+q}(C_{\bullet})$: in the former the differentials of cycles are required to vanish only up to terms in lower degree, but in the latter they are required to vanish genuinely. The latter expression is instead the value of the spectral sequence for $r \to \infty$, see prop. <u>1.42</u> below.

Comparing cellular and singular homology

These general facts now allow us, as a first simple example for the application of <u>spectral sequences</u> to see transparently that the <u>cellular homology</u> of a CW complex, def. <u>1.21</u>, coincides with its genuine <u>singular</u> <u>homology</u>.

First notice that of course the structure of a <u>CW-complex</u> on a <u>topological space</u> X, def. <u>1.18</u> naturally induces on its <u>singular simplicial complex</u> $C_{\bullet}(X)$ the structure of a <u>filtered chain complex</u>, def. <u>1.24</u>:

Definition 1.45. For $X_0 \hookrightarrow X_1 \hookrightarrow \cdots \hookrightarrow X$ a <u>CW complex</u>, and $p \in \mathbb{N}$, write

$$F_p \mathcal{C}_{\bullet}(X) \coloneqq \mathcal{C}_{\bullet}(X_p)$$

for the singular chain complex of $X_p \hookrightarrow X$. The given <u>topological subspace</u> inclusions $X_p \hookrightarrow X_{p+1}$ induce <u>chain</u> <u>map</u> inclusions $F_pC_{\bullet}(X) \hookrightarrow F_{p+1}C_{\bullet}(X)$ and these equip the singular chain complex $C_{\bullet}(X)$ of X with the structure of a bounded filtered chain complex

$$0 \hookrightarrow F_0 \mathcal{C}_{\bullet}(X) \hookrightarrow F_1 \mathcal{C}_{\bullet}(X) \hookrightarrow F_2 \mathcal{C}_{\bullet}(X) \hookrightarrow \cdots \hookrightarrow F_\infty \mathcal{C}_{\bullet}(X) \coloneqq \mathcal{C}_{\bullet}(X) := \mathcal{C}_{\bullet}(X) \; .$$

(If X is of finite <u>dimension</u> dim X then this is a bounded filtration.)

Write $\{E_{p,q}^r(X)\}$ for the <u>spectral sequence of a filtered complex</u> corresponding to this filtering.

Proposition 1.46. The spectral sequence $\{E_{p,q}^r(X)\}$ of singular chains in a <u>CW complex</u> X, def. <u>1.45</u> converges, def. <u>1.36</u>, to the <u>singular homology</u> of X:

$$E_{p,q}^r(X) \Rightarrow H_{\bullet}(X)$$
.

Proof. The spectral sequence $\{E_{p,q}^r(X)\}$ is clearly a first-quadrant spectral sequence, def. <u>1.39</u>. Therefore it is

a bounded spectral sequence, def. <u>1.38</u> and hence has a limit term, def. <u>1.40</u>. So the statement follows with prop. <u>1.42</u>. \blacksquare

We now identify the low-degree pages of $\{E_{p,q}^r(X)\}$ with structures in singular homology theory.

Proposition 1.47.

- $r = 0 E_{p,q}^0(X) \simeq C_{p+q}(X_p)/C_{p+q}(X_{p-1})$ is the group of X_{p-1} -relative (p+q)-chains, def. <u>1.1</u>, in X_p ;
- $r = 1 E_{p,q}^1(X) \simeq H_{p+q}(X_p, X_{p-1})$ is the X_{p-1} -relative singular homology, def. <u>1.1</u>, of X_p ;

•
$$r = 2 - E_{p,q}^2(X) \simeq \begin{cases} H_p^{CW}(X) & \text{for } q = 0\\ 0 & \text{otherwise} \end{cases}$$

•
$$r = \infty - E_{p,q}^{\infty}(X) \simeq F_p H_{p+q}(X) / F_{p-1} H_{p+q}(X).$$

Proof. By straightforward and immediate analysis of the definitions.

As a result of these general considerations we now obtain the promised isomorphism between the cellular homology and the singular homology of a CW-complex *X*:

Theorem 1.48. For $X \in \underline{Top}$ a <u>CW complex</u>, def. <u>1.18</u>, its <u>cellular homology</u>, def. <u>1.21</u> $H_{\bullet}^{CW}(X)$ coincides with its <u>singular homology</u> $H_{\bullet}(X)$:

$$H_{\bullet}^{\mathrm{CW}}(X) \simeq H_{\bullet}(X)$$

Proof. By the third item of prop. <u>1.47</u> the (r = 2)-page of the spectral sequence $\{E_{p,q}^r(X)\}$ is concentrated in the (q = 0)-row and hence it collapses there, def. <u>1.35</u>. Accordingly we have

$$E_{p,q}^{\infty}(X) \simeq E_{p,q}^2(X)$$

for all p, q. By the third and fourth item of prop. <u>1.47</u> this non-trivial only for q = 0 and there it is equivalently

$$G_p H_p(X) \simeq H_p^{CW}(X)$$
.

Finally observe that $G_pH_p(X) \simeq H_p(X)$ by the definition of the filtering on the homology, def. <u>1.41</u>, and using prop. <u>1.20</u>.

2. For filtered spectra

Definition 2.1. A <u>filtered spectrum</u> is a <u>spectrum</u> *X* equipped with a sequence $X_{\bullet}:(\mathbb{N}, >) \rightarrow \text{Spectra of spectra}$ of the form

$$\cdots \longrightarrow X_3 \xrightarrow{f_2} X_2 \xrightarrow{f_1} X_1 \xrightarrow{f_0} X_0 = X \; .$$

Remark 2.2. More generally a filtering on an object *X* in (stable or not) <u>homotopy theory</u> is a \mathbb{Z} -graded sequence *X*, such that *X* is the <u>homotopy colimit</u> $X \simeq \varinjlim X_{\bullet}$. But for the present purpose we stick with the simpler special case of def. <u>2.1</u>.

Remark 2.3. There is *no* condition on the <u>morphisms</u> in def. <u>2.1</u>. In particular, they are *not* required to be <u>n-monomorphisms</u> or <u>n-epimorphisms</u> for any n.

On the other hand, while they are also not explicitly required to have a presentation by <u>cofibrations</u> or <u>fibrations</u>, this follows automatically: by the existence of <u>model structures for spectra</u>, every filtering on a spectrum is equivalent to one in which all morphisms are represented by <u>cofibrations</u> or by <u>fibrations</u>.

This means that we may think of a filtration on a spectrum X in the sense of def. <u>2.1</u> as equivalently being a <u>tower of fibrations</u> over X.

The following remark 2.4 unravels the structure encoded in a filtration on a spectrum, and motivates the concepts of <u>exact couples</u> and their <u>spectral sequences</u> from these.

Remark 2.4. Given a <u>filtered spectrum</u> as in def. <u>2.1</u>, write A_k for the <u>homotopy cofiber</u> of its *k*th stage, such as to obtain the diagram

where each stage

$$\begin{array}{ccc} X_{k+1} & \xrightarrow{f_k} & X_k \\ & \downarrow^{\operatorname{cofib}(f_k)} \\ & & A_k \end{array}$$

is a homotopy fiber sequence.

To break this down into invariants, apply the <u>stable homotopy groups</u>-functor (def.). This yields a diagram of \mathbb{Z} -graded abelian groups of the form

Each hook at stage k extends to a long exact sequence of homotopy groups (prop.) via connecting homomorphisms δ_{\bullet}^{k} .

$$\cdots \to \pi_{\bullet+1}(A_k) \xrightarrow{\delta_{\bullet+1}^k} \pi_{\bullet}(X_{k+1}) \xrightarrow{\pi_{\bullet}(f_k)} \pi_{\bullet}(X_k) \to \pi_{\bullet}(A_k) \xrightarrow{\delta_{\bullet}^k} \pi_{\bullet-1}(X_{k+1}) \to \cdots$$

If we understand the connecting homomorphism

$$\delta_k: \pi_{\bullet}(A_k) \longrightarrow \pi_{\bullet}(X_{k+1})$$

as a morphism of degree -1, then all this information fits into one diagram of the form

where each triangle is a rolled-up incarnation of a <u>long exact sequence of homotopy groups</u> (and in particular is *not* a commuting diagram!).

If we furthermore consider the <u>bigraded</u> <u>abelian</u> groups $\pi_{\bullet}(X_{\bullet})$ and $\pi_{\bullet}(A_{\bullet})$, then this information may further be rolled-up to a single diagram of the form

$$\pi_{\bullet}(X_{\bullet}) \xrightarrow{\pi_{\bullet}(f_{\bullet})} \pi_{\bullet}(X_{\bullet})$$

$$\delta^{\wedge} \qquad \downarrow^{\pi_{\bullet}(\operatorname{cofib}(f_{\bullet}))}$$

$$\pi_{\bullet}(A_{\bullet})$$

where the morphisms $\pi_{\bullet}(f_{\bullet})$, $\pi_{\bullet}(\operatorname{cofib}(f_{\bullet}))$ and δ have bi-degree (0, -1), (0, 0) and (-1, 1), respectively.

Here it is convenient to shift the bigrading, equivalently, by setting

$$\begin{aligned} \mathcal{D}^{s,t} &\coloneqq \pi_{t-s}(X_s) \\ \mathcal{E}^{s,t} &\coloneqq \pi_{t-s}(A_s) \,, \end{aligned}$$

because then t counts the cycles of going around the triangles:

$$\cdots \to \mathcal{D}^{s+1,t+1} \xrightarrow{\pi_{t-s}(f_s)} \mathcal{D}^{s,t} \xrightarrow{\pi_{t-s}(\operatorname{cofib}(f_s))} \mathcal{E}^{s,t} \xrightarrow{\delta_s} \mathcal{D}^{s+1,t} \to \cdots$$

Data of this form is called an *exact couple*, def. <u>2.6</u> below.

Definition 2.5. An unrolled exact couple (of Adams-type) is a diagram of abelian groups of the form

such that each triangle is a rolled-up long exact sequence of abelian groups of the form

$$\cdots \to \mathcal{D}^{s+1,t+1} \xrightarrow{i_s} \mathcal{D}^{s,t} \xrightarrow{j_s} \mathcal{E}^{s,t} \xrightarrow{k_s} \mathcal{D}^{s+1,t} \to \cdots$$

The collection of this "un-rolled" data into a single diagram of <u>abelian groups</u> is called the corresponding <u>exact couple</u>.

Definition 2.6. An exact couple is a diagram (non-commuting) of abelian groups of the form

$$\begin{array}{ccc} \mathcal{D} & \stackrel{i}{\longrightarrow} & \mathcal{D} \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\$$

such that this is <u>exact sequence</u> exact in each position, hence such that the <u>kernel</u> of every <u>morphism</u> is the <u>image</u> of the preceding one.

The concept of exact couple so far just collects the sequences of long exact sequences given by a filtration. Next we turn to extracting information from this sequence of sequences.

Remark 2.7. The sequence of long exact sequences in remark <u>2.4</u> is inter-locking, in that every $\pi_{t-s}(X_s)$ appears *twice*:

This gives rise to the horizontal composites $d_1^{s,t}$, as show above, and by the fact that the diagonal sequences are long exact, these are differentials: $d_1^2 = 0$, hence give a <u>chain complex</u>:

$$\cdots \longrightarrow \quad \pi_{t-s}(A_s) \quad \xrightarrow{d_1^{s,t}} \quad \pi_{t-s-1}(A_{s+1}) \quad \xrightarrow{d_1^{s+1,t}} \quad \pi_{t-s-2}(A_{s+2}) \quad \longrightarrow \quad \cdots$$

We read off from the interlocking long exact sequences what these differentials *mean*: an element $c \in \pi_{t-s}(A_s)$ lifts to an element $\hat{c} \in \pi_{t-s-1}(X_{s+2})$ precisely if $d_1c = 0$:

$$\begin{array}{ccc} \hat{c} \in & \pi_{t-s-1}(X_{s+2}) \\ & \searrow^{\pi_{t-s-1}(f_{s+1})} \\ & & \pi_{t-s-1}(X_{s+1}) \\ & & \delta^s_{t-s} \nearrow & \searrow^{\pi_{t-s-1}(\operatorname{cofib}(f_{s+1}))} \\ c \in & \pi_{t-s}(A_s) & \xrightarrow{d^{s,t}} & \pi_{t-s-1}(A_{s+1}) \end{array}$$

This means that the <u>cochain cohomology</u> of the complex $(\pi_{\bullet}(A_{\bullet}), d_1)$ produces elements of $\pi_{\bullet}(X_{\bullet})$ and hence of $\pi_{\bullet}(X)$.

In order to organize this observation, notice that in terms of the exact couple of remark <u>2.4</u>, the differential

$$d_1^{s,t} \coloneqq \pi_{t-s-1}(\operatorname{cofib}(f_{s+1})) \circ \delta_{t-s}^s$$

is a component of the composite

$$d \coloneqq j \circ k$$
.

Some terminology:

Definition 2.8. Given an exact couple, def. 2.6,

$$\mathcal{D}^{\bullet,\bullet} \stackrel{i}{\to} \mathcal{D}^{\bullet,\bullet}$$

$$_{k}^{\wedge} \qquad \downarrow^{j}$$

$$\varepsilon^{\bullet,\bullet}$$

its page is the chain complex

$$(E^{\bullet,\bullet}, d \coloneqq j \circ k)$$
.

Definition 2.9. Given an exact couple, def. 2.6, then the induced derived exact couple is the diagram

$$\begin{array}{cccc} \widetilde{\mathcal{D}} & \stackrel{\widetilde{l}}{\to} & \widetilde{\mathcal{D}} \\ & & & \\ & & & \tilde{k} \\ & & & & \tilde{k} \end{array}$$

with

1. $\tilde{\mathcal{E}} := \ker(d) / \operatorname{im}(d);$ 2. $\tilde{\mathcal{D}} := \operatorname{im}(i);$ 3. $\tilde{\imath} := i|_{\operatorname{im}(i)};$ 4. $\tilde{\jmath} := j \circ (\operatorname{im}(i))^{-1};$ 5. $\tilde{k} := k|_{\ker(d)}.$

Proposition 2.10. A derived exact couple, def. 2.9, is again an exact couple, def. 2.6.

Definition 2.11. Given an exact couple, def. <u>2.6</u>, then the induced <u>spectral sequence</u>, def. <u>1.32</u>, is the sequence of pages, def. <u>2.8</u>, of the induced sequence of derived exact couples, def. <u>2.9</u>, prop. <u>2.10</u>.

Example 2.12. Consider a filtered spectrum, def. 2.1,

and its induced $\underline{exact\ couple}$ of $\underline{stable\ homotopy\ groups}$, from remark $\underline{2.4}$

$$\begin{array}{ccccc} \mathcal{D} & \stackrel{i}{\longrightarrow} & \mathcal{D} & & \mathcal{D} & \stackrel{(-1,-1)}{\longrightarrow} & \mathcal{D} \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \\ \end{array}$$

with bigrading as shown on the right.

As we pass to derived exact couples, by def. <u>2.9</u>, the bidegree of i and k is preserved, but that of j increases by (1,1) in each step, since

$$\deg(\tilde{j}) = \deg(j \circ \operatorname{im}(i)^{-1}) = \deg(j) + (1, 1)$$
.

Therefore the induced $\underline{spectral\ sequence}$ has differentials of the form

$$d_r: \mathcal{E}_r^{s,t} \to \mathcal{E}_r^{s+r,t+r-1}$$

This is also called the Adams-type <u>spectral sequence of the</u> <u>tower of fibrations</u> $X_{n+1} \rightarrow X_n$.

This we discuss in detail in part 2 -- Adams spectral sequences.

3. References

A gentle exposition of the general idea of spectral sequences is in

• John McCleary, A User's Guide to Spectral Sequences, Cambridge University Press (1985, 2001)

A concise account streamlined for our purposes is in section 2 of

• John Rognes, The Adams spectral sequence (following Bruner), 2012 (pdf)

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