# Equivariant bordism and applications in Differential Geometry

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- Introduction The non-equivariant case
- 2 Equivariant bordism
- Invariant metrics of positive scalar curvature



Outline



2 Equivariant bordism

Invariant metrics of positive scalar curvature

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Bordism Metrics of positive scalar curvature

#### Definition

Manifolds

A *n*-dimensional manifold *M* is a second countable Hausdorff space which is locally homeomorphic to  $\mathbb{R}^n$ .



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• Preimages of regular values of smooth maps  $f: \mathbb{R}^{n+m} \to \mathbb{R}^m$  are manifolds.



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# Manifolds

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A *n*-dimensional manifold *M* is a second countable Hausdorff space which is locally homeomorphic to  $\mathbb{R}^n$ .

- Preimages of regular values of smooth maps
   f: ℝ<sup>n+m</sup> → ℝ<sup>m</sup> are manifolds.
- In particular,  $S^n = \{(x_1, ..., x_{n+1}) \in \mathbb{R}^{n+1}; \sum_{i=1}^{n+1} x_i^2 = 1\}$  is a manifold.



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- In particular,  $S^n = \{(x_1, ..., x_{n+1}) \in \mathbb{R}^{n+1}; \sum_{i=1}^{n+1} x_i^2 = 1\}$  is a manifold.
- One can also construct manifolds by patching together open subsets of R<sup>n</sup>.

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• Goal: Classify manifolds up to some equivalence relation.



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- Classification up to diffeomorphism or homeomorphism to hard or even impossible.



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Bordism Metrics of positive scalar curvature

- Goal: Classify manifolds up to some equivalence relation.
- Classification up to diffeomorphism or homeomorphism to hard or even impossible.
- Therefore classification up to bordism.



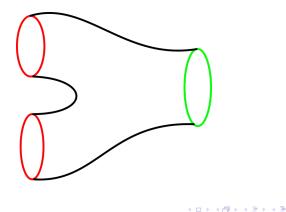
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Bordism Metrics of positive scalar curvature

• Two closed *n*-manifolds  $M_1$ ,  $M_2$  are called bordant if there is an compact n + 1-manifold W with boundary  $\partial W = M_1 \amalg M_2$ .



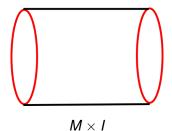
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# Bordism is an equivalence relation – reflexivity and symmetry

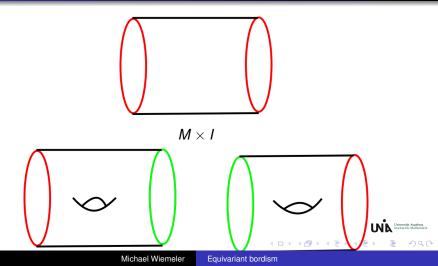




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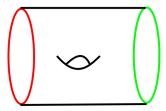
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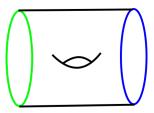
# Bordism is an equivalence relation – reflexivity and symmetry



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## Bordism is an equivalence relation - transitivity

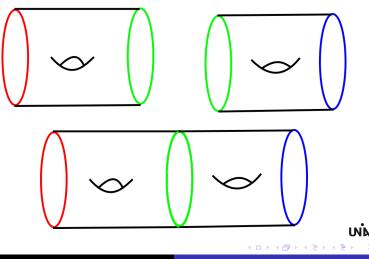






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## Bordism is an equivalence relation - transitivity



Michael Wiemeler Equivariant bordism

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# The unoriented bordism ring

The set  $\mathfrak{N}_\ast$  of all bordism classes of all manifolds forms a graded ring with:



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# The unoriented bordism ring

The set  $\mathfrak{N}_{\ast}$  of all bordism classes of all manifolds forms a graded ring with:

- addition induced by disjoint union
- multiplication induced by cartesian product
- grading by dimension



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# The oriented bordism ring



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#### • $\Omega^{SO}_* \otimes \mathbb{Q} \cong \mathbb{Q}[\mathbb{C}P^{2i}; i \in \mathbb{N}]$ (Thom 1954)



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# The oriented bordism ring



- $\Omega^{SO}_* \otimes \mathbb{Q} \cong \mathbb{Q}[\mathbb{C}P^{2i}; i \in \mathbb{N}]$  (Thom 1954)
- All non-trivial torsion elements in Ω<sup>SO</sup><sub>\*</sub> are of order two. (Milnor, Averbuh, Wall 1958/1959)



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- $\Omega^{SO}_* \otimes \mathbb{Q} \cong \mathbb{Q}[\mathbb{C}P^{2i}; i \in \mathbb{N}]$  (Thom 1954)
- All non-trivial torsion elements in  $\Omega_*^{SO}$  are of order two. (Milnor, Averbuh, Wall 1958/1959)
- $\Omega^{SO}_*$  is generated by
  - Milnor hypersurfaces
  - Dold manifolds
  - bundles with fibers products of Dold manifolds over toriUNA

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### Oriented bordism in low dimensions

n	$\Omega_n^{SO}$	generators
0	$\mathbb{Z}$	{ <i>pt</i> }
1	0	
2	0	
3	0	
4	Z	ℂ <b>P</b> ²
5	ℤ/2	<i>P</i> (1,2)
6	0	
7	0	
8	$\mathbb{Z}^2$	$\mathbb{C}P^2  imes \mathbb{C}P^2, \mathbb{C}P^4$



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#### Tea and Coffee

Assume you have a tea cup like this ...





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#### ... but you want to drink coffee.



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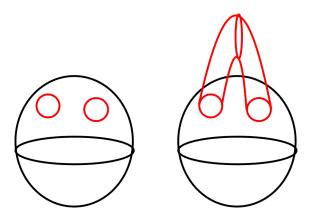
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What can you do?



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# Surgery





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Surgery

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# More formally, surgery is the following cutting and pasting process:



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• Let  $\Phi: S^k \times D^{n-k} \hookrightarrow N^n$  be an embedding.



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- Let  $\Phi: S^k \times D^{n-k} \hookrightarrow N^n$  be an embedding.
- Cut im ( $\Phi$ ) out of  $N^n$  and glue in  $D^{k+1} \times S^{n-k-1}$  instead.



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## Surgery and bordism

#### Theorem

Two manifolds M and N are bordant if and only if M can be constructed by surgery from N.



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# **Outlook: Topological Quantum Field Theories**

• The bordism category  $\mathcal{B}_n$ , is the category with objects compact oriented *n*-dimensional manifolds, and morphisms bordisms between these manifolds



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# **Outlook: Topological Quantum Field Theories**

- The bordism category  $\mathcal{B}_n$ , is the category with objects compact oriented *n*-dimensional manifolds, and morphisms bordisms between these manifolds
- A TQFT is a functor  $F : \mathcal{B}_n \rightarrow \textit{Vect}$  such that
  - $F(M_1) \cong F(M_2)$  if  $M_1$  and  $M_2$  are orientation preserving diffeomorphic

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- Physically
  - is related to relativistic invariance
  - is induced by the quantum nature of the theory

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# **Outlook: Topological Quantum Field Theories**

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- Physically
  - is related to relativistic invariance
  - Is induced by the quantum nature of the theory
- TQFT's have applications in Seiberg–Witten theory, topological string theory and knot theory.

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#### Scalar curvature

• Let (M, g) be a Riemannian manifold.



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Bordism Metrics of positive scalar curvature

## Scalar curvature

- Let (M, g) be a Riemannian manifold.
- The scalar curvature of *M* is a function  $scal: M \to \mathbb{R}$



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Bordism Metrics of positive scalar curvature

## Scalar curvature

- Let (M, g) be a Riemannian manifold.
- The scalar curvature of *M* is a function  $scal: M \to \mathbb{R}$
- For small r > 0 and  $x \in M$  we have :

$$vol(B_r(x)) = vol_{euclid}(B_r(0))(1 - \frac{scal(x)}{6(n+2)}r^2 + O(r^4))$$

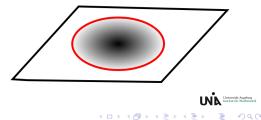


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$$scal(x) = 2$$
,  $vol(B_{\pi/2}(x)) = 2\pi$ 

$$\operatorname{vol}_{euclid}(B_{\pi/2}(0)) = \pi \cdot \pi^2/4$$



Bordism Metrics of positive scalar curvature

## What functions are the scalar curvature of a metric on a manifold?

### Theorem (Kazdan and Warner 1975)

Let *M* be a manifold with dim  $M \ge 3$ . Then:

 Every C<sup>∞</sup>-function on M which is somewhere negative is the scalar curvature of some metric on M.



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- Every C<sup>∞</sup>-function on M which is somewhere negative is the scalar curvature of some metric on M.
- Every C<sup>∞</sup>-function on M is the scalar curvature of some metric on M if and only if there is a metric of positive scalar curvature on M.



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## A basic question

### Question

Let M be a closed connected manifold. Does there exist a metric of positive scalar curvature on M?



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### **Dimension two**



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### Theorem (Gauss-Bonnet)

For a two-dimensional orientable manifold M, we have

$$\int_{M} scal(x) \, dvol = 4\pi \chi(M)$$

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### **Dimension two**



### Theorem (Gauss-Bonnet)

For a two-dimensional orientable manifold M, we have

$$\int_{M} scal(x) \, dvol = 4\pi \chi(M)$$

• Hence, the only surfaces which admit metrics of positive. scalar curvature are  $S^2$  and  $\mathbb{R}P^2$ .

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## Dimension three and four



#### Theorem (Perelman 2003)

If M is a manifold of dimension three, then M admits a metric of positive scalar curvature if and only if M is diffeomorphic to a connected sum of several copies of  $S^1 \times S^2$  and spherical space forms.



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• Dimension four is open.



Bordism Metrics of positive scalar curvature

## Surgery and positive scalar curvature



### Theorem (Gromov and Lawson / Schoen and Yau)

If M is constructed from N by a surgery of codimension at least three and N admits a metric of positive scalar curvature, then the same holds for M.



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## Surgery and positive scalar curvature



### Theorem (Gromov and Lawson / Schoen and Yau)

If M is constructed from N by a surgery of codimension at least three and N admits a metric of positive scalar curvature, then the same holds for M.

### Corollary

A manifold M with dim  $M \ge 5$  admits a metric of positive scalar curvature, if and only if its class in a certain bordism ring can be represented by a manifold with such a metric.

Bordism Metrics of positive scalar curvature

## Bordism classes of manifolds of positive scalar curvature

#### Lemma

Let  $\Omega_*$  be a bordism ring and  $I \subset \Omega_*$  the set of bordism classes which can be represented by manifolds with positive scalar curvature. Then I is an ideal.



Bordism Metrics of positive scalar curvature

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• Let *M* be a compact manifold and *N* a compact manifold with metric of positive scalar curvature.



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- Let *M* be a compact manifold and *N* a compact manifold with metric of positive scalar curvature.
- We have:  $scal_{M \times N}(x, y) = scal_M(x) + scal_N(y)$ .



Bordism Metrics of positive scalar curvature

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- Let *M* be a compact manifold and *N* a compact manifold with metric of positive scalar curvature.
- We have:  $scal_{M \times N}(x, y) = scal_M(x) + scal_N(y)$ .
- By shrinking *N* we get  $\mathit{scal}_N \to +\infty$

Bordism Metrics of positive scalar curvature

### Theorem (Gromov and Lawson 1980)

Assume that  $\pi_1(M) = 0$ , dim  $M \ge 5$  and M does not admit a spin-structure. Then M admits a metric of positive scalar curvature.



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Bordism Metrics of positive scalar curvature

### Theorem (Gromov and Lawson 1980)

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• The relevant bordism group for M is  $\Omega_n^{SO}$ .



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Bordism Metrics of positive scalar curvature

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- Ω<sup>SO</sup> is generated by fiber bundles with fibers manifolds with positive scalar curvature.



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Bordism Metrics of positive scalar curvature

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- Ω<sup>SO</sup> is generated by fiber bundles with fibers manifolds with positive scalar curvature.
- The total spaces of these fiber bundles therefore admit metrics of positive scalar curvature.

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## psc-metrics and Spin-structures

If M is spin and admits a metric of positive scalar curvature, then

• the Dirac-operator *D* on *M* is invertible (Lichnerowicz 1963).



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## psc-metrics and Spin-structures

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- Hence its index vanishes.



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## psc-metrics and Spin-structures

If M is spin and admits a metric of positive scalar curvature, then

- the Dirac-operator *D* on *M* is invertible (Lichnerowicz 1963).
- Hence its index vanishes.
- ind  $D = \widehat{A}(M)$  is an invariant of the spin-bordism type of M (Atiyah-Singer 1968).



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Bordism Metrics of positive scalar curvature



## Main results on spin bordism rings are due to Anderson, Brown, Peterson 1966/1967

•  $\Omega^{\mathsf{Spin}}_* \otimes \mathbb{Q} \cong \Omega^{SO}_* \otimes \mathbb{Q}$ 



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•  $\Omega^{\mathsf{Spin}}_* \otimes \mathbb{Q} \cong \Omega^{SO}_* \otimes \mathbb{Q}$ 

All non-trivial torsion elements in Ω<sup>Spin</sup><sub>\*</sub> are of order two



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### Theorem (Stolz 1992)

Assume that  $\pi_1(M) = 0$ , dim  $M \ge 5$  and M admits a spin structure. Then M admits a metric of positive scalar curvature if and only if  $\alpha(M) = 0$ .



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• The relevant bordism group for M is  $\Omega_n^{\text{Spin}}$ .



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- The relevant bordism group for M is  $\Omega_n^{\text{Spin}}$ .
- Stolz shows that ker α is generated by HP<sup>2</sup>-bundles over spin manifolds.

Bordism Metrics of positive scalar curvature



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- The relevant bordism group for M is  $\Omega_n^{\text{Spin}}$ .
- Stolz shows that ker α is generated by HP<sup>2</sup>-bundles over spin manifolds.
- As in the non-spin-case these admit psc-metrics.

Bordism Metrics of positive scalar curvature

## Outlook: Scalar curvature in General Relativity

• The vacuum Einstein field equation

$${\it Ric}_g - {{\it scal}_g\over 2}g = 0$$



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## Outlook: Scalar curvature in General Relativity

• The vacuum Einstein field equation

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is the Euler equation for the variational problem for the total scalar curvature functional

$$g\mapsto \int_M \mathit{scal}_g \mathit{dvol}_g$$



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## Outlook: Scalar curvature in General Relativity

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 positive scalar curvature corresponds to positive mass density or positive cosmological constant λ.

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## Outlook: Scalar curvature in General Relativity

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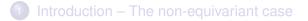
is the Euler equation for the variational problem for the total scalar curvature functional

$$g\mapsto \int_M \mathit{scal}_g \mathit{dvol}_g$$

- positive scalar curvature corresponds to positive mass density or positive cosmological constant λ.
- Beginning in the 1990s, measurements suggest that  $\lambda$  is small but positive.

Generators of equivariant bordism rings

### Outline



### 2 Equivariant bordism

### Invariant metrics of positive scalar curvature



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Generators of equivariant bordism rings

- Let *G* be a compact Lie-group and  $M_1$  and  $M_2$  closed *G*-manifolds.  $M_1$  and  $M_2$  are called *G*-equivariantly bordant if there is a *G*-manifold with boundary *W* such that  $\partial W = M_1 \amalg M_2$ .
- The set of all equivariant bordism classes  $\Omega_*^{SO,G}$  is an algebra over  $\Omega_*^{SO}$ .



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Generators of equivariant bordism rings

## Computations of equivariant bordism rings

### Theorem (Uchida / Hattori and Taniguchi 1970-1972)

As a module over  $\Omega^{SO}_*$ ,  $\Omega^{SO,S^1}_*$  is generated by twisted  $\mathbb{C}P^n$ -bundles.



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Generators of equivariant bordism rings

# Computations of equivariant bordism rings

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As a module over  $\Omega^{SO}_*$ ,  $\Omega^{SO,S^1}_*$  is generated by twisted  $\mathbb{C}P^n$ -bundles.

- Results on the module structure of the unitary S<sup>1</sup>-equivariant bordism ring by Kosniowski and Yahia (1982).
- Sinha (2005) gives generators and relations for the semi-free unitary S<sup>1</sup>-equivariant bordism ring.

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Generators of equivariant bordism rings

# Computations of equivariant bordism rings II

### Theorem (2015)

As a module over  $\Omega^{Spin}_{*}[\frac{1}{2}]$ ,  $\Omega^{Spin,S^{1}}_{*}[\frac{1}{2}]$  is generated by:

- semi-free S<sup>1</sup>-manifolds,
- generalized Bott manifolds



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Generators of equivariant bordism rings

# Generalized Bott manifolds

A 2*n*-dimensional manifold is called generalized Bott manifold if there is a sequence of fibration

$$M = N_k \rightarrow N_{k-1} \rightarrow \cdots \rightarrow N_1 \rightarrow N_0 = \{pt\}$$

such that:

 each N<sub>i</sub> is the projectivization of a sum of n<sub>i</sub> + 1 complex line bundles over N<sub>i-1</sub>.



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such that:

• each  $N_i$  is the projectivization of a sum of  $n_i + 1$  complex line bundles over  $N_{i-1}$ .

Then we have:

• There is an effective action of a torus *T* of dimension  $n = \sum_{i} n_{i}$  on *M*.

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# Generalized Bott manifolds

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$$M = N_k \rightarrow N_{k-1} \rightarrow \cdots \rightarrow N_1 \rightarrow N_0 = \{pt\}$$

such that:

 each N<sub>i</sub> is the projectivization of a sum of n<sub>i</sub> + 1 complex line bundles over N<sub>i-1</sub>.

Then we have:

- There is an effective action of a torus *T* of dimension  $n = \sum_{i} n_{i}$  on *M*.
- This action is induced by multiplication on the line bundles from above.

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Then we have:

- There is an effective action of a torus *T* of dimension  $n = \sum_{i} n_{i}$  on *M*.
- This action is induced by multiplication on the line bundles from above.
- The S<sup>1</sup>-action on *M* is given by restriction of the *T*-action to some circle subgroup.

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### Outline



2 Equivariant bordism

Invariant metrics of positive scalar curvature



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### A basic question

### Question

Assume that a compact connected Lie group G acts effectively on a closed connected manifold M. Does there exist an G-invariant metric of positive scalar curvature on M?



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### First existence theorem

### Theorem (2013)

Let *M* be a connected  $(G \times S^1)$ -manifold such that codim  $M^{S^1} = 2$ . Then *M* admits a  $(G \times S^1)$ -invariant metric of positive scalar curvature.



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From now on assume that M is an  $S^1$ -manifold such that:

- codim *M*<sup>S<sup>1</sup></sup> ≥ 4
- $\pi_1(M_{max}) = 0$
- All singular strata in *M* are orientable. This is always satisfied if *M* is spin.



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# The bordism principle for invariant metrics

#### Theorem

If dim  $M \ge 6$  and  $M_{max}$  is not spin, then M admits a normally symmetric metric of positive scalar curvature if and only if its class in  $\Omega_{\ge 4,n}^{SO,S^1}$  can be represented by a manifold which admits such a metric.



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#### Theorem

If dim  $M \ge 6$  and M is spin, then M admits a normally symmetric metric of positive scalar curvature if and only if its class in  $\Omega_{\ge 4,n}^{Spin,S^1}$  can be represented by a manifold which admits such a metric.

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### Existence results

### Theorem (2015)

If dim  $M \ge 6$  and

- M<sub>max</sub> is not spin, or
- M is spin and the S<sup>1</sup>-action of odd type,



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### **Existence** results

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then there is an  $\ell \in \mathbb{N}$  such that the equivariant connected sum of  $2^{\ell}$  copies of M admits an invariant metric of positive scalar curvature.

- In the first case  $\ell$  can be taken to be 1.
- If the action is semi-free,  $\ell$  can be taken to be 1.



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### Existence results II

#### Theorem (2015)

If dim  $M \ge 6$ , M is spin and the  $S^1$ -action of even type, then  $\widehat{A}_{S^1}(M/S^1) = 0$  if and only if there is an  $\ell \in \mathbb{N}$  such that the equivariant connected sum of  $2^\ell$  copies of M admits an invariant metric of positive scalar curvature.



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# Existence results II

### Theorem (2015)

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- Â<sub>S<sup>1</sup></sub>(M/S<sup>1</sup>) is a ℤ[<sup>1</sup>/<sub>2</sub>]-valued equivariant bordism invariant of M.
- For free actions it is the  $\widehat{A}$ -genus of the orbit space.
- For semi-free actions it was defined by Lott (2000).

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# $\widehat{A}$ -genus and $S^1$ -actions

#### Theorem (Atiyah and Hirzebruch 1970)

Let M be a spin-manifold with dim  $M \ge 6$  which admits a non-trivial S<sup>1</sup>-action. Then  $\widehat{A}(M) = 0$ .



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- The original proof uses the Lefschetz fixed point formula and complex analysis.
- From the original proof no relation to positive scalar curvature follows.
- Such a relation can be deduced from our existence results for positive scalar curvature metrics on S<sup>1</sup>-manifolds.

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• We may assume that dim M = 4k.



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• We may assume that dim M = 4k.  $\Rightarrow \widehat{A}_{S^1}(M/S^1) = 0$ .



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- Therefore 2<sup>ℓ</sup>M is equivariantly spin-bordant to an S<sup>1</sup>-manifold N with an invariant metric of positive scalar curvature.

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- Therefore 2<sup>ℓ</sup>M is equivariantly spin-bordant to an S<sup>1</sup>-manifold N with an invariant metric of positive scalar curvature.

• Hence, 
$$2^{\ell}\widehat{A}(M) = \widehat{A}(N) = 0$$
.

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# $\widehat{A}$ -genus and $S^1$ -actions

### Theorem (Atiyah and Hirzebruch 1970)

Let *M* be a spin-manifold with dim  $M \ge 6$  which admits a non-trivial  $S^1$ -action. Then  $\widehat{A}(M) = 0$ .

- We may assume that dim M = 4k.  $\Rightarrow \widehat{A}_{S^1}(M/S^1) = 0$ .
- Therefore 2<sup>l</sup> M is equivariantly spin-bordant to an S<sup>1</sup>-manifold N with an invariant metric of positive scalar curvature.
- Hence,  $2^{\ell}\widehat{A}(M) = \widehat{A}(N) = 0. \Rightarrow \widehat{A}(M) = 0.$

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# • Let $\Lambda$ be a $\mathbb{Q}$ -algebra. A $\Lambda$ -genus is a ring homomorphism $\varphi : \Omega_*^{SO} \to \Lambda$ .



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- Let  $\Lambda$  be a  $\mathbb{Q}$ -algebra. A  $\Lambda$ -genus is a ring homomorphism  $\varphi : \Omega^{SO}_* \to \Lambda$ .
- Examples:
  - The Signature and the  $\widehat{A}$ -genus are genera.



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### Elliptic genera

• A genus  $\varphi$  is called elliptic if there are  $\delta, \epsilon \in \Lambda$  such that

$$\sum_{i\geq 0} \frac{\varphi([\mathbb{C}P^{2i}])}{2i+1} u^{2i+1} = \int_0^u \frac{1}{\sqrt{1-2\delta t^2 + \epsilon t^4}} dt$$



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#### Theorem (Ochanine 1987)

A genus  $\varphi$  is elliptic if and only if  $\varphi(E) = 0$  for all total spaces E of fiber bundles with fiber  $\mathbb{C}P^{2i+1}$ ,  $i \ge 0$ , and simply connected base manifold.

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### Equivariant genera

For every  $\Lambda$ -genus  $\varphi : \Omega^{SO}_* \to \Lambda$  there exists an  $S^1$ -equivariant version

$$\varphi_{S^1}: \Omega^{SO,S^1}_* \to H^{**}(BS^1; \Lambda) = \Lambda[[u]].$$



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### Equivariant genera



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$$\varphi_{\mathcal{S}^1}: \Omega^{\mathcal{SO},\mathcal{S}^1}_* \to H^{**}(\mathcal{BS}^1; \Lambda) = \Lambda[[u]].$$

#### Theorem (Bott and Taubes 1989)

A  $\Lambda$ -genus is elliptic if and only if for every spin  $S^1$ -manifold M, the power series  $\varphi_{S^1}(M)$  is constant in u.



- We have generators of the S<sup>1</sup>-equivariant Spin-bordism ring
- These can be used to prove
  - the rigidity of elliptic genera
  - existence of *S*<sup>1</sup>-invariant metrics of positive scalar curvature.



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