

Fractional Quantum Hall Anyons via the Algebraic Topology of Exotic Flux Quanta

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May 31, 2025

Abstract

Fractional quantum Hall systems (FQH), due to their experimentally observed anyonic topological order, are a main contender for future hardware-implementation of error-protected quantum registers (“topological qubits”) subject to error-protected quantum operations (“topological quantum gates”), both plausibly necessary for future quantum computing at useful scale, but both remaining insufficiently understood.

Here we present a novel non-Lagrangian effective description of FQH anyons, based on previously elusive proper global quantization of effective topological flux in extraordinary non-abelian cohomology theories. This directly translates the system’s quantum-observables, -states, -symmetries, and -measurement channels into purely algebro-topological analysis of local systems of Hilbert spaces over the quantized flux moduli spaces.

Under the hypothesis — for which we provide a fair bit of evidence — that the appropriate effective flux quantization of FQH systems is in 2-Cohomotopy theory (a cousin of *Hypothesis H* in high-energy physics), the results here are rigorously derived and as such might usefully inform laboratory searches for novel anyonic phenomena in FQH systems and hence for topological quantum hardware.

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The authors acknowledge the support by *Tamkeen* under the *NYU Abu Dhabi Research Institute grant CG008*.



1 Introduction & Survey

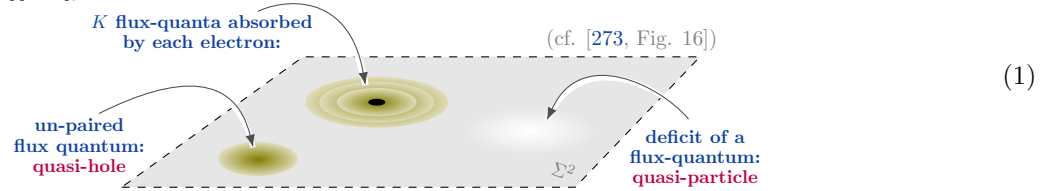
Need for topological quantum protection. The potential promise of *quantum computers* [210][122] is enormous [110][23][223], but their practicability hinges on finding and implementing methods to stabilize quantum registers and gates against decohering noise. Serious arguments [155][71][180][76][77][135][107][288] and practical experience [55] suggest that the currently dominant approach of *quantum error correction* at the software-level (QEC [183][222]) will need to be supplemented [56]¹ by more fundamental physical mechanisms of quantum error *protection* already at the hardware level, in the form of “topological” stabilization of quantum states (“topological qubits”) and operations (“topological quantum gates”) [165][94][209][259][258]. While the general idea of topological quantum protection is famous and widely discussed, its fine details have received less attention and are nowhere nearly as well-understood as those of QEC — this in odd contrast to its plausible necessity for scalable quantum computing.

FQH systems: Topological flux quanta. The main practical contender² for the required topological quantum hardware currently are (cf. [9][58][34][14][198]) *fractional quantum Hall systems* (FQH, cf. [220][39][273][147][149][215]). These are predominantly electron gases constrained to an effectively 2-dimensional surface Σ^2 (2DEG, e.g. realized on interfaces between semiconducting materials [215]) at extremely low temperature and penetrated by transverse magnetic flux (cf. [119, §2.2.1][250, §2.1]) so strong that the number of *flux quanta* (cf. [168, (27)]) through the surface Σ^2 is an integer multiple K of the number of electrons confined to Σ^2 , called the inverse *filling fraction* $\nu = \frac{1}{K}$ (more generally a rational number).

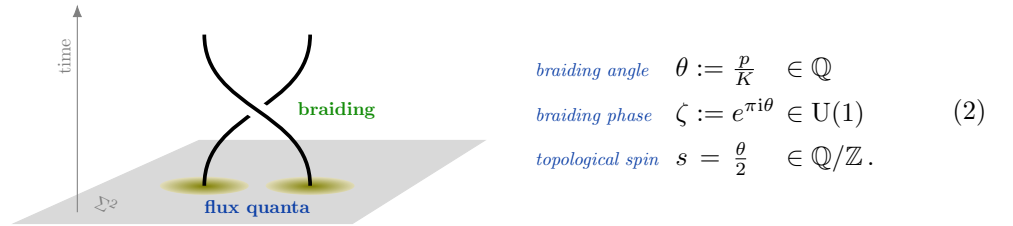
In this situation, each electron in Σ^2 appears — which is understood only heuristically, [145][146], cf. [273, pp 882] — to form a “bound state” of sorts with exactly $1/\nu$ flux quanta — which conversely means that any *further* \pm flux quantum inserted into the system, appears like the $\mp\nu$ th fraction of an electron and hence as a “quasi-particle” (or “quasi-hole”) of charge the $\mp\nu$ th fraction of that of an electron:

FQH system at filling fraction ν : \pm surplus flux quanta \rightsquigarrow $\mp\nu$ fractional quasi-particles.

It is these fractional quasi-particles/holes — hence the surplus flux quanta on top of the exact fractional filling number — that are thought to have the desired topologically protected quantum states (exhibiting “topological order” [292], cf. [307, §III][243]).



In particular, each “braiding interchange” of worldlines of a pair of such makes their joint quantum state pick up a fixed complex phase factor $\zeta = e^{\pi i \theta}$ (predicted in [125][8] as reviewed in [147, §9.8], observed for $\theta = \frac{1}{3}$ in [207] and for $\theta = \frac{2}{5}$ in [208, p 1,7]) *independent* of the local details of the braiding process:



(The braiding phase ζ is a function of the filling factor ν , which for unit-fraction filling factors $\nu = 1/K$ has the simple relation $\zeta = \pm e^{\pi i \nu}$ but otherwise may be more complicated [147, §9.8.2]. We will be dealing with ζ , not ν .)

¹[56]: “The qubit systems we have today are a tremendous scientific achievement, but they take us no closer to having a quantum computer that can solve a problem that anybody cares about. [...] What is missing is the breakthrough [...] bypassing quantum error correction by using far-more-stable qubits, in an approach called topological quantum computing.”

² Much more press coverage has been devoted to the alternative candidate topological platform of “Majorana zero modes” in nanowires [57]; but even if the persistent doubts about their experimental detection (cf. [59]) were to be dispelled in the future, these topological quantum states would by design be unmovable and hence will not support the hardware-level protected quantum *gates* that we are concerned with here (as already noted in the original article [167, p. 2] — the later proposal [4, §II p. 4] is not a quantum gate by adiabatic transport along an external parameter path as would be needed for a topological braid gate, according to the discussion recalled below around Fig. A).

Therefore, these FQH flux-quanta/quasi-particles are called “*anyons*” [8][272][268], in (somewhat inaccurate) reference [297] to *any* possible exchange phase between those of bosons ($\zeta = 1$, $s = 0$) and those of fermions ($\zeta = -1$, $s = \frac{1}{2}$), cf. [126, §11.4]. Experimental observation of this emblematic anyonic braiding phase factor in quantum Hall systems has consistently been reported in recent years, by various independent groups and in different materials: [16][206][207][208][236][116][177][284][237].

However, while it is manifest in (2) that a deeper understanding of FQH systems hinges on a deep understanding of their *flux quantization* [250], just this is a weak spot of existing theory:

The problem of flux quantization in FQH systems. Experiment shows abundantly that the fractional quantum Hall effect is a *universal* phenomenon [152][114, p 1][115] in that its characteristic properties are independent of the microscopic nature of the host material and of impurities and irregularities of the sample. This suggests [95] the existence of accurate *effective* quantum field theoretic descriptions (cf. [90]) whose degrees of freedom reflect not any microscopic host particles but instead the nature of the universally emergent FQH quasi-particles (much like and closely related to how conformal field theory universally serves as effective description of critical phenomena in statistical mechanics, cf. [62, §3.2]).

Traditionally, this putative effective FQH theory is sought in the ancient and extensively-studied realm of *Lagrangian* quantum field theories (cf. [131][111]), where one argues ([306][292], cf. [293, §7.3][90, §13.7][301, §2][280, §5][257, p 5]) that the relevant candidates are variants of abelian Chern-Simons theory [33][217][187], see §A.1. However, popular as they are, all (higher) gauge-field Lagrangians $L = L(A)$ suffer from the deficiency that they are sensitive only to the *local* degrees of freedom of the gauge field \hat{A} — namely to their underlying “gauge potentials” A on an open cover $\tilde{X} \xrightarrow{p} X$ of spacetime X —, and hence by themselves miss exactly the *global topological* degrees of freedom (encoded in transition data over the Čech nerve of the cover, cf. [250, §3.3]) that are relevant for topological systems like FQH, cf. Fig. G:

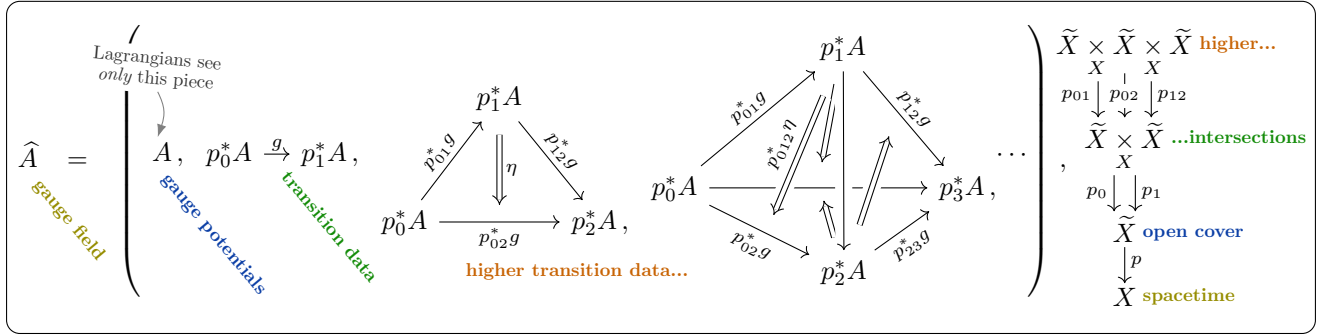


Figure G. The full non-perturbative data of a (higher) gauge field configuration \hat{A} on a spacetime X consists not just of the gauge potentials A , which are only defined locally — namely on an open cover \tilde{X} of X by charts —, but in “transition data” g which gauge-transforms between coincident gauge potentials on different charts, and further in incrementally higher transition data which higher-gauge transforms between coincident transition data.

It is this (higher) transition data that reflects the *flux quantization law* and thereby captures the topological *charge* or *soliton sector* encoded in the gauge field — and exactly this topological data is lost in Lagrangian formulations, with Lagrangian densities L being dependent only on the local gauge potentials, $L = L(A)$, cf. (149).

For further exposition and pointers see [5] for the case of the ordinary electromagnetic field and [250, §3.3] for full generality.

While the missing global *flux quantization laws* [5][250] are traditionally tacked onto Lagrangian theories in an afterthought (“anomaly cancellation”), the effective CS-Lagrangians (149) traditionally proposed for FQH systems have the unnerving deficiency that — in their attempt to model the all-important *fractional* quasi-particle current by an effective gauge field — they appear to be inconsistent with the integrality demanded by ordinary flux-quantization (cf. [301, p 35][280, p 159] and Rem. A.1 below).

This issue is an example of the notorious open problem of finding *non-perturbative* quantizations of Lagrangian theories as needed for strongly coupled topological quantum systems [82] (the analog in solid state physics of what in mathematical high energy physics is known as the *mass gap problem* which has famously been pronounced a “Millennium Problem” [47]).

Non-Lagrangian effective FQH theory based on flux quantization. In contrast, we have developed a non-Lagrangian theory of topological quantum states in (higher) gauge theories which is compatible with and in fact all based on consistent flux-quantization (survey in [250][257]) — the main insight here is (recalled below in §2):

- (a) flux-quantization laws are encoded by extra-ordinary³ non-abelian cohomology theories [85, §2][253, §1] with *classifying spaces* \mathcal{A} whose “rationalization” reflects the duality-symmetric form of the gauge-field’s Bianchi identities, cf. [250, §3] and (6) below;
- (b) the *topological quantum observables on flux* depend only on the homotopy type of this classifying space \mathcal{A} , and not on any other (local, microscopic) properties of the theory [248].

A quick way to understand the underlying principle is to recall the classical fact (cf. [86, p 263][85, Ex. 2.1]) of algebraic topology (see §A.3) that there exist classifying spaces — here denoted $B^n\mathbb{Z}$ ⁴, characterized by $\pi_k B^n\mathbb{Z} \simeq \delta_k^n \mathbb{Z}$ — for (integral, reduced) ordinary cohomology:

$$\begin{array}{ccc} \text{Ordinary cohomology} & & \text{homotopy classes of maps to} \\ & \text{classifying space} & \\ \tilde{H}^n(X; \mathbb{Z}) & \xleftarrow{\sim} & \pi_0 \text{Map}^*(X, B^n\mathbb{Z}), \end{array} \quad (3)$$

and that the usual (Dirac) flux quantization of the electromagnetic field (cf. [5][250, Ex. 3.9]) says that its underlying topological charge is a class in $\tilde{H}^2(X; \mathbb{Z})$, hence represented by a map from spacetime to $B^2\mathbb{Z} \simeq_f BU(1) \simeq_f \mathbb{C}P^\infty$ ⁵, and that the latter is all it needs to deduce (cf. [250, Ex. 2.2]) that solitonic magnetic flux through a plane comes in integer units – the *flux quanta* (1):

$$\begin{array}{ccc} \text{Hmtpy classes of maps classifying} & & \text{number of} \\ \text{solitonic magnetic flux} & & \text{flux quanta} \\ \{\mathbb{R}_{\cup\{\infty\}}^2 \xrightarrow{c} B^2\mathbb{Z}\}_{/\text{hmtpt}} & \simeq & \pi_0 \text{Map}^*(R_{\cup\{\infty\}}^2, B^2\mathbb{Z}) \simeq \pi_0 \text{Map}^*(S^2, B^2\mathbb{Z}) \simeq \pi_2(B^2\mathbb{Z}) \simeq \mathbb{Z}. \end{array} \quad (4)$$

In fact, the classifying space $B^2\mathbb{Z}$ moreover encodes the ordinary topological flux quantum observables through any surface Σ^2 , as seen in Ex. 2.8 below.

The key role of algebraic topology. With this understanding, the question for an effective QFT description of FQH systems is not answered as traditionally (by choosing a Lagrangian whose equations of motion reflect local properties like the Hall current) but instead by finding an effective classifying space \mathcal{A} whose implied topological quantum observables reproduce the expected observations on global topological states, such as the emblematic (non-)commutation relation of Wilson line operators on the torus, shown in (17) below.

It turns out (in §2) that this construction of topological flux quantum states proceeds entirely by the analysis of “*local systems*” (cf. Rem. 2.12 below) on the (generally covariantized) homotopy type of moduli spaces (21) of flux given by mapping spaces from the spacetime domain into the classifying space for the flux-quantization law — and as such is squarely a problem in the mathematical subject of homotopy theory and algebraic topology (for which we have compiled some background in §A.3).

Novel effective flux quantization for FQH systems. Concretely, a candidate classifying space for the *effective* magnetic flux through FQH systems (as seen by the effective quasi-particles/holes) turns out [251][249][257]⁶ to be the 2-sphere $\mathcal{A} \simeq S^2$ (see §3), modeling effective FQH flux in a variation of the ordinary classifying space (4) (of which it is the “2-skeleton”):

$$\begin{array}{ccc} \text{Classifying space for} & S^2 \simeq \mathbb{C}P^1 \longrightarrow \mathbb{C}P^\infty \simeq_f BU(1) \simeq_f B^2\mathbb{Z} & \text{Classifying space for} \\ \text{effective FQH flux} & & \text{ordinary magnetic flux} \end{array} \quad (5)$$

In this article, we work out in detail how this classifying space produces quantum effects in FQH systems, in particular how it reproduces and refines quantum phenomena otherwise associated with abelian Chern-Simons theory. As a quick plausibility argument for this claim, note (cf. [84]) that the rationalization of the 2-sphere is encoded by the following differential equations (its “Sullivan minimal model” cf. [250, §3.2]), which are just those equations that characterize the Chern-Simons 3-form H_3 for a gauge field flux density F_2 as it appears in the Lagrangian formulation of Chern-Simons theory (cf. [91, Prop. 1.27(b)] and (149)):

³The term *extra-ordinary cohomology theory* is standard (cf. [191][86, §6]) for (Whitehead-)generalized abelian cohomology theories (cf. [85, Ex. 2.10]) represented by spectra of spaces, in contrast to the ordinary cohomology theories represented by (spectra of) Eilenberg-MacLane spaces (3). Here we use the term in the yet greater generality of non-ordinary *and* non-abelian cohomology [85, §2][253, §1], as a more evocative version of the overused and now ambiguous term “generalized cohomology”.

⁴These ordinary classifying spaces are known as *Eilenberg-MacLane spaces* and traditionally denoted “ $K(\mathbb{Z}, n)$ ”.

⁵Our notation “ \simeq_f ” stands for *weak homotopy equivalences* (187).

⁶As explained in [251][113], following [253], the 2-sphere here is a cousin of the 4-sphere which similarly serves as flux quantization of the higher gauge field in 11D supergravity [240, §2.5][83][112] (review in [250]), where its choice as such is referred to as *Hypothesis H* [83]. While this is where our approach to FQH systems here comes from and is informed by [249], for the present purpose the reader may ignore this geometric engineering of FQH systems on M5-probes of 11d SuGra. But review and relevance for deeper questions of FQH systems (such as their hidden supersymmetry [120][225]) may be found in [257, §2-3].

$$\begin{array}{c} \text{Rational model of} \\ \text{classifying space for} \\ \text{effective FQH flux} \end{array} \quad \text{CE}(\text{IS}^2) \simeq \mathbb{R}_d \left[\overset{\text{flux density}}{\begin{array}{c} F_2 \\ H_3 \end{array}} \right] / \left(\begin{array}{l} d F_2 = 0 \\ d H_3 = F_2 F_2 \end{array} \right) \quad \begin{array}{c} \text{Bianchi identities characterizing} \\ \text{Chern-Simons 3-form /} \\ \text{Green-Schwarz mechanism} \end{array} \quad (6)$$

effective
higher flux

Incidentally, it is in this sense that our effective description of the FQH effect is a mild form of *higher gauge theory* (cf. [256]), since the Chern-Simons 3-form (traditionally understood as a Lagrangian density) here appears as a higher flux density — the 3-form H_3 — satisfying a Bianchi identity of the form known from *Green-Schwarz mechanisms*.⁷ Moreover, H_3 is the rational image of the *Hopf fibration*, the generator of

$$\mathbb{Z} \simeq \pi_3(S^2) \simeq \pi_0 \text{Map}^*(S^3, S^2) \simeq \pi_1 \text{Map}^*(S^2, S^2). \quad (7)$$

This is the non-torsion class that disappears under passage to the ordinary classifying space (5), and it is this class which we find in §3, Prop. 3.9, to be identified with the observable $\hat{\zeta}$ of fractional braiding phases (2)!

Results. With this novel effective theory for FQH systems in hand, we here derive its predictions over various surface geometries — finding agreement with traditional statements but also some subtle differences that may be discernible in experiment:

novel effects key effects known or expected in FQH	Surface	Results for 2-Cohomotopical flux quanta	
	§3 Σ^2	broadly	fine-print
	§3.1 The plane $\Sigma_{0,0,1}^2$	fractional statistics	framing regularization of link observables appears automatically
	§3.4 The torus $\Sigma_{1,0,0}^2$	topological order	ground state degeneracy differs from CS for some non-unit filling fractions
	§3.7 The annulus $\Sigma_{0,0,2}^2$	edge modes	ground state degeneracy if edge mode phases differ in magnitude
	§3.8 3-Punctured disk $\Sigma_{0,1,3}^2$	para-defects	para-statistics form of non-abelian statistics possible for the 3 defects

Comparison to U(1)-Chern-Simons theory. To a large extent, our construction turns out to give a curious and curiously direct (re-)derivation of the fine properties of U(1)-Chern-Simons quantum field theory (cf. [33][217][187][106]) by novel non-Lagrangian means, and as such the result seems of interest in its own right, beyond the topic of FQH systems (see Remarks 3.13 and 3.37 below).

Or rather, we find (in §3.4) that with FQH systems we must be dealing with “*spin*” *Chern-Simons theory* [63, §5] (a point originally noted for FQH systems in [202, p 381] but usually glossed over), where the filling fraction denominator is identified with *twice* the Chern-Simons level (which is hence half-integral for the common odd FQH denominators):

	Symbol	in $\frac{p}{q}$ -FQH	in ordinary CS	in “spin” CS	$\exp(\frac{i}{h} S_{\text{CS}}) =$
CS level	k		$\in \mathbb{N}_{>0}$	$\in \frac{1}{2}\mathbb{N}_{>0}$	$e^{2\pi i k \int A \, dA}$
	$K \equiv 2k$	$= q$	$\in 2\mathbb{N}_{>0}$	$\in \mathbb{N}_{>0}$	$e^{\pi i K \int A \, dA}$

(8)

But our theory also differs from usual U(1)-CS-theory in subtle respects:

- On the torus, we find (Prop. 3.39, 3.41) general fractional braiding phases $e^{\pi i \frac{p}{K}}$, beyond $p = 1$, otherwise only seen for $U(1)^N$ CS-theory with $N > 1$, in “*K*-matrix formalism” ([295][292], cf. A.2).
- At the same time, our prediction for the ground state degeneracy on the torus is $\dim(\mathcal{H}_{T^2}) = K$ (Thm. 3.42), independent of p , and hence in general different from the prediction of K-matrix formalism.
- On the other hand, we see (Rem. 3.43) that these K -dimensional state spaces over the torus admit distinct possible flavors of topological order reflected in extra phases picked up under modular transformations.

⁷In the “geometric engineering” of our FQH model on M5-branes referred to in footnote 6, this 3-form arises as the restriction to an orbi-singularity of the “self-dual” tensor field carried by these branes, which itself is quantized in a higher (and “twistorial”) form of Cohomotopy, cf. [113][251].

- On n -punctured disks, where the literature on the Reshetikhin-Turaev construction of CS-theory expects the framed braid group FBr_n to act on the Hilbert space of states, we find subgroups of framed braids with restriction on their total framing number (cf. Rem. 3.52), and we find that this is related to the appearance of edge modes (cf. 3.7).
- While we recover the fine-print of Wilson loop observables in abelian Chern-Simons theory (Rem. 3.13), we find a clear distinction (cf. Fig. F) between *solitonic anyons* (with abelian braiding not amenable to external control) and *defect anyons* (with possibly non-abelian braiding subject to external control), which does not seem to be clearly expressed in traditional theory.

Dimensionality. In view of this comparison it may be worth highlighting that, in contrast to usual FQH effective theories, our field theory is defined in physical 1+3 dimensions, namely on 3-dimensional space $\Sigma^2 \times \mathbb{R}$ (cf. Def. 2.1 below), and dynamically localizes to an effectively 1+2 dimensional theory on Σ^2 (cf. (12)) by the property of *solitonic* flux to “vanish at infinity” (20), hence to vanish towards the ends of the \mathbb{R} -factor⁸, hence to localize towards the surface Σ^2 . This, of course, matches the situation of realistic experiments where the slab of material is never exactly 2-dimensional but has a finite transverse extension, and with it so do the quasiparticles inside it.

Conclusion. In summary, we hypothesize that FQH flux is effectively quantized in 2-Cohomotopy (§3), in particular identifying configurations of anyonic FQH quasi-holes/particles (1) with the configurations of signed points (Ex. 3.5) that the Pontrjagin/Segal theorems associate with charges in 2-Cohomotopy (§3.1)⁹. Our main results are:

- (i) **Consistency checks:** Flux quantization in 2-Cohomotopy recovers for solitonic flux quanta the experimentally observed anyonic braiding phase (2) with the expected topological order on tori (§3.3 & §3.4), and in fact recovers the properly regularized Wilson loop observables of abelian Chern-Simons theory (§3.1), while for defects it recovers the expected framed braid group actions on spaces of ground states (§3.5).
- (ii) **Novel predictions:** 2-Cohomotopical flux quantization implies that the ground state degeneracy and topological order on tori may differ from the predictions of K-matrix Chern-Simons theory away from unit filling fractions, and it seems to allow non-abelian braiding statistics (not of solitonic flux quanta but) of defects in the FQH material where magnetic flux is expelled (§3.8), such as may be expected for superconducting islands inside a semiconducting FQH system (cf. Fig. D).

Therefore, while the account here is purely theoretical and largely mathematical, it does make potentially discernible experimental predictions and suggests potential novel pathways to realizing topological quantum gates in FQH systems, notably in predicting that non-abelian defect anyons may be realized as defect loci in the FQH material where the magnetic field is expelled.

This may be noteworthy since it is defect anyons (as opposed to solitonic anyons) that stand a chance to implement topological quantum gates — via their potential adiabatic braiding by external tuning of their positions (cf. [205, §3]).

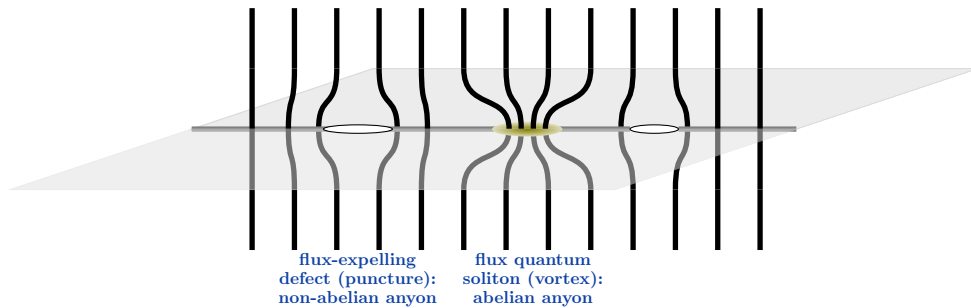


Figure D — Solitonic and defect anyons. We recover the usual statement that it is (surplus) magnetic flux quanta (aka: vortices, quasi-holes) which constitute the (abelian) solitonic anyons in fractional quantum Hall systems (cf. Rem. 3.13). In addition we find that material defects within the abelian FQH system, at which flux is expelled (punctures in the surface Σ^2 , cf. Fig. I) — such as to be expected for superconducting islands within a semiconducting substrate — may constitute potentially non-abelian defect anyons, cf. Rem 3.26.

⁸Curiously, this extra dimension, which makes our effectively $(1+2)$ -dimensional field theory fundamentally $(1+3)$ -dimensional, is identified with the (decompactified) “M-theory circle” under the geometric engineering of the theory on M5-branes; cf. footnote 6.

⁹As such, there are tantalizing relations of our results to the proposal [201], see particularly the end of §2 there and footnote 18 below.

Acknowledgements. We thank Sadok Kallel, Moishe Kohan, Martin Palmer, and Will Sawin for useful discussion concerning aspects of the algebro-topological analysis in §3. We thank Jack Morava for further inspiring discussion.

2 Exotic Topological Flux Quanta

Here we develop our main Definition 2.11 of quantum states of (ordinary and) extra-ordinary/exotic topological flux quanta.

We begin by *deriving* the special case of this definition for ordinary Yang-Mills fluxes (via Prop. 2.6 below, from [248]), showing that non-perturbative topological quantum observables on ordinary G -Yang-Mills flux depend exclusively on the homotopy type of the electric/magnetic *classifying space* $\mathcal{A} \equiv B(G \ltimes (\mathfrak{g}/\Lambda))$.

In this algebro-topological formulation (cf. §A.3), the result has an evident generalization to higher gauge theories with extra-ordinary flux quantization laws [250] classified by any other pointed space \mathcal{A} , such as the 2-sphere (5) with its higher Chern-Simons flux form (6) in our motivating example of FQH systems, to be treated this way in §3.

Since no other established rules for non-perturbative quantization of higher gauge fields exist, we promote this evident generalization to the previously missing quantization procedure for higher topological flux, following [248, §4]. This is Def. 2.11 below, where we successively refine the prescription by allowing also punctured surfaces and accounting for diffeomorphism-covariance (“general covariance”), as befits topological quantum field theories.

We analyze the crucial effect of general covariance in §2.2 and at the same time develop a corresponding quantum metrology, below in §2.3, to sort out the subtle and previously neglected question of what exactly can be experimentally observable and measurable of a generally-covariant (topological) quantum field theory.

This novel (non-Lagrangian and non-perturbative) quantization prescription is motivated/justified, apart from its conceptual elegance, by its coincidence with traditional non-perturbative flux quanta in the case of ordinary Yang-Mills fields (Prop. 2.6, [248]), its previous applications in formal high-energy physics (cf. [242][51][248, §4]), and, last not least, its success (§3) in recovering subtle expected phenomena of FQH systems. Since in the latter case it also predicts some novel effects and differs in some details from the predictions of established Lagrangian (K-matrix Chern-Simons) theory, it is plausibly experimentally testable and may suggest new experimental questions to be asked of FQH systems.

2.1 Topological Quantum States

First to set up some notation:

Definition 2.1 (Spacetime). Throughout, we consider

- $X^{1,3} := \mathbb{R}^{1,1} \times \Sigma^2$ a globally hyperbolic 4D spacetime,
- with spatial slices $\mathbb{R}^1 \times \Sigma^2$, to be thought of as a tubular neighborhood of:
- Σ^2 , a surface (here: a connected, oriented smooth 2D manifold with boundary) which at times is
- specialized to $\Sigma^2 \equiv \Sigma_{g,n,b}^2$, the unique (up to diffeomorphism) surface (cf. §A.4):
 - of genus g ,
 - with b boundary components,
 - and n punctures:






$$\Sigma_{g,b,n}^2 \simeq \underbrace{\left(\underbrace{\Sigma_{0,0,0}^2}_{\text{sphere}} \# \underbrace{T^2 \# \dots \# T^2}_{\substack{\text{connected} \\ \text{summands} \\ \text{of tori}}} \right)}_{\substack{\text{connected} \\ \text{sum}}} \setminus \underbrace{\left\{ \underbrace{D^2 \sqcup \dots \sqcup D^2}_b \sqcup \underbrace{\overline{D}^2 \sqcup \dots \sqcup \overline{D}^2}_n \right\}}_{\substack{\text{complement} \\ \text{disjoint summands} \\ \text{of open disks} \quad \text{disjoint union} \\ \text{of closed disks}}} \quad (9)$$

understood as modeling an effectively 2-dimensional sample of material. ¹⁰

We abbreviate $\Sigma_{g,b}^2 := \Sigma_{g,b,0}^2$ and $\Sigma_g^2 := \Sigma_{g,0}^2 \equiv \Sigma_{g,0,0}^2$.

¹⁰ Albeit routinely considered in theory (cf. [294]), the practicability of direct laboratory realizations of $\Sigma_{g,n,b}^2$ (9) with transversal magnetic flux is limited when $g > 0$. The case $g = 1$ (the torus) is readily realized (only) when considering momentum space (the Brillouin torus of a 2D crystal, cf. [243]) instead of position space, but, while noteworthy in itself, this is not the case of FQH systems of concern here. Alternatively, it was argued [13] that suitable defects, called “genons”, in a crystal lattice could make a sample of nominal genus $g = 0$ effectively behave like $g > 0$.

Example 2.2 (Some surfaces). We have (boundary-fixing) diffeomorphisms as follows:

sphere	$\Sigma_{0,0,0}^2 \simeq S^2$		
torus	$\Sigma_{1,0,0}^2 \simeq T^2$		
closed disk	$\Sigma_{0,1,0}^2 \simeq D^2$		
open disk	$\Sigma_{0,0,1}^2 \simeq \mathbb{R}^2$		
open annulus / punctured plane	$\Sigma_{0,0,2}^2 \simeq \mathbb{R}^2 \setminus \{0\}$		
half-open annulus	$\Sigma_{0,1,1}^2$		
closed annulus	$\Sigma_{0,2,0}^2 \simeq A^2$		(10)

Here the disks and annuli are the surface types readily and commonly realized in laboratory FQH experiments (cf. footnote 10).

Remark 2.3 (Spin structure). In fact, we regard spacetime $X^{1,3}$ (Def. 2.1) — and therefore also the surfaces Σ^2 (9) — as equipped with spin structure (cf. [197]), but for notational convenience we shall make the choice of spin structure explicit only where it matters, namely below in §3.4 (see Prop. 3.41).

Definition 2.4 (Gauge group). For the following Thm. 2.5 we consider G a Lie group, with Lie algebra \mathfrak{g} and with a choice of Ad-invariant lattice $\Lambda \subset \mathfrak{g}$ (not necessarily full, possibly zero) — but shortly we specify this to $G \equiv \mathbb{R}$ and $\Lambda \equiv \mathbb{Z} \hookrightarrow \mathbb{R}$ (14).

The following theorem 2.5, from [248], is based on well-known ingredients but may have escaped earlier attention in its deliberate disregard of the gauge potentials in favor of focus on the electric/magnetic flux densities — which is what brings out how the topological flux quantum observables are all controlled by maps from Σ^2 to the classifying space $B(G \ltimes (\mathfrak{g}/\Lambda))$, cf. Rem. 2.8 below.

Theorem 2.5 (Yang-Mills flux quantum observables [248, Thm 1]). *The non-perturbative quantum observables on the G -Yang-Mills flux-density¹¹ through a closed surface Σ_g^2 form the group convolution C^* -algebra $\mathbb{C}[-]$ of the Fréchet-Lie group of smooth functions $C^\infty(-, -)$ from Σ^2 to the semidirect product of G with the additive group \mathfrak{g}/Λ :*

$$\begin{aligned}
 \text{Algebra of} & \quad \text{group} \\
 \text{quantum observables} & \quad \text{convolution} \\
 \text{on YM-flux through } \Sigma^2 & \quad \text{C}^*\text{-algebra} \\
 \text{FlxObs}_{\Sigma^2} & \simeq \mathbb{C} \left[C^\infty(\Sigma^2, G \ltimes_{\text{Ad}} (\mathfrak{g}/\Lambda)) \right] \\
 & \simeq \mathbb{C} \left[\underbrace{C^\infty(\Sigma^2, G)}_{\text{electric}} \ltimes_{\text{Ad}} \underbrace{C^\infty(\Sigma^2, \frac{\mathfrak{g}}{\Lambda})}_{\text{magnetic}} \right].
 \end{aligned} \tag{11}$$

Here, the left-hand side is defined to be the non-perturbative quantization — Rieffel's C^* -algebraic strict deformation quantization — of the Poisson brackets of electric and magnetic Yang-Mills fluxes. The right-hand side follows by observing that these observables are given by \mathfrak{g} -valued smearing functions over Σ and then by computing — with careful attention to the Gauss law constraint — that the Poisson brackets give the Lie algebra of the Fréchet Lie group as shown. The details of this computation are in [248, §A.1]. With this, the conclusion (11) follows by the well-known fact, cf. [248, p. 3], that the non-perturbative quantization of Lie-Poisson phase spaces are the corresponding group convolution algebras.

Accordingly, we have in this situation that (see §A.3 for our notation concerning mapping spaces):

Proposition 2.6 (Topological YM flux quantum observables [248, §3]). *The algebra of topological G -flux quantum observables — hence of the group convolution C^* -algebra on the discrete group of connected components*

But irrespective of practicality, the theoretical possibility of $g > 0$ allows to compare our topological quantum flux observables to those of abelian Chern-Simons theory in the case $\Sigma_{g>0,0,0}^2$, and their agreement in this theoretical case supports the validity of our observables also in the more practical cases of $g = 0$, $n, b \neq 0$.

¹¹For the case of abelian G of interest here, these are indeed gauge-invariant observables on the *reduced* phase space.

$\pi_0(-)$ of the flux densities — through a closed surface Σ^2 is equivalently the group (convolution) algebra (210) of the fundamental group $\pi_1(-)$ (185) of the space of maps (cf. [3, §1]) into the classifying space $B(-)$ (191):

$$\begin{aligned} \text{Algebra of topological flux observables} \quad \text{TopFlxObs}_{\Sigma^2} &:= \mathbb{C}[\pi_0 C^\infty(\Sigma^2, G \ltimes_{\text{Ad}} \frac{\mathfrak{g}}{\Lambda})] \\ &\simeq \mathbb{C}[\pi_0 \text{Map}(\Sigma^2, G \ltimes_{\text{Ad}} \frac{\mathfrak{g}}{\Lambda})] \simeq \mathbb{C}[\pi_1 \text{Map}_0(\Sigma^2, B(G \ltimes \frac{\mathfrak{g}}{\Lambda}))] \\ &\simeq \mathbb{C}[\text{Map}_0^*((\Sigma^2 \times \mathbb{R})_{\cup\{\infty\}}, B(G \ltimes \frac{\mathfrak{g}}{\Lambda}))]. \end{aligned} \quad (12)$$

Remark 2.7 (Asymptotic boundary localization of topological flux observables).

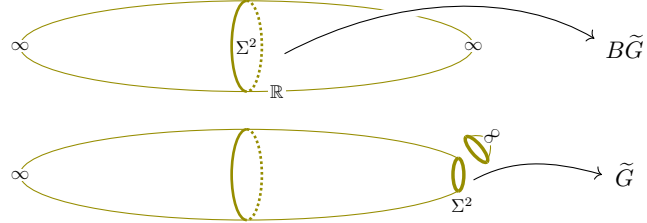
- (i) In the second line of (12), we are showing several isomorphic incarnations of this group algebra of observables (these isomorphisms are explained in [248, §A.2], using basic facts also recalled in §A.3), which each have their use in the following.
- (ii) In particular, the isomorphism between the first and the third one, which for a general group \tilde{G} expresses the statement (recalling that Σ^2 here is assumed closed, hence compact)

$$\pi_0 \text{Map}^*((\Sigma^2 \times \mathbb{R})_{\cup\{\infty\}}, B\tilde{G}) \simeq \pi_0 \text{Map}^*(S^1 \wedge (\Sigma^2)_{\cup\{\infty\}}, B\tilde{G}) \simeq \pi_0 \text{Map}(\Sigma^2, \tilde{G}) \quad (13)$$

that charge classes of \tilde{G} -gauge fields on 3D space $\Sigma^2 \times \mathbb{R}$, which trivialize at infinity, are equivalently such classes on the *suspension* of Σ_2 , which in turn are classified by \tilde{G} -valued functions on \tilde{G} .

- (iii) This is the homotopy-theoretic incarnation of the *clutching construction* (cf. [139, §7]), according to which the principal \tilde{G} -bundle on a suspension is trivializable on any “hemisphere” (being a cone over Σ^2) and classified by a single \tilde{G} -valued transition function on an “equator”, being a copy of Σ^2 . Since the actual physical space is $\Sigma^2 \times \mathbb{R}$, with its one-point compactification to the suspension of Σ^2 only to model the vanishing-at-infinity of solitonic charge, in physics this copy of Σ^2 is naturally identified with the asymptotic boundary of 3D space.

Figure C. Classifying maps for solitonic \tilde{G} -gauge charge on 3D space $\Sigma^2 \times \mathbb{R}$ are equivalently (13) maps to \tilde{G} from a copy of Σ^2 that is naturally thought of as being the asymptotic boundary of space at ∞ .



Example 2.8 (The prediction of ordinary electromagnetism...). For ordinary electromagnetic flux, subject to the usual Dirac charge quantization law (where the magnetic but not electric flux is quantized in integral cohomology, cf. [248, (14)]) the relevant choice in Def. 2.4 is:

$$\begin{aligned} &\begin{array}{cc} \text{no electric flux quantization} & \text{usual magnetic flux quantization} \\ G := \mathbb{R}, & \Lambda := \mathbb{Z} \hookrightarrow \mathbb{R} \end{array} \\ &\text{classifying space} \quad \mathcal{A} := B(\mathbb{R} \ltimes \frac{\mathbb{R}}{\mathbb{Z}}) \simeq_f BU(1). \end{aligned} \quad (14)$$

In this case, the algebra (12) of observables on topological flux through a closed surface Σ_g (9) is

$$\begin{aligned} \text{Algebra of topological flux observables for ordinary electromagnetism} \quad \text{TopFluxObs}_{\Sigma_g}^{BU(1)} &\simeq \mathbb{C}[\pi_0 \text{Map}(\Sigma^2, U(1))] \\ &\simeq \mathbb{C}[\pi_0 \text{Map}(\Sigma^2, B\mathbb{Z})] \\ &\simeq \mathbb{C}[H^1(\Sigma_g^2; \mathbb{Z})] && \text{e.g. [86, p 263]} \\ &\simeq \mathbb{C}[\mathbb{Z}^{2g}] && \text{e.g. [109, Thm 6.13],} \end{aligned} \quad (15)$$

and so a corresponding space of quantum states $\mathcal{H}_{\Sigma_g^2}$ hence carries an action of linear operators $\widehat{W}_{\vec{a}, \vec{b}}$, for $\vec{a}, \vec{b} \in \mathbb{Z}^g$, subject to

$$\widehat{W}_{\vec{a}, \vec{b}} \circ \widehat{W}_{\vec{a}', \vec{b}'} = \widehat{W}_{\vec{a} + \vec{a}', \vec{b} + \vec{b}'} = \widehat{W}_{\vec{a}', \vec{b}'} \circ \widehat{W}_{\vec{a}, \vec{b}}. \quad (16)$$

Remark 2.9 (...and its failure to describe FQH systems).

- (i) While this algebra of observables (16) is the prediction of the ordinary traditional theory of electromagnetism, it is *not quite* the algebra of observables of magnetic flux actually seen in fractional quantum Hall systems!

Moreover, if the gauge field is to be regarded as “generally covariant” in the sense of physics, — namely covariant with respect to diffeomorphisms, such as for gravitational and topological systems —, so that a pair of topological flux configurations are to be regarded as gauge equivalent if one is obtained from the other by precomposition with a diffeomorphism, then (cf. [69, Def. 1.1]) the true moduli space is the *homotopy quotient* (190) of the flux moduli space (20) by the action of the diffeomorphism group $\text{Diff}(\Sigma^2)$ (see Def. 2.20). Therefore we set:

Definition 2.11 (Generally covariant topological quantum states of exotic flux). The possible spaces of quantum states of generally covariant topological flux quantized in \mathcal{A} -cohomology are the irreducible unitary representations of the covariantized flux monodromy group:

$$\mathcal{H}_{\Sigma^2}^{\mathcal{A}} \in \text{URep} \left(\underbrace{\pi_1}_{\substack{\text{reps} \\ \text{of} \\ \text{fundamental} \\ \text{group of}}} \left(\underbrace{\text{Map}_0^*(\Sigma_{\{\infty\}}^2, \mathcal{A})}_{\substack{\text{local systems of} \\ \text{state spaces on}}} \underbrace{\parallel \text{Diff}^{+, \partial}(\Sigma^2)}_{\substack{\text{moduli space of topological solitonic flux} \\ \text{plain moduli space} \\ \text{of topological solitonic flux} \\ \text{quantized in } \mathcal{A}\text{-cohomology}}} \right) \right). \quad (21)$$

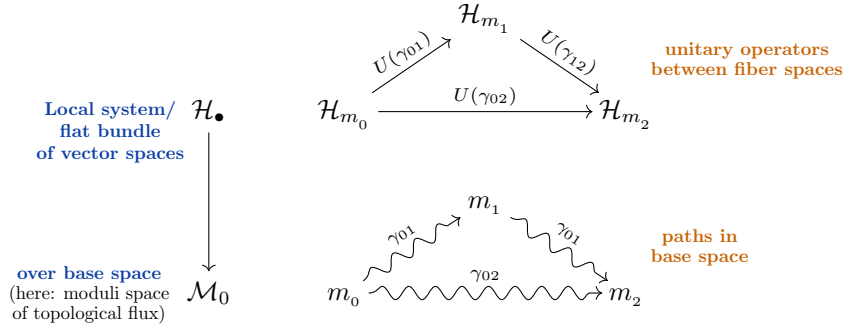
In order to get a handle on these groups (21) of generally covariantized flux monodromy, the first general result we prove below (Prop. 2.24, not surprising, but important) is that they are equivalently the semi-direct product of the plain flux monodromy with the surface’s mapping class group:

$$\pi_1 \left(\underbrace{\text{Map}_0^*(\Sigma_{\{\infty\}}^2, \mathcal{A}) \parallel \text{Diff}^{+, \partial}(\Sigma^2)}_{\substack{\text{covariantized flux moduli space (21)}}} \right) \simeq \underbrace{\pi_1(\text{Map}_0^*(\Sigma_{\{\infty\}}^2, \mathcal{A}))}_{\substack{\text{flux monodromy}}} \rtimes \underbrace{\pi_0(\text{Diff}^{+, \partial}(\Sigma^2))}_{\substack{\text{mapping class group (29)}}}. \quad (22)$$

Some remarks on the import of this construction (21):

Remark 2.12 (Local systems of vector spaces as quantum state spaces).

- (i) Representations of fundamental groups $\pi_1(\mathcal{M}_0)$ as in (21), equivalently (since \mathcal{M}_0 is a connected component) of the *fundamental groupoid* $\Pi_1(\mathcal{M}_0)$ (157) are also known as *local systems* (cf. [60, §I.1][296, p. 257][64, §2.5][276, §2.6]) or *flat bundles* (cf. [286, §9.2.1]) of vector spaces over \mathcal{M}_0 (cf. [205, Lit. 2.22]).



- (ii) There is deep relevance [245] in identifying these as quantum state spaces subject to symmetries and classical control in general (cf. [244][44] and the following §2.3) and specifically so concerning the nature of anyonic topological quantum gates (cf. [205, §3] and the next Rem. 2.13).
- (iii) In particular, (higher, cf. [245]) local systems are considered as (relative) spaces of quantum states in generic “pull-push”-constructions of (extended) topological quantum field theories ([92][204][260][93, §A.2]). In this respect the point of (21) is the appropriate identification of the local system’s domain moduli space for the case of topological flux quanta (and the remaining “pull-push”-propagation along cobordisms, receiving so much attention in the topological field theory literature, plays little to no role in laboratory experiment, where the given slab of material commonly just sits there without spontaneously changing its topology!).
- (iv) In our situation (21), the base space is the covariantized moduli space of topological fluxes quantized in some \mathcal{A} -cohomology, and paths $m \rightsquigarrow m'$ are *combinations* of
- (a) gauge transformations of the (higher) gauge field
(from the homotopy quotient’s numerator in (21))
 - (b) diffeomorphisms, hence gauge transformations of the “gravitational field”,
(from the homotopy quotient’s denominator in (21)).

The Hilbert space(s) of states $\mathcal{H}_{\Sigma^2}^A$ forming a local system/flat bundle means that both of these kinds of gauge transformations are implemented as unitary quantum operators/observables in a coherent way.

- (iv) Some or all of these apparent gauge symmetries may in fact be “asymptotic” and as such become physical observables (this is the content of the next Prop. 2.26) whence the construction (21) encodes flux quantum systems both with their quantum symmetries and their quantum observables.

Now note that these paths here are paths in moduli space, hence not of single flux quanta but of all flux quanta that are present at once. The same holds for punctures/defects:

Remark 2.13 (Defect anyons and braid representations).

- (i) When the surface Σ^2 has (enough) punctures, understood as *defects* in the slab of quantum material (cf. [194]), then its mapping class group appearing in (22) contains a *braid group* (details in §2.2) whose elements are to be thought of as braided *worldlines* of these defects, illustrated on the right.
- (ii) Linear representation of this braid group (*braid representations*, cf. [160][1]), as given by the corresponding flux quantum states according to (21), encode a possibly non-abelian generalization of the braiding phases of solitonic anyons (2), exhibiting the defects as *defect anyons* ([166, p. 4][243]).
- (iii) The appearance of such, possibly non-abelian, defect anyons in FQH systems, in explicit contrast to solitonic anyons, has received little attention before, but it is these defects, not the solitons, whose positions are plausibly amenable to external (adiabatic) tuning, through which the braiding of their worldlines — and with that the enaction of the desired topological quantum gates (cf. [160][134][42][161]) — could plausibly be operated.

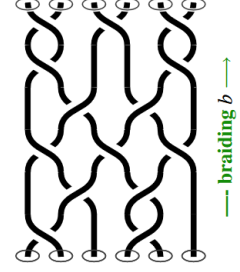
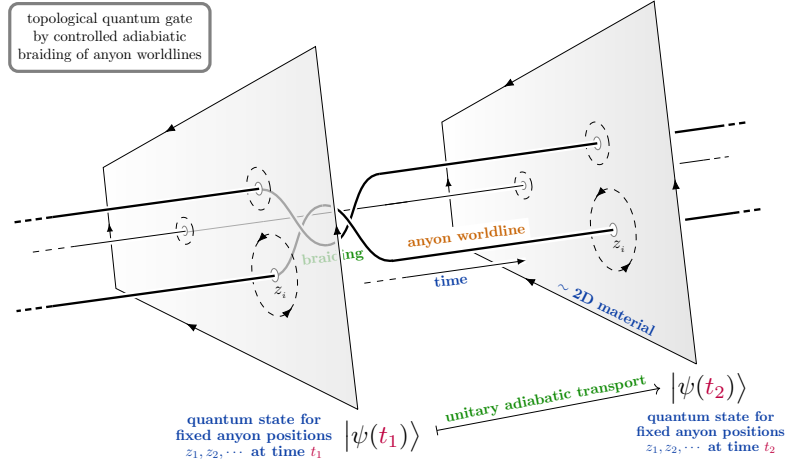


Figure A – Topological braid gates. Covariant flux quantum states on a sufficiently punctured surface, by their diffeomorphism equivariance (21), carry unitary representations of the *braid group* (23) of joint motions of the defects around each other, exhibiting the punctures as *defect anyons*.

If these braiding processes can be subjected to classical external control, then their adiabatic execution may be expected to result in the flux quantum state to transform according to the corresponding unitary representation operator, thus constituting a programmable *quantum gate*. By the topological nature of the braid group, this gate would be insensitive to isotopy between the anyon worldlines, hence would be topologically protected against noise in the classical control parameters.



Remark 2.14 (Focus on irreducible representations: Superselection sectors of anyons).

- (i) From the perspective of Def. 2.11, spaces of pure quantum states (of topological flux) are defined as representations of (modules over) the algebra of observables. From this perspective, it is the (unitary) *irreducible* representations that matter in characterizing the actual quantum system, in that reducible representations behave like parallel copies of system, which could just as well be discussed separately: “superselection sectors” (cf. [101, Def. 2.1][11, p. 273]).
- (ii) While this may (and should) seem obvious, it is in some contrast to common practice: For punctured surfaces Σ^2 the mapping group appearing (22) contains *braiding* operations (cf. Prop. 2.21) and it is common to consider Yang-Baxter representations for these (“R-matrices”, cf. [160][311]), which generally are reducible (cf. [181, p. 15]).

2.2 General Covariance of Flux

We discuss here the effect of the covariantization (21) on the plain moduli spaces of solitonic topological flux. After recalling basics of mapping class groups and braid groups, the main result here is Prop. 2.24 below, which was already announced as (22).

Braid groups and mapping class groups.

Definition 2.15 (Configuration spaces and Braid groups, cf. [89][205, Lit. 2.18, 2.20]).

(i) For Σ a smooth manifold, possibly with boundary, and $n \in \mathbb{N}$, the *configuration space of n points in Σ* is the topological space

$$\text{Conf}_n(\Sigma) := \left\{ (s_1, \dots, s_n) \in \Sigma^{\times n} \mid \forall_{i \neq j} s_i \neq s_j \right\} / \text{Sym}_n$$

(topologized as the quotient space of a subspace of a product space).

(ii) The fundamental group of this space (assuming now, without substantial restriction, that Σ is connected) is the *braid group on n strands in Σ* (cf. [81, §9]), which as such comes equipped with a forgetful map to the symmetric group:

$$\text{Br}_n(\Sigma) := \pi_1 \text{Conf}_n(\Sigma) \longrightarrow \text{Sym}_n. \quad (23)$$

(iii) The kernel of (23) is the *pure braid group* PBr:

$$1 \rightarrow \text{PBr}_n(\Sigma) \hookrightarrow \text{Br}_n(\Sigma) \longrightarrow \text{Sym}_n \rightarrow 1 \quad (24)$$

Example 2.16 (Artin presentation of braid groups, cf. [89, §7][205, Lit. 2.20]). For $n \geq 2$, the surface braid group (23) of the disk (the default case of braid groups) has the following finite presentation:

$$\text{Br}_n := \text{Br}_m(\Sigma_{0,1,n}^2) \simeq F\langle b_1, \dots, b_{n-1} \rangle / \left(\forall_{i+1 < j} (b_i b_j = b_j b_i), \forall_{1 \leq i < n-1} (b_i b_{i+1} b_i = b_{i+1} b_i b_{i+1}) \right), \quad (25)$$

Yang-Baxter relation

in terms of which its canonical homomorphism to the symmetric group is the quotient map by one further set of relations:

$$\text{Br}_n \longrightarrow \text{Sym}_n := \text{Br}_n / \left(\forall_i (b_i b_i = e) \right). \quad (26)$$

The general surface braid group $\text{Br}_n(\Sigma^2)$ may be presented by adjoining to these *Artin generators* b_i further generators (corresponding to moving single strands along cycles in the surface) and further relations. In each case, there is a projection to the symmetric group by retaining the Artin generators:

$$\text{Br}_n(\Sigma^2) \longrightarrow \text{Sym}_n$$

Example 2.17 (Presentation of spherical braid group [80, p 245,55], cf. [277]). The surface braid group (23) of the sphere (often: “spherical braid group”) is presented as a quotient of the Artin presentation (25) by one further relation:

$$\text{Br}_n(S^2) \simeq \text{Br}_n / ((b_1 \cdots b_{n-1})(b_{n-1} \cdots b_1)). \quad (27)$$

Definition 2.18 (Diffeomorphism Group and Mapping Class Group). For Σ an oriented manifold, possibly with boundary, we write

$$\begin{array}{ccc} \text{Homeo}^{+, \partial}(\Sigma) & \hookrightarrow & \text{Homeo}(\Sigma) \hookrightarrow \text{Map}(\Sigma, \Sigma) \\ \uparrow \iota & & \uparrow \iota \\ \text{Diff}^{+, \partial}(\Sigma) & \hookrightarrow & \text{Diff}(\Sigma) \end{array} \quad (28)$$

for its topological groups of homeomorphisms and diffeomorphisms, respectively for the further subgroups of maps preserving the orientation (+) and restricting to the identity on the boundary (∂).

For $\Sigma \equiv \Sigma^2$ an orientable surface and choosing any one of its orientations, the group of connected components of the latter diffeo group is known as the *mapping class group* [144, §1][203, §3][81, p. 45]:

$$\text{MCG}(\Sigma^2) := \pi_0(\text{Diff}^{+, \partial}(\Sigma^2)). \quad (29)$$

(Ultimately, we are interested in the *spin* mapping class subgroup of diffeomorphisms also preserving a given spin structure on Σ^2 , but we shall make this explicit only where it matters, namely in §3.4, see Prop. 3.41 there.)

Example 2.19 (Mapping class groups of closed oriented surfaces, cf. [203, §6][81, §6]). The mapping class group of the torus is

$$\text{MCG}(\Sigma_1^2) \simeq \text{Sp}_2(\mathbb{Z}) \simeq \text{SL}_2(\mathbb{Z}), \quad (30)$$

which is generated by the two elements [265, Thm VII.2 p 78][50, Thm 1.1]

$$S := \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, \quad T := \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \quad (31)$$

and presented subject to the following relations [171, p. 126][25, §2.1]:

$$\mathrm{SL}_2(\mathbb{Z}) \simeq \langle S, T \mid S^4 = (TS)^3 = e, S^2(TS) = (TS)S^2 \rangle. \quad (32)$$

More generally, the mapping class group of Σ_g^2 (9), for $g \in \mathbb{N}$, sits in a short exact sequence (cf. [81, §6])

$$1 \longrightarrow I_g \xhookrightarrow{\quad} \mathrm{MCG}(\Sigma_g^2) \twoheadrightarrow \mathrm{Sp}_2(\mathbb{Z}) \longrightarrow 1, \quad (33)$$

Torelli group mapping class group symplectic group

where the (pre-)composition action of $\mathrm{MCG}(\Sigma_1^2)$ on

$$H_1(\Sigma_g^2; \mathbb{Z}) \simeq H^1(\Sigma_g^2; \mathbb{Z}) \simeq \mathbb{Z}^g \times \mathbb{Z}^g$$

is through the defining action of the integer symplectic group $\mathrm{Sp}_{2g}(\mathbb{Z})$.

Through this action the modular groups act also on the set of spin structures $H_1(\Sigma_g^2; \mathbb{Z}_2)$ (cf. [197, p 199]). Concretely, on the torus there are thus 4 distinct spin structures, say

$$\{\mathrm{pp}, \mathrm{aa}, \mathrm{ap}, \mathrm{pa}\} \simeq \mathbb{Z}_2^2 \simeq H_1(\Sigma_1^2; \mathbb{Z}_2) \quad (34)$$

(with “periodic” or “antiperiodic” boundary conditions for spinors along the two basis 1-cycles, cf. [6, §2]) and $\mathrm{MCG}(\Sigma_1^2) \simeq \mathrm{SL}_2(\mathbb{Z})$ (30) preserves pp and transitively permutes among the other three. On the other hand, the stabilizer subgroup of the aa structure, hence the *spin mapping class group* of diffeomorphisms preserving aa, is generated by S and the *square* of T (cf. [30, p 3]) subject to the following relations:

$$\begin{array}{ccc} \mathrm{MCG}(\Sigma_1^2)^{\mathrm{aa}} & \hookrightarrow & \mathrm{MCG}(\Sigma_1^2) \\ \wr & & \wr \\ \langle S, T^2 \mid S^4 = [S^2, T^2] = e \rangle & \hookrightarrow & \mathrm{SL}_2(\mathbb{Z}). \end{array} \quad (35)$$

Definition 2.20 (Moduli spaces of solitonic topological fluxes). The underlying homeomorphisms of the diffeomorphisms (28) of surfaces $\Sigma_{g,b,n}^2$ (9) extend functorially to the one-point compactification (by Prop. A.8) to make a topological group homomorphism

$$\mathrm{Diff}^{(+,\partial)}(\Sigma_{g,b,n}^2) \xrightarrow{\iota} \mathrm{Homeo}^{(+,\partial)}(\Sigma_{g,b,n}^2) \xrightarrow{(-) \cup \{\infty\}} \mathrm{Aut}_{\mathrm{Top}^*}((\Sigma_{g,b,n}^2) \cup \{\infty\}).$$

Via the latter’s action (by pre-composition) on pointed mapping spaces (20) we obtain the homotopy quotient (190) of the pointed mapping space¹²

$$\mathrm{Map}_0^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, \mathcal{A}) // \mathrm{Diff}^{(+,\partial)}(\Sigma_{g,b,n}^2) \in \mathrm{Top}^*, \quad (36)$$

identified in (21) as the *covariantized moduli space* of \mathcal{A} -quantized solitonic topological fluxes on $\Sigma_{g,b,n}^2$.

The following is classical but somewhat scattered in the literature:

Proposition 2.21 (Homotopy type of Diffeomorphism groups).

(i) For compact oriented surfaces $\Sigma_{g,b}^2$ (9), the homotopy type of their diffeomorphism group (28) is:

$$\begin{array}{lll} \mathrm{Diff}^+(\Sigma_{0,0,0}^2) \simeq_{\mathrm{f}} \mathrm{SO}(3) & \Rightarrow & \mathrm{MCG}(\Sigma_{0,0,0}^2) \simeq 1 \quad \text{and} \quad \pi_1 \mathrm{Diff}^+(\Sigma_{0,0,0}^2) \simeq \mathbb{Z}_2 \\ \mathrm{Diff}^+(\Sigma_{1,0,0}^2) \simeq_{\mathrm{f}} \mathrm{SL}_2(\mathbb{Z}) \times T^2 & \Rightarrow & \mathrm{MCG}(\Sigma_{1,0,0}^2) \simeq \mathrm{SL}_2(\mathbb{Z}) \quad \text{and} \quad \pi_1 \mathrm{Diff}^+(\Sigma_{1,0,0}^2) \simeq \mathbb{Z} \times \mathbb{Z} \\ \mathrm{Diff}^+(\Sigma_{g \geq 2,0,0}^2) \simeq_{\mathrm{f}} * & \Rightarrow & \mathrm{MCG}(\Sigma_{g \geq 2,0,0}^2) \simeq 1 \quad \text{and} \quad \pi_1 \mathrm{Diff}^+(\Sigma_{g \geq 2,0,0}^2) \simeq 1 \\ \\ \mathrm{Diff}^{+,\partial}(\Sigma_{g,b \geq 1,0}^2) \simeq_{\mathrm{f}} * & \Rightarrow & \mathrm{MCG}(\Sigma_{g,b \geq 1,0}^2) \simeq 1 \quad \text{and} \quad \pi_1 \mathrm{Diff}^{+,\partial}(\Sigma_{g,b \geq 1,0}^2) \simeq 1. \end{array} \quad (37)$$

(ii) For punctured oriented surfaces $\Sigma_{g,b \geq 1}^2$, the map from their mapping class group to that of $\Sigma_{g,b}^2$ (by uniquely extending the diffeomorphisms to the punctures) sits in a long exact sequence (“generalized Birman sequence”) with the surface’s braid group (23), of this form:

$$\pi_1 \mathrm{Diff}^{+,\partial}(\Sigma_{g,b}^2) \longrightarrow \mathrm{Br}_n(\Sigma_{g,b}^2) \longrightarrow \mathrm{MCG}(\Sigma_{g,b,n}^2) \longrightarrow \mathrm{MCG}(\Sigma_{g,b}^2). \quad (38)$$

¹² The connected components of the full mapping space $\pi_0(\mathcal{F}) \equiv \pi_0(\mathrm{Map}^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, S^2)) \simeq \mathbb{Z}$ are given by the Hopf degree (Def. 3.6). Since diffeomorphisms preserve Hopf degree, their precomposition preserves the connected components of the mapping space.

(a) Hence when $\pi_1 \text{Diff}^{+, \partial}(\Sigma_{g,b}^2) = 1$ the mapping class group sits in a short exact sequence of the form

$$1 \rightarrow \text{Br}_{n \geq 1}(\Sigma_{g,b}^2) \longrightarrow \text{MCG}(\Sigma_{g,b,n \geq 1}^2) \longrightarrow \text{MCG}(\Sigma_{g,b}^2) \rightarrow 1, \quad (39)$$

and exhausts the homotopy type of the diffeomorphism group:

$$\text{Diff}^{+, \partial}(\Sigma_{g,b,n \geq 1}^2) \simeq_J \text{MCG}(\Sigma_{g,b,n \geq 1}^2) \Rightarrow \pi_1 \text{Diff}^{+, \partial}(\Sigma_{g,b,n \geq 1}^2) \simeq 1. \quad (40)$$

(b) For $g = 0, b = 0$ — where the assumption in (iia) fails by (37) — the (“spherical”) braid group still surjects onto the mapping class group, but with non-trivial kernel $\pi_1 \text{Diff}^+(\Sigma_0^2) \simeq \mathbb{Z}_2$ (generated by the “full rotation” braid “rot”)

$$1 \rightarrow \mathbb{Z}_2 \xrightarrow{\text{rot}} \text{Br}_{n \geq 1}(\Sigma_0^2) \twoheadrightarrow \text{MCG}(\Sigma_{0,0,n}^2) \rightarrow 1. \quad (41)$$

(c) Concretely, for $g = b = 0$ we have for the first few n :

$$\begin{aligned} \text{MGC}(\Sigma_{0,0,1}^2) &\simeq 1 \simeq \text{Br}_1(S^2) \\ \text{MGC}(\Sigma_{0,0,2}^2) &\simeq \mathbb{Z}_2 \simeq \text{Br}_2(S^2) \\ \text{MCG}(\Sigma_{0,0,3}^2) &\simeq \text{Sym}_3 \not\simeq \text{Br}_3(S^2) \\ \text{MCG}(\Sigma_{0,0,4}^2) &\simeq \text{PSL}_2(\mathbb{Z}) \ltimes (\mathbb{Z}_2 \times \mathbb{Z}_2). \end{aligned} \quad (42)$$

Proof. In (37) the first statement is due to [270], the first three were proven by [72][73][118], and the fourth is [74, Thm. 1D p 170]. The statement (40) follows with [302][303, Thm. 1.1].¹³ The generalized Birman sequence (38) is named in honor of [24], cf. [190, Thm. 3.13]. In its implication of the short exact sequence (39) this is reviewed in [81, Thm 9.1]. The spherical braid group extension (41) is discussed in [81, (9.1)] and the identifications (42) of its quotients are proven, for instance, in [81, Prop. 2.3][29]. \square

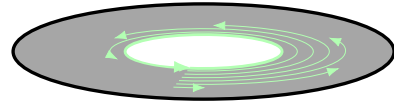
Example 2.22 (Mapping class groups of n -punctured disk). Since the mapping class group of the disk $\Sigma_{0,1,0}^2$ is trivial by (37), the exact sequence (39) shows that the mapping class group of its punctured versions is the plain braid group (25):

$$\text{MCG}(\Sigma_{0,1,n}^2) \simeq \text{Br}_n. \quad (43)$$

Example 2.23 (Mapping class group of the closed annulus). The mapping class group (29) of the closed annulus (10) is the integers (cf. [81, Prop. 2.4]), generated from the *boundary Dehn twist* indicated in Fig. D.

$$\text{MCG}(\Sigma_{0,2}^2) \simeq \mathbb{Z}. \quad (44)$$

Figure D – The mapping class group of the closed annulus is freely generated from a single element, called the *boundary Dehn twist*, which is, up to deformation, the diffeomorphism that at each radius is a circular rotation, radially interpolating between the zero-rotation on one boundary and the unit rotation on the other.



Covariant flux monodromy. With all this in hand, we come to the main statement to be proven in this section, announced as (22).

Proposition 2.24 (Extension of mapping class group by flux monodromy). For every $\Sigma_{g,b,n}^2$ (9) we have a split short exact sequence of groups

$$1 \rightarrow \pi_1 \left(\underbrace{\text{Map}_0^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, \mathcal{A})}_{\text{moduli space}} \right) \longrightarrow \pi_1 \left(\underbrace{\text{Map}_0^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, \mathcal{A}) // \text{Diff}^{+, \partial}(\Sigma_{g,b,n}^2)}_{\text{covariantized moduli space (36)}} \right) \xrightarrow{\quad} \underbrace{\text{MCG}(\Sigma_{g,b,n}^2)}_{\text{mapping class group (29)}} \rightarrow 1,$$

exhibiting an action of the mapping class group on the fundamental group of the moduli space, so that we have the corresponding semidirect product:

$$\pi_1 \left(\underbrace{\text{Map}_0^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, \mathcal{A}) // \text{Diff}^{+, \partial}(\Sigma_{g,b,n}^2)}_{\text{covariantized moduli space (36)}} \right) \simeq \underbrace{\text{MCG}(\Sigma_{g,b,n}^2)}_{\text{mapping class group (29)}} \ltimes \underbrace{\pi_1 \left(\text{Map}_0^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, \mathcal{A}) \right)}_{\text{moduli space}}. \quad (45)$$

¹³The surfaces in [302][303] are assumed without boundary, but equipped with marked closed subcomplexes to be fixed by the diffeomorphisms. Under this definition, a puncture surrounded by a marked circle behaves just as a boundary for the purpose of computing the homotopy type of the diffeomorphism group.

Proof. For notational convenience, we abbreviate

$$\begin{aligned}\mathcal{F} &:= \text{Map}_0^*((\Sigma_{g,b,n}^2)_{\cup\{\infty\}}, S^2) \\ \mathcal{D} &:= \text{Diff}^{+, \partial}(\Sigma_{g,b,n}^2),\end{aligned}$$

whence the claim to be proven is split exactness of

$$1 \rightarrow \pi_1(\mathcal{F}) \longrightarrow \pi_1(\mathcal{F} \parallel \mathcal{D}) \xrightarrow{\quad} \pi_0(\mathcal{D}) \rightarrow 1. \quad (46)$$

To this end, the Borel homotopy fiber sequence (193)

$$\mathcal{F} \longrightarrow \mathcal{F} \parallel \mathcal{D} \xrightarrow{\quad} * \parallel \mathcal{D}$$

(split by picking the zero-map) induces a long exact sequence of homotopy groups (189) of this form:

$$\begin{array}{c} \xrightarrow{\quad} \pi_1(\mathcal{D}) \xrightarrow{\quad} \\ \xrightarrow{\quad} \pi_1(\mathcal{F}) \longrightarrow \pi_1(\mathcal{F} \parallel \mathcal{D}) \longrightarrow \pi_0(\mathcal{D}) \xrightarrow{\quad} \\ \xrightarrow{\quad} \pi_0(\mathcal{F}) \xrightarrow{\sim} \pi_0(\mathcal{F} \parallel \mathcal{D}). \end{array} \quad (47)$$

Here the last map shown is an isomorphism by (194) (cf. footnote 12), whence the exact sequence truncates to

$$\pi_1(\mathcal{D}) \longrightarrow \pi_1(\mathcal{F}) \longrightarrow \pi_1(\mathcal{F} \parallel \mathcal{D}) \xrightarrow{\quad} \pi_0(\mathcal{D}) \longrightarrow 1.$$

If, at this point, we invoke Prop. 2.21 then the claim (46) follows for most surfaces, namely those for which $\pi_1(\mathcal{D}) \simeq 1$. But in fact, the claim follows generally by observing that the first connecting map in (47) factors through the trivial group:

$$\begin{array}{ccc} \pi_1(\mathcal{D}) \equiv \pi_1(\text{Diff}^+(\Sigma_{g,b,n}^2)) & \xrightarrow{\quad} & \pi_1(\text{Map}_0^*((\Sigma_{g,b,n}^2)_{\cup\{\infty\}}, \mathcal{A})) \equiv \pi_1(\mathcal{F} \parallel \mathcal{F}). \\ & \searrow \quad \nearrow & \\ & 1 & \end{array}$$

Namely, by (193), the map is given by taking a given loop of diffeomorphisms to the loop of maps obtained by composing these diffeos the constant map $\Sigma_{g,b,n}^2 \rightarrow S^2$ – but that gives the constant loop representing the neutral element of π_1 . \square

This Proposition 2.24 is our main tool for analyzing the covariantized topological quantum states on \mathcal{A} -quantized flux according to (21). In the next section, we specify \mathcal{A} to S^2 and work out the consequences.

2.3 Observables & Measurement

With the general nature of topological flux quantum state spaces understood (Def. 2.11) as local systems of Hilbert spaces on the covariantized flux moduli spaces (Rem. 2.12), we here develop some general aspects of quantum physics in these terms, to bring out, with precision:

1. what is *observable*,
2. what is *measurable*

about topological flux quanta, in view of their general covariance — where we mean to identify (cf. [244, Literature 1.12]) not only the operators that serve as operational quantum observables, disentangled from linear operators that just express gauge transformations, but also the available (non-deterministic and non-unitary) quantum measurement processes (“quantum measurement gates”) on topological flux quanta in general and hence (via §3) on FQH anyons in particular.

We find that the answer to both questions is fixed once a further datum is chosen, namely a normal subgroup inclusion $\mathcal{G}_{\text{sym}} \xhookrightarrow{\iota} \mathcal{G}_{\text{trn}}$ (48) of the (gauge and diffeomorphism) symmetries (21) into a larger group of transformations, including physical evolution.

\mathcal{G}_{trn}	group of all transformations	$1 \rightarrow \mathcal{G}_{\text{sym}} \xhookrightarrow{\iota} \mathcal{G}_{\text{trn}} \twoheadrightarrow \mathcal{G}_{\text{evl}} \rightarrow 1$	(48)
\mathcal{G}_{sym}	subgroup of (gauge) symmetries		
\mathcal{G}_{evl}	quotient group of evolutions		

This subsection uses basic but substantial category theory to define notions and prove their properties. We provide some background pointers in §A.2, but the reader not to be bothered by category theory may want to regard the following Prop. 2.26 and Prop. 2.31 as black boxes and move on to §3.

Quantum Observables vs Symmetries

On TQFT in Solid state physics. In the common axiomatization of 3D topological quantum field theories (TQFTs) as functors on a category of surfaces Σ^2 with cobordisms between them, to every surface is associated its group of invertible morphisms in that category, and the spaces of states over surfaces are to form systems of linear representations of these groups. This data is the “modular functor” underlying the 3D TQFT. The remaining data of the TQFT is its evaluation on non-invertible 3D cobordisms, which determines the evolution of this modular data under “topology change”.

In the abstract study of TQFTs, it is traditionally these topology-changing cobordisms that receive most of the attention, but in practical solid state physics just this topology change is generally irrelevant: A slab of material of a given shape will just sit in the laboratory and not spontaneously change its shape over time. Hence to the extent that TQFTs do describe topological quantum materials, their practically relevant physics must be mostly controlled by the underlying modular functors.

Still, there may in general be a form of (time) evolution encoded in the modular functor: If the surface is punctured then its modular automorphisms subsume braiding operations which one hopes to adiabatically enact on real materials in order to implement topological quantum gates. In contrast, other elements of the modular functor have the nature of gauge symmetries, notably the modular group acting over a torus should be thought of as a group of diffeomorphism symmetries under which states must transform as a reflection of their “general covariance”, not as physical operations that can be enacted in the laboratory.

Hoever, this crucial distinction between a total group \mathcal{G}_{trn} of modular transformations and its subgroup $\mathcal{G}_{\text{sym}} \hookrightarrow \mathcal{G}_{\text{trn}}$ of gauge symmetries, whence of the coset space $\mathcal{G}_{\text{evl}} \equiv \mathcal{G}_{\text{trn}}/\mathcal{G}_{\text{sym}}$ of physical evolutions, seems not to have received much attention before.

One exception, where this kind of situation did receive attention, is the discussion of “asymptotic symmetries” of generally covariant field theories (cf. [37][275, §2.10][31] and Rem. 2.27 below): Here one deals with a group \mathcal{G}_{trn} of gauge transformations and/or diffeomorphisms on a spacetime with “asymptotic boundary”, and identifies a subgroup $\mathcal{G}_{\text{sym}} \hookrightarrow \mathcal{G}_{\text{trn}}$ of “bulk symmetries” which act suitably trivial on the asymptotic boundary data.

We now present a precise formalization that is meant to capture the situation. First, we need the following concept:

Definition 2.25 (Twisted intertwiners). For G a group, consider its linear representations $V \in \text{Rep}(G)$, to be denoted $g \in G \mapsto V_g \xrightarrow{V_g} V_*$ (cf. §A.5).

(i) Given a pair $V^1, V^2 \in \text{Rep}(G)$, a *twisted intertwiner* $V^1 \xrightarrow{(\eta, \alpha)} V^2$ between them is

- (a) a linear map $\eta : V_*^1 \rightarrow V_*^2$,
- (b) an automorphism $\alpha \in \text{Aut}(G)$

such that ¹⁴

$$\forall_{g \in G} \quad \eta \circ \rho_1(g) = \rho_2(\alpha(g)) \circ \eta. \quad (49)$$

(ii) Given consecutive twisted intertwiners $V^1 \xrightarrow{(\eta, \alpha)} V^2 \xrightarrow{(\eta', \alpha')} V^3$, their composite is simply componentwise:

$$(\eta', \alpha') \circ (\eta, \alpha) = (\eta' \circ \eta, \alpha' \circ \alpha). \quad (50)$$

(iii) On the other hand, given a pair of parallel twisted intertwiners $(\eta, \alpha), (\eta', \alpha') : V^1 \rightrightarrows V^2$, we say that a *deformation* $a : (\eta, \alpha) \Rightarrow (\eta', \alpha')$ is $a \in G$ such that

$$\begin{aligned} \text{(a)} \quad & \eta' = \rho_2(a) \circ \eta \\ \text{(b)} \quad & \alpha' = \text{Ad}_a \circ \alpha \end{aligned} \quad (51)$$

(where “Ad” denotes the adjoint action of the group on itself by inner automorphisms, $\text{Ad}_a(g) := aga^{-1}$).

¹⁴The condition (49) and the terminology “twisted intertwiners” appears in [97, (7.2)][98, (2.2)] (there broadly in a context of 2d coformal field theory), but the concept itself may be older. On the other hand, the concept of deformation of twisted intertwiners in (51) may be new, though it is immediate once one sees the diagrammatic formulation that we give in (55).

(iv) Deformation of twisted intertwiners is an equivalence relation compatible with composition, whence we have a category

$$\text{Rep}^{[\text{tw}]}(G) \supset \text{Rep}(G)$$

whose objects are G -representations and whose morphisms are deformation classes $[-]$ of twisted intertwiners.

(v) Given a G -representation $V \in \text{Rep}(G) \subset \text{Rep}^{[\text{tw}]}(G)$, we write

$$\text{Aut}^{[\text{tw}]}(V) \quad (52)$$

for its automorphism group in this category, hence for the group of deformation classes of twisted intertwiners from (ρ, V) to itself.

Proposition 2.26 (Formalizing observables among symmetries). *Given a normal subgroup inclusion $\mathcal{G}_{\text{sym}} \xhookrightarrow{\iota} \mathcal{G}_{\text{trn}}$ (A.5) and a representation $\mathcal{H} \in \text{Rep}(\mathcal{G}_{\text{trn}})$ there is on its restriction $\iota^*\mathcal{H} \in \text{Rep}(\mathcal{G}_{\text{sym}})$ a canonical action of the quotient group $\mathcal{G}_{\text{evl}} \equiv \mathcal{G}_{\text{trn}}/\mathcal{G}_{\text{sym}}$, via deformation classes of twisted intertwiners (Def. 2.25).*

Concretely, the \mathcal{G}_{evl} -action is given by

$$\begin{array}{ccc} \mathcal{H} \in \text{Rep}(\mathcal{G}_{\text{trn}}) & \vdash & \mathcal{G}_{\text{evl}} \longrightarrow \text{Aut}^{[\text{tw}]}(\iota^*\mathcal{H}) \\ & & [g] \longmapsto [\mathcal{H}_g, \text{Ad}_g], \end{array} \quad (53)$$

where on the right the notation “[tw]” is from (52) and $\text{Ad}_g : \mathcal{G}_{\text{sym}} \rightarrow \mathcal{G}_{\text{sym}}$ denotes the “external” conjugation action of \mathcal{G}_{trn} on its normal subgroup \mathcal{G}_{sym} .

Proof. To be transparent, we write the proof in diagrammatic notation, using the (very large) 2-category structure of the category of (large) categories (cf. [186, §XII.3] and §A.2).

To begin with, for H a group, we write $\mathbf{B}H$ for its delooping groupoid (157), with a single object with automorphism group H , and write Vec for the category of vector spaces (156), and we use the elementary fact (162) that the category of H -representations is equivalently that of functors $\mathbf{B}H \rightarrow \text{Vect}$, so that ordinary intertwiners (twisted intertwiners with $\alpha = \text{id}$, cf. [164, Rem. 4.2]) are naturally identified with natural transformations (160) of this form:

$$\begin{array}{ccc} \mathbf{B}H & & \\ (\rho_1, V_1) \downarrow \left(\begin{array}{c} \eta \\ \Rightarrow \end{array} \right) \downarrow & \Leftrightarrow & \forall_{g \in G} \begin{array}{ccc} * & \xrightarrow{\quad} & V_1 \xrightarrow{\eta} V_2 \\ \downarrow g & & \downarrow \rho_1(g) \quad \downarrow \rho_2(g) \\ * & \xrightarrow{\quad} & V_1 \xrightarrow{\eta} V_2 \end{array} \\ \text{Vec} & & \Leftrightarrow \forall_{g \in G} \eta \circ \rho_1(g) = \rho_2(g) \circ \eta. \end{array} \quad (54)$$

Along the same lines one finds that general twisted intertwiners (η, α) (49) are identified with natural transformations of this more general form:

$$\begin{array}{ccc} \mathbf{B}H & \xrightarrow{\mathbf{B}\alpha} & \mathbf{B}H \\ (\rho_1, V_1) \searrow \eta \Rightarrow \swarrow & & \swarrow \eta \Rightarrow \searrow (\rho_2, V_2) \\ & \text{Vec}, & \end{array} \quad (55)$$

that their composition (50) corresponds to the pasting composition of these diagrams

$$\begin{array}{ccccc} \mathbf{B}H & \xrightarrow{\mathbf{B}\alpha} & \mathbf{B}H & \xrightarrow{\mathbf{B}\alpha'} & \mathbf{B}H \\ & \searrow \eta \Rightarrow & \downarrow & \swarrow \eta' \Rightarrow & \swarrow \eta' \Rightarrow \\ & (\rho_1, V_1) & \Rightarrow (\rho_1, V_1) & \Rightarrow (\rho_1, V_1) & \\ & & \downarrow & & \\ & & \text{Vec}, & & \end{array}$$

and that their deformations (51) correspond to pasting diagrams of this form:

$$\begin{array}{ccc} & \mathbf{B}\alpha' & \\ & \uparrow a & \\ \mathbf{B}H & \xrightarrow{\mathbf{B}\alpha} & \mathbf{B}H \\ (\rho_1, V_1) \searrow \eta \Rightarrow \swarrow & & \swarrow \eta \Rightarrow \searrow (\rho_2, V_2) \\ & \text{Vec}. & \end{array}$$

These diagrams make manifest a 2-category of representations (objects), twisted intertwiners (1-morphisms) and deformations (2-morphisms), and the groups $\text{Aut}^{[\text{tw}]}(V, \rho)$ (52) are equivalently the automorphism groups of the homotopy category of this 2-category.

Now in the case at hand, with $H \equiv \mathcal{G}_{\text{sym}}$ and given a normal subgroup inclusion $\mathcal{G}_{\text{sym}} \xhookrightarrow{\ell} \mathcal{G}_{\text{trn}}$ and $\mathcal{H} \in \text{Rep}(\mathcal{G}_{\text{trn}})$, we observe that

$$g \in \mathcal{G}_{\text{trn}} \quad \vdash \quad \begin{array}{ccc} \mathbf{B}\mathcal{G}_{\text{sym}} & \xrightarrow{\mathbf{B}\text{Ad}_g} & \mathbf{B}\mathcal{G}_{\text{sym}} \\ & \searrow \mathcal{H}_g & \swarrow \mathcal{H}_g \\ & \text{Vec} & \end{array} \quad (56)$$

(recall that we write $\mathcal{H}_g : \mathcal{H}_* \rightarrow \mathcal{H}_*$ for the given representation operators on the underlying vector space \mathcal{H}_*), since the defining commuting squares of this natural transformation commute by the fact that \mathcal{H} actually represents not just \mathcal{G}_{sym} but all of \mathcal{G}_{trn} on \mathcal{H}_* :

$$\begin{array}{ccccc} * & \mapsto & \mathcal{H}_* & \xrightarrow{\mathcal{H}_g} & \mathcal{H}_* \\ \downarrow n & & \downarrow \mathcal{H}_n & & \downarrow \mathcal{H}_{gng^{-1}} \\ * & \mapsto & \mathcal{H}_* & \xrightarrow{\mathcal{H}_g} & \mathcal{H}_* \end{array}$$

Since the assignment (56) manifestly respects composition, this construction constitutes a group homomorphism from \mathcal{G}_{trn} into the twisted automorphism 1-group of $\iota^*\mathcal{H}$.

So it remains to check that this construction descends to the quotient by \mathcal{G}_{sym} on both sides, hence that when $g \in \mathcal{G}_{\text{sym}} \xhookrightarrow{\ell} \mathcal{G}_{\text{trn}}$ then the above twisted intertwiner is deformable into the identity intertwiner. But such a deformation is evidently given by g^{-1} :

$$g \in \mathcal{G}_{\text{sym}} \xhookrightarrow{\ell} \mathcal{G}_{\text{trn}} \quad \vdash \quad \begin{array}{ccc} & \mathbf{B}\alpha' & \\ & \uparrow g^{-1} & \\ \mathbf{B}\mathcal{G}_{\text{sym}} & \xrightarrow{\mathbf{B}\alpha} & \mathbf{B}\mathcal{G}_{\text{sym}} \\ & \downarrow \mathcal{H}_g & \\ & \text{Vec} & \end{array} \quad = \quad \begin{array}{ccc} \mathbf{B}\mathcal{G}_{\text{sym}} & & \\ \downarrow \text{id} & & \downarrow \text{id} \\ \iota^*\mathcal{H} & \xrightarrow{\text{id}} & \iota^*\mathcal{H} \\ \downarrow & & \downarrow \\ \text{Vec} & & \text{Vec} \end{array}$$

This establishes the claimed construction (53). \square

Example 2.27 (Asymptotic boundary symmetries). In a generally covariant field theory (such as Einstein-Maxwell gravity) on a spacetime with asymptotic boundary, if we take the group \mathcal{G}_{trn} to be that of all gauge transformations and all diffeomorphisms and identify a normal subgroup $\mathcal{G}_{\text{sym}} \xhookrightarrow{\ell} \mathcal{G}_{\text{trn}}$ of “bulk symmetries” which act suitably trivially on the asymptotic boundary, then Prop. 2.26 says that, while all of \mathcal{G}_{trn} acts on states, its subgroup of bulk symmetries \mathcal{G}_{sym} acts essentially trivially, with the residual relevant action being through the cosets $\mathcal{G}_{\text{evl}} \equiv \mathcal{G}_{\text{trn}}/\mathcal{G}_{\text{sym}}$ of transformations that act suitably non-trivially on the asymptotic boundary. This expresses just the situation expected for asymptotic symmetries (cf. again [37][275, §2.10][31]).

Remark 2.28 (Identifying observables among symmetries). Just as in the usual functorial axiomatization of TQFT, also in our application (§2.1) to topological flux quanta it remains to actually identify the group decomposition (48) in view of the given modular data (21). At this point it seems like this identification is to be regarded as further data defining the theory. We come to this point in §3.6 below, for the case of punctured disks, see (135).

Topological Quantum Measurement

One of the very axioms of standard quantum physics is (cf. [244, (21)]) that the measurement of a quantum system with an apparatus that can detect a set W of classical measurement outcomes (e.g. pointer positions) yields one of these results at random, with a certain probability, while at the same time projecting (“collapsing”) the quantum state of the system to the corresponding *eigenspace* of an operator (observable) which reflects the measurement process.

However, the literature on anyonic quantum states traditionally expects that these admit measurement processes given by definite “projection onto the vacuum”, typically visualized as the forced mutual annihilation of anyon pairs [165]. This idea is particularly prominent in the context of topological quantum computing, where authors traditionally envision (cf. [159, Fig. 17][94, Fig. 2][209, p. 10][232, Fig. 2][65, Fig. 2][234, Fig. 3][233, Fig. 1]) that the result of a computation constituted by a braiding process (Rem. 2.13) is

- (i) initialized by creating anyon pairs out of the vacuum,
 - (ii) read-out by a measurement involving their projection back into the vacuum,
- thus closing the braid to a link.

At the same time, the fusion of anyons is expected to have contributions beyond the vacuum state. This means that the the above idea of anyon measurement is tacitly one involving *post-selection* (cf. [43]) on the measurement outcome really being the vacuum state.

We now present a formalization of such post-selected quantum measurement processes on, in particular, quantum state spaces of topological flux quanta as in Def. 2.11, which provides a mathematically well-founded quantum metrology of anyonic flux, with clear(er) predictions for what to expect in experiment.

Concretely, we generalize the formalization of quantum measurement of ordinary (non-covariant) quantum systems from [244] to covariant quantum systems of the form (21) by generalizing the *sets* W of the “many/possible worlds” of measurement outcomes to *groupoids* W , as in [245]. First to briefly recall the ordinary case from [244]:

Basic quantum measurement Consider a measurement apparatus with a set W of measurement outcomes, which we assume to be finite (since any actual experimental measurement apparatus will always have some finite resolution). This means that the space of quantum states of the system being measured is the direct sum

$$\mathcal{H} \equiv \bigoplus_{w' \in W} \mathcal{H}_{w'} \quad (57)$$

of the subspaces \mathcal{H}_w of states for which the measurement result is *definitely* w (the eigenspaces of the linear operator that models the measurement).

Now the quantum measurement postulate famously says (cf. [244, Literature 1.2]) that finding the specific measurement result $w \in W$ *entails* — we shall denote this by the symbol “ \vdash ” — that the quantum state ends up linearly projected onto the corresponding direct summand \mathcal{H}_w :

$$\begin{array}{ccc} w \in W & \vdash & \overbrace{\bigoplus_{w' \in W} \mathcal{H}_{w'}}^{\mathcal{H}} \xrightarrow{\text{proj}_w} \mathcal{H}_w \\ \text{measurement} & \text{entails} & \text{collapse of quantum states} \\ \text{outcome } w & & \text{on the } w\text{-eigenstates} \end{array} \quad (58)$$

Incidentally, we note (with [244]) that this formula for quantum state measurement is “dual” to that expressing *conditional quantum state preparation*, where in dependence of a classical control parameter w one prepares w -eigenstates by injecting the eigenspace:

$$\begin{array}{ccc} w \in W & \vdash & \mathcal{H}_w \xrightarrow{\text{inj}_w} \overbrace{\bigoplus_{w' \in W} \mathcal{H}_{w'}}^{\mathcal{H}} \\ \text{control} & \text{entails} & \text{preparation of quantum state} \\ \text{parameter } w & & \text{as } w\text{-eigenstates} \end{array} \quad (59)$$

The parameter w plays formally the same but physically quite different roles in both cases: In (58) as a random outcome chosen by nature, in (59) as a parameter chosen by an experimenter. If we do identify the parameter in both cases, as in forming a composite process like this:

$$\begin{array}{ccccccc} w \in W & \vdash & \mathcal{H}_w & \xrightarrow{\text{inj}_w} & \mathcal{H} & \xrightarrow{A_w} & \mathcal{H} \xrightarrow{\text{proj}_w} \mathcal{H}_w \\ \text{postselected measurement} & & \text{conditional} & & \text{parameterized} & & \text{postselected} \\ \text{being the control parameter} & & \text{state preparation} & & \text{quantum circuit} & & \text{quantum measurement} \end{array}$$

then this means to describe *post-selected* quantum measurement (cf. [43]) — of the kind we just saw is tacitly envisioned in anyonic protocols, where one selects $w \equiv \text{vacuum}$. In practice, this may mean repeating the experiment until the measurement outcome is as desired.

We may now observe (still with [244]) that the logic of the quantum state measurement (58) and quantum state preparation (59) has the following neat category-theoretic realization (“denotational semantics”, cf. [205, (60)]). We may regard the set W as a category with only identity homs (155), and consider the category Vec^W (161) of functors $W \rightarrow \text{Vec}$, hence the category of W -indexed vector spaces:

$$\text{Vec}^W = \left\{ \begin{array}{ccc} \mathcal{H}_\bullet & \xrightarrow{A_\bullet} & \mathcal{H}'_\bullet \\ w \in W \vdash & \mathcal{H}_w & \xrightarrow{A_w} \mathcal{H}'_w \\ \text{index} & \text{vector space} & \text{linear map} \end{array} \right\}, \quad (60)$$

whose objects are W -tuples of vector spaces and whose homs are corresponding W -tuples of linear maps between these (cf. [244, Def. 2.1][245, p 4]). We are to think of this as the category of quantum processes¹⁵ which are *decohered* in the W -basis in that they are conditioned on a classical parameter $w \in W$ (one of many possible “worlds”, cf. [244, p 4, Lit. 1.13 & §2]) and may not form superpositions across different w .

¹⁵At this point, we do not encode the unitarity of coherent quantum processes in the category-theoretic model, just their linearity. This is not to overburden the discussion, since the unitarity constraint does not affect the conclusions here, and since it may be added on top of the present discussion when desired, as discussed in [246].

When $W = \{*\}$ is the singleton, this reduces to $\text{Vec}^{\{*\}} \simeq \text{Vec}$, which from this perspective we recognize as the category of *coherent* quantum processes, in that the linear maps here are not required to stay within W -eigenstates.

But then the precomposition with the terminal map (functor) $W \xrightarrow{p} \{*\}$ induces the functor

$$\begin{array}{ccc} \text{Vec}^W & \xleftarrow{p^*} & \text{Vec}^{\{*\}} \simeq \text{Vec} \\ (w' \in W \vdash \mathcal{H}) & \longleftarrow & \mathcal{H} \end{array} \quad (61)$$

which regards a given state space \mathcal{H} as trivially conditioned on W . Now, the key point for formalizing quantum measurement (58) is that the pullback functor (61) has both a left adjoint and a right adjoint, which — due to our assumption that W is finite — coincide and are both given by forming the *direct sum* \oplus of state spaces over the many possible worlds W (cf. [244, (198)]):

$$\begin{array}{ccc} \text{Category of } W\text{-decohered} & & \text{Category of coherent} \\ \text{quantum states} & & \text{quantum states} \\ \text{Vec}^W & \begin{array}{c} \xleftarrow{p^*} \\ \xrightarrow{p_!} \\ \xrightarrow{p_*} \end{array} & \text{Vec}^{\{*\}} \\ (w' \in W \vdash \mathcal{H}) & \longmapsto & \bigoplus_{w' \in W} \mathcal{H}_{w'} \end{array} \quad (62)$$

Elementary as this may be as a mathematical fact, it is remarkable to observe ([244, Ex. 2.28]) that:

Proposition 2.29 (Quantum state measurement/preparation as co/unit of (de)coherent base change).

(i) *The full coherent state space (57) is equivalently produced by either adjoint*

$$\begin{aligned} \diamond_w \mathcal{H}_\bullet &:= \overbrace{p^* p_! \mathcal{H}_\bullet}^{\mathcal{H}} \simeq \overbrace{p^* p_* \mathcal{H}_\bullet}^{\mathcal{H}} =: \square_w \mathcal{H}_\bullet \\ &= \left(w \in W \vdash \bigoplus_{w' \in W} \mathcal{H}_{w'} \right) \end{aligned} \quad (63)$$

(ii) *The $(p^* \dashv p_*)$ -adjunction counit (163) realizes exactly the quantum measurement process (58):*

$$\left(\square_w \mathcal{H}_\bullet \xrightarrow{\text{obt}_{\mathcal{H}_\bullet}^\square} \mathcal{H}_\bullet \right) = \left(w \in W \vdash \bigoplus_{w' \in W} \mathcal{H}_{w'} \xrightarrow{\text{proj}_w} \mathcal{H}_w \right) \quad (64)$$

counit of right base change between decohered & coherent quantum state spaces quantum measurement process exhibiting quantum state collapse conditioned on measurement outcome

(iii) *The $(p_! \dashv p^*)$ -adjunction unit realizes exactly the conditional quantum state preparation process (59):*

$$\left(\mathcal{H}_\bullet \xrightarrow{\text{ret}_{\mathcal{H}_\bullet}^\diamond} \diamond_w \mathcal{H}_\bullet \right) = \left(w \in W \vdash \mathcal{H}_w \xrightarrow{\text{inj}_w} \bigoplus_{w' \in W} \mathcal{H}_{w'} \right) \quad (65)$$

unit of left base change between decohered & coherent quantum state spaces quantum state preparation process exhibiting eigenspace injection conditioned on classical parameter

This situation immediately generalizes to several and consecutive systems of measurements, where the set W of measurement outcomes is itself (finitely) fibered over a set Γ of further measurement contexts, so that the direct sum is over the fibers $W_\gamma := p^{-1}(\gamma)$ of a fibration $W \xrightarrow{p} \Gamma$:

$$\begin{array}{ccc} \diamond_w \mathcal{H}_\bullet & \xleftarrow{p^*} & \text{Vec}^\Gamma \\ \text{Vec}^W & \xleftarrow{p^*} & \text{Vec}^\Gamma \\ \square_w \mathcal{H}_\bullet & \xrightarrow{p_*} & \text{Vec}^\Gamma \end{array} \quad (66)$$

$$\left(\gamma \in \Gamma, w_\gamma \in W_\gamma \vdash \mathcal{H}_{w_\gamma} \right) \longmapsto \left(\gamma \in \Gamma \vdash \bigoplus_{w_\gamma \in W_\gamma} \mathcal{H}_{w_\gamma} \right).$$

Remark 2.30 (Quantum metrology). The formalization of quantum measurement via Prop. 2.29 has excellent formal properties accurately reflecting the expected behavior of quantum measurement gates as considered in quantum circuit theory (this is the content of [244]), notably it verifies the *deferred measurement principle* for measurement-controlled quantum gates [244, Prop. 2.40].

Here we need not be further concerned with this quantum circuit theory except for noticing that the proofs in [244] depend solely on the abstract (co)monodic properties of \diamond and \square (63). But these abstract properties are shared by the generalization of the *decohered/coherent* adjoint triple (62) to the situation where the *sets* W (and $\{*\}$) of worlds are allowed to be *groupoids* of worlds, incorporating gauge transformations (158), whence the categories of W -indexed vector spaces (60) are generalized to categories of local systems on groupoids and the above adjoint triple (66) generalizes to the base change of such local systems (Rem. A.7 and [245]).

Quantum measurement of topological flux. We are then ready to draw the key conclusion of this subsection, by combining the two main observations:

- (i) From the discussion of asymptotics (Rem. 2.27), we have that flux quantum state spaces must be pulled back along $\mathbf{B}\iota_{\text{blk}} : \mathbf{B}\mathcal{G}_{\text{sym}} \rightarrow \mathbf{B}\mathcal{G}_{\text{trn}}$.
- (ii) From the preceding discussion of quantum measurement (Prop. 2.29), we have that coherent quantum states must be pulled back along a functor of $p : W \rightarrow \Gamma$ between groupoids of many/possible worlds.

It follows that coherent flux quantum states with asymptotic symmetries \mathcal{G}_{evl} and subjectable to W -quantum measurement must be pulled back along *both* of such fibrations — this can only be if one of them factors through the other. In the simplest case this means that they actually *coincide*, whence we are to conclude that:

For topological flux with covariantized monodromy group \mathcal{G}_{trn} (21) the relevant base change adjunction (171) between coherent and decohered quantum states is the delooping of the inclusion of the bulk symmetries:

$$\begin{array}{ccc}
 W := \mathbf{B}\mathcal{G}_{\text{sym}} & \xrightarrow{p := \mathbf{B}\iota_{\text{blk}}} & \mathbf{B}\mathcal{G}_{\text{trn}} =: \Gamma \\
 & \begin{array}{c} \xrightarrow{p!} \longrightarrow \\ \perp \\ \xleftarrow{p^*} \longrightarrow \\ \perp \\ \xrightarrow{p_*} \longrightarrow \end{array} & \\
 \text{Vec}^{\mathbf{B}\mathcal{G}_{\text{sym}}} & & \text{Vec}^{\mathbf{B}\mathcal{G}_{\text{trn}}}
 \end{array} \tag{67}$$

and hence that the coherent quantum state spaces \mathcal{H} and their quantum state preparation/measurement channel must be of the form (for given $\mathcal{V} \in \mathcal{G}_{\text{sym}}\text{Rep}$):

$$\mathcal{V} \xrightarrow{\text{ret}_{\mathcal{V}}^{\diamond}} \overbrace{p^* p! =: \diamond_W \simeq \square_W := p^* p_* \mathcal{V}}^{\iota_{\text{blk}}^* \mathcal{H}} \xrightarrow{\text{obt}_{\mathcal{V}}^{\square}} \mathcal{V}, \tag{68}$$

state preparation coherent quantum states quantum measurement

whence the measurable amplitude for an asymptotic vacuum process labeled by $g \in \mathcal{G}_{\text{trn}}$ is the class of this twisted intertwiner:

$$\begin{array}{ccc}
 \mathbf{B}\mathcal{G}_{\text{sym}} & \xrightarrow{\mathbf{B}\text{Ad}_g} & \mathbf{B}\mathcal{G}_{\text{sym}} \\
 \downarrow & \begin{array}{c} \xrightarrow{\mathcal{H}_g} \\ \text{ret} \quad \text{obt} \end{array} & \downarrow \\
 \mathcal{V} & \xrightarrow{\iota_{\text{blk}}^* \mathcal{H}} & \mathcal{V} \\
 & \searrow & \swarrow \\
 & \text{Vec} &
 \end{array} \tag{69}$$

This is hence our general abstract answer to making precise the quantum measurement of (exotic topological flux) anyons in view of the standard quantum measurement postulate. And it is now straightforward to unwind what this means:

Proposition 2.31 (Quantum measurement on topological flux quantum states). *For bulk symmetries $\mathcal{G}_{\text{sym}} \xrightarrow{\iota} \mathcal{G}_{\text{trn}}$ of finite index,*

- (i) *the coherent quantum state spaces (68) are (restrictions of) induced representations (168) from bulk-symmetry representations $\mathcal{V} \in \mathcal{G}_{\text{sym}}\text{Rep}$,*
- (ii) *the quantum measurement process on them (as exhibited by the adjunction counit “obt”) is given by evaluation on the class of the neutral element,*
- (iii) *the quantum state preparation process (as exhibited by the adjunction unit “ret”) is given by inserting the neutral element*

$$\begin{array}{ccccccc}
 \mathcal{V} & \xrightarrow{\text{ret}_{\mathcal{V}}} & \overbrace{\iota_{\text{bulk}}^* \mathbb{C}[\mathcal{G}_{\text{trn}}] \otimes_{\mathbb{C}[\mathcal{G}_{\text{sym}}]} \mathcal{V} \simeq \iota_{\text{bulk}}^* \text{hom}_{\mathbb{C}[\mathcal{G}_{\text{sym}}]}(\mathbb{C}[\mathcal{G}_{\text{trn}], \mathcal{V})}^{\iota_{\text{blk}}^* \mathcal{H}} & \xrightarrow{\text{obt}_{\mathcal{V}}} & \mathcal{V} & & \\
 v & \longmapsto & [e, v] & \xrightarrow{f} & f(e) & &
 \end{array} \tag{70}$$

Proof. This is now a straightforward matter of unwinding the definition and comparing to classical facts: The first statement follows with Prop. A.5, the second with Rem. A.6. \square

Induced representations as direct sums over homotopy fibers. We close this subsection by highlighting the map $\mathbf{B}\iota_{\text{blk}}$ (67), which is seemingly so different from the usual measurement contexts given by fibrations of sets is actually itself a fibration (of groupoids), up to gauge equivalences. This goes to show that what we are looking at here is the quantum measurement postulate generalized to a situation where both gauge symmetries and asymptotic operations are properly taken into account.

To that end, recall the action groupoid associated with a group action

$$G \curvearrowright S \in G\text{Act}(\text{Set}) \quad \vdash \quad G \backslash \backslash S \equiv \left\{ \begin{array}{ccc} & g_1 \nearrow & g_1 \cdot s \\ s & \xrightarrow{g_2 \cdot g_1} & g_2 \cdot g_1 \cdot s \\ & g_2 \searrow & \end{array} \right\} \in \text{Grpd}$$

Examples include the delooping of a group (157) and “homotopy double coset groupoids”:

$$\mathbf{B}G \equiv G \backslash \backslash \{*\} \equiv \left\{ \begin{array}{ccc} & g_1 \nearrow & * \\ * & \xrightarrow{g_1 \cdot g_2} & * \\ & g_2 \searrow & \end{array} \right\}, \quad G \backslash \backslash G/H \equiv \left\{ \begin{array}{ccc} & g_1 \nearrow & g_1 \cdot g \cdot H \\ g \cdot H & \xrightarrow{g_2 \cdot g_1} & g_2 \cdot g_1 \cdot g \cdot H \\ & g_2 \searrow & \end{array} \right\}. \quad (71)$$

The latter example is clearly equivalent to $\mathbf{B}H$:

$$\begin{array}{ccc} \mathbf{B}H \equiv H \backslash \backslash \{*\} & \xrightarrow{\sim} & G \backslash \backslash G/H \\ * & \mapsto & H \\ h \downarrow & & h \downarrow \\ * & \mapsto & H \end{array}$$

It follows that the representation category of H is equivalent to that of this homotopy double coset groupoid by precomposition with this functor. A strict right inverse to this equivalence is given by sending an H -representation \mathcal{V} to the $G \backslash \backslash G/H$ -representation $\hat{\mathcal{V}}$ given by

$$\begin{array}{ccc} G \backslash \backslash G/H & \xrightarrow{\hat{\mathcal{V}}} & \text{Vec} \\ g \cdot H & \mapsto & \mathbb{K}[g \cdot H] \otimes_H \mathcal{V} \\ g' \downarrow & & g' \downarrow \\ g' \cdot g \cdot H & \mapsto & \mathbb{K}[g' \cdot g \cdot H] \otimes_H \mathcal{V} \end{array}$$

as one readily checks:

$$\begin{array}{ccccc} \text{Func}(\mathbf{B}H, \text{Vec}) & \xrightarrow{(\widehat{\quad})} & \text{Func}(G \backslash \backslash G/H, \text{Vec}) & \xrightarrow{\sim} & \text{Func}(\mathbf{B}H, \text{Vec}) \\ \mathcal{V} & \mapsto & \mathbb{K}[-H] \otimes_H \mathcal{V} & \mapsto & \mathbb{K}[e \cdot H] \otimes_H \mathcal{V} \simeq \mathcal{V} \\ \eta \downarrow & & \begin{array}{c} [g, v] \\ \downarrow \\ [g, \eta(v)] \end{array} \downarrow & & \eta \downarrow \\ \mathcal{V} & \mapsto & \mathbb{K}[-H] \otimes_H \mathcal{V} & \mapsto & \mathbb{K}[e \cdot H] \otimes_H \mathcal{V} \simeq \mathcal{V} \equiv \end{array}$$

Under this equivalence, the construction of left/right induced representations is recognized as forming the direct sum/product of contributions over the homotopy fiber G/H of $\mathbf{B}\iota$:

$$\begin{array}{ccc} (\widehat{\mathbf{B}\iota})_! \hat{\mathcal{V}} \equiv \bigoplus_{g \cdot H \in G/H} \hat{\mathcal{V}}_{g \cdot H} \simeq \bigoplus_{g \cdot H \in G/H} \mathbb{K}[g \cdot H] \otimes_H \mathcal{V} \simeq \mathbb{K}[G] \otimes_H \mathcal{V} & & \\ H\text{Rep} \xrightarrow[\text{left induced representation}]{\iota_!} G\text{Rep} & & \\ \text{resolution } (\widehat{\quad}) \downarrow \wr & & \parallel \\ \text{Func}(G \backslash \backslash G/H, \text{Vect}) \xrightarrow[\text{sum over homotopy fibers}]{(\widehat{\mathbf{B}\iota})_!} \text{Func}(G \backslash \backslash \{*\}, \text{Vect}). & & \end{array} \quad (72)$$

This shows how $(\mathbf{B}\iota)_*$ is equivalently a direct sum over “measurement eigenspaces”, as in (63), after all.

3 Flux quantized in 2-Cohomotopy

We now specify the classifying space \mathcal{A} (21) to the 2-sphere, $\mathcal{A} \equiv S^2$ so that flux is classified by the non-abelian cohomology theory called *2-Cohomotopy*¹⁶ (73), and we work out (according to §2) the resulting covariant topological quantum observables on and quantum states of 2-cohomotopically quantized flux through various surfaces Σ^2 , using the results of §2.2.

Remarkably, in the case of $\Sigma^2 \equiv S^2$ the sphere or $\Sigma^2 \equiv T^2$ the torus, we find reproduced (in §3.1 and §3.4, respectively) the situation traditionally argued via quantized U(1)-Chern-Simons theory over these surfaces, including fine-print such as regularization of Wilson-loop observables by framings, modular equivariance and refinement to “spin” Chern-Simons theory.

Then, by instead choosing punctured surfaces, we similarly work out the 2-Cohomotopically quantized flux through the the annulus (§3.7) and the 2-punctured disk (§3.8).

Definition 3.1 (Cohomotopy, cf. [271][138, §VII][85, Ex. 2.7]). The generalized non-abelian cohomology theory [85, §2] whose classifying spaces are the n -spheres S^n is called *Cohomotopy*¹⁷, denoted

$$\tilde{\pi}^n(X) := \pi_0 \text{Map}^*(X, S^n), \quad \text{for } X \in \text{Top}^*. \quad (73)$$

Here the terminology and notation indicate the “duality” with the *homotopy* groups $\pi_n(X) \simeq \pi_0 \text{Map}^*(S^n, X)$.

Remark 3.2 (Relation to SO(3)/CP¹-model). Taking flux-quantization (18) to be in 2-Cohomotopy, $\mathcal{A} \equiv S^2$ (Def. 3.1), so that topological charges are represented by continuous maps $\Sigma^2 \rightarrow S^2$, has some similarity with the classification of solitons (or *lumps*) in the Lagrangian field theories known as the \mathbb{CP}^1 *model* or $\text{SO}(3)$ *model*, where it is sigma-model fields that are *smooth* maps to S^2 (cf. [87, §VI.A][188, §6.1]), particularly so when these are equipped with their “Hopf term” (cf. [298, (6)][87, §II.C][38]), this being essentially the abelian Chern-Simons term (149). Accordingly, the *Hopf charged solitons* traditionally discussed in this Lagrangian context are closely related to the topological charges discussed here (cf. Rem. 3.14 below).

However, the re-identification of such maps $\Sigma^2 \rightarrow S^2$ as classifying exotically quantized flux according to §2, [250], puts these arguments in a coherent perspective of concerning flux quanta in FQH systems, cf. the following Ex. 3.5.

Remark 3.3 (Generalized higher symmetry group of 2-cohomotopical flux).

- (i) In view of Rem. 2.10, the choice of classifying space $\mathcal{A} \equiv S^2 \simeq_{\text{f}} B(\Omega S^2)$ corresponds to considering as (homotopy type of the) gauge group the loop group ΩS^2 of the 2-sphere (under concatenation and reversal of loops) which is a “higher group” (“ ∞ -group”) exhibiting “generalized symmetry”. See also footnote 18 below.
- (ii) The looping of the canonical comparison map $1^2 : S^2 \rightarrow B^2\mathbb{Z}$ exhibits this generalized symmetry group as a deformation of (the homotopy type of) the standard electromagnetic gauge group U(1):

$$\Omega S^2 \xrightarrow{\Omega 1^2} \Omega B^2\mathbb{Z} \simeq_{\text{f}} B\mathbb{Z} \simeq_{\text{f}} \text{U}(1).$$

This map induces an isomorphism on π_1 , but while $\pi_{>1}(\text{U}(1)) \simeq 0$, the deformation ΩS^2 on the left has non-trivial homotopy groups in arbitrarily high degree, in particular a non-finite contribution

$$\pi_2(\Omega S^2) \underset{(175)}{\simeq} \pi_3(S^2) \simeq \mathbb{Z}$$

generated by the Hopf fibration. We already remarked after (6) that this is the homotopical avatar of the Chern-Simons form, and we will see now that it is also the origin of the appearance of anyonic braiding phases of flux solitons quantized in 2-Cohomotopy: This is seen in Prop. 3.9, Prop. 3.19 and Prop. 3.23 below.

¹⁶ More precisely, we expect that flux in FQH systems is classified by the *tangentially twisted* version of 2-cohomotopy according to [85, Ex. 3.8], but in the present context the tangential twisting is trivial in the cases of main interest, namely on the plane and on the torus. The surfaces on which tangential twisting would be relevant are just those on which experimental realizability of FQH systems is dubious. If and when this experimental situation changes, a dedicated discussion of the tangentially twisted analogues of the results in §3.3 would be called for. It is fairly clear how this will proceed: The relevant tangentially twisted version of the Pontrjagin theorem (recalled here as Prop. 3.4) is given in [83, §2] and the tangentially twisted version of May-Segal theorem is reviewed as [157, Thm. 4.2].

¹⁷ The original literature [18][271] on Cohomotopy (also [219], without using that terminology) is focused on equipping the Cohomotopy sets $\pi^n(X)$ with group structure, which is possible when X is a CW-complex of dimension $\leq 2n - 2$, and hence speaks of *cohomotopy groups* in these special situations. For the present purpose this group structure plays no role but instead the perspective of generalized non-abelian cohomology is the natural one: Also ordinary non-abelian cohomology [121] $\tilde{H}^1(X; G) \simeq \pi_0 \text{Map}^*(X, BG)$ (cf. [85, Ex. 2.2][255, Thm. 4.1.13]) has no group structure (unless G happens to be abelian).

3.1 On the plane

We recall here (from [249]) how solitonic flux through the plane $\mathbb{R}^2 \simeq \Sigma_{0,0,1}^2$ (10) quantized in 2-cohomotopy reproduces the Wilson loop observables of anyonic links as predicted by abelian Chern-Simons theory (Rem. 3.13 below). But to start with, we briefly recall the Pontrjagin construction that serves to relate Cohomotopy charge to solitonic flux density in general.

2-Cohomotopical flux solitons via the Pontrjagin construction. Among generalized non-abelian cohomology theories, (unstable) *Cohomotopy* π^n (cf. [219][271][138, §VII][85, Ex. 2.7]), whose classifying spaces are the n -spheres $S^n \simeq \mathbb{R}_{\{\infty\}}^n$ (179),

$$\tilde{\pi}^n(-) := \pi_0 \text{Map}^*(-, \mathbb{R}_{\{\infty\}}^n),$$

stands out in that it accurately characterizes the solitonic flux configurations of given charge [241][249] — this may be understood as the content of the original unstable Pontrjagin theorem (which these days is more famous as the *Pontrjagin-Thom theorem* pertaining only to the *stable* case which is of little concern to us here):

Proposition 3.4 (Pontrjagin theorem – Cohomotopy charge, cf. [35, §II.16][175, §IX]). *Given a smooth d -manifold Σ^d and $n \in \mathbb{N}$ with $n \leq d$, there is a natural bijection between:*

1. *the reduced n -Cohomotopy of the one-point compactification $\Sigma_{\{\infty\}}^d$,*
2. *the cobordism classes of normally framed submanifolds $Q^{d-n} \hookrightarrow \Sigma^d$ of co-dimension= n*

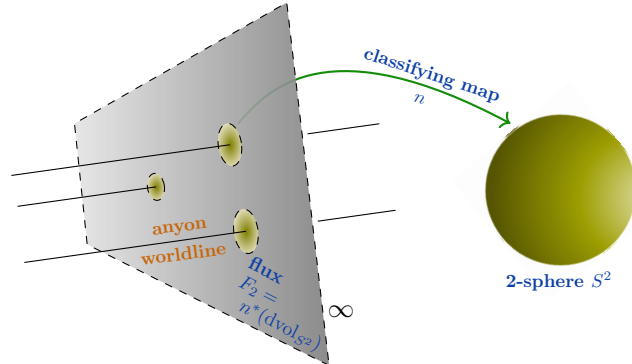
$$\begin{array}{ccc} \text{Reduced } n\text{-Cohomotopy} & \tilde{\pi}^n(\Sigma_{\{\infty\}}^d) & \xrightleftharpoons[\text{cohomotopy charge}]{\text{regular pre-image of 0}} \text{Cob}_{\text{Fr}}^n(\Sigma^d) \\ \text{of 1pt compactification} & & \text{Cobordism classes of} \\ & & \text{normally framed submanifolds of codim} = n, \end{array} \quad (74)$$

where the Cohomotopy charge $[c] \in \tilde{\pi}^n(\Sigma^d)$ of a submanifold $Q^{d-n} \subset \Sigma^d$ with normal framing $NQ \xrightarrow{\text{fr}} N \times \mathbb{R}^n \xrightarrow{p} \mathbb{R}^n$ is represented for any choice of tubular neighborhood $NQ \xrightarrow{\iota} \Sigma$ by the “scanning map”

$$\begin{array}{ccc} \Sigma^d & \xrightarrow{c} & \mathbb{R}_{\{\infty\}}^n \\ s & \mapsto & \begin{cases} p(\text{fr}(s)) & | \quad s \in \iota(NQ) \\ \infty & | \quad \text{otherwise.} \end{cases} \end{array}$$

Example 3.5 (2-Cohomotopy of surfaces).

In our situation of surfaces, flux quantized in 2-Cohomotopy, we have $d = n = 2$ in Prop. 3.4, whence the Pontrjagin theorem identifies solitonic flux concentrated around *points* (soliton cores) with oriented tubular neighborhoods reflecting either positive or negative units of (magnetic) flux. The *total flux* is the sum of the charges of these solitons (the orientations $\in \{\pm 1\}$ of their tubular neighborhoods), identified with the *Hopf degree* (Def. 3.6) of the classifying map.



Definition 3.6 (Hopf degree, cf. [175, §IX, Cor 5.8]). For $n \in \mathbb{N}$, and

$$S^n \xrightarrow{1^n} B^n\mathbb{Z} \quad (75)$$

a map representing the generator $1 \in \mathbb{Z} \simeq \pi_n(B^n\mathbb{Z})$, the induced generalized cohomology operation from n -Cohomotopy to ordinary integral n -cohomology

$$\pi^n(X) \equiv \pi_0 \text{Map}(X, S^n) \xrightarrow{\pi_0(1^n)_*} \pi_0 \text{Map}(X, B^n\mathbb{Z}) \simeq H^n(X; B^n\mathbb{Z}) \quad (76)$$

is a bijection when X is an orientable manifold of dimension n , in which case the operation takes values in integers (generated by the fundamental class of X), called the *Hopf degree* of the maps $X \rightarrow S^n$ on the left.

On the other hand, the flux density underlying (sourced by) a given Cohomotopy charge is characterized by the cohomotopical character map (the cohomotopical analog of the Chern-character map on K-cohomology, [85][250]):

Definition 3.7 (Cohomotopical character map). For $n = d$ the *character map* on cohomotopy

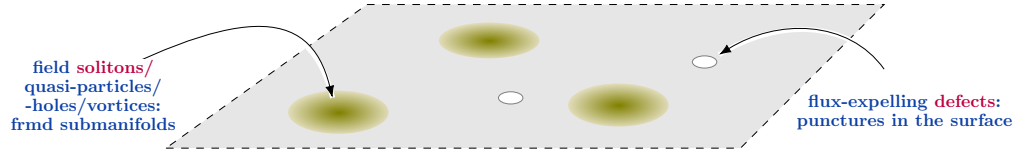
$$\begin{aligned} \tilde{\pi}^d(\Sigma^d) &\xrightarrow{\text{ch}} H_{\text{dR}}^d(\Sigma^d)_{\text{cpt}} \\ [c] &\longmapsto [c^* \text{vol}_n] \end{aligned} \quad (77)$$

takes $[c] \in \tilde{\pi}^n(\Sigma_{\cup\{\infty\}}^d)$ — for any representative $c : \Sigma_{\text{cpt}}^d \rightarrow \mathbb{R}_{\cup\{\infty\}}^d$ which is smooth on $c^{-1}(\mathbb{R}^d)$, such as the scanning maps (77) — to the class in compactly supported de Rham cohomology of the pullback of a d -form $\text{vol} \in \Omega_{\text{dR}}^n(\mathbb{R}^d)$ compactly supported on a neighborhood of $0 \in \mathbb{R}^d$ and of unit integral.

Remark 3.8 (Flux density quantized in Cohomotopy).

- (i) In combination, this means that Cohomotopy charge $[c] \in \mathbb{Z} \simeq \tilde{\pi}^d(\Sigma_{\cup\{\infty\}}^d) \equiv \pi_0 \text{Map}^*(\Sigma_{\cup\{\infty\}}^d, S^d)$ may be understood as sourcing a solitonic flux density $F_d \in \Omega_{\text{dR}}^d(\Sigma^d)$ (solitonic in that it vanishes at infinity) which is supported with unit weight near $n_+ \in \mathbb{N}$ points in Σ^d (all points outside each other's supporting neighborhoods) and with a negative unit weight near $n_- \in \mathbb{N}$ points (anti-solitons) such that $[c] = n_+ - n_-$.
- (ii) For the case $d = 2$ of interest here, this is just the kind of magnetic flux distribution concentrated around solitonic vortex cores as seen in type II superconducting and in fractional quantum Hall semiconducting materials Σ^2 , while any punctures in the surface Σ^2 (9) behave as loci where flux is expelled from (cf. *Fig. I*):

Figure F. Via the Pontrjagin theorem, 2-cohomotopical quantization of flux through a surface exhibits N flux quanta as a concentration of flux density supported on the tubular neighborhoods of N disjoint points.



2-Cohomotopical flux monodromy. For the quantum flux observables (20), we need not just the connected components π_0 but the fundamental group π_1 of the moduli space of Cohomotopical flux, which we may understand as “2-Cohomotopy in negative degree 1”, classified by the loop space ΩS^2 of the 2-sphere: ¹⁸

$$\pi_1 \text{Map}^*(-, S^2) \underset{(186)}{\simeq} \pi_0 \text{Map}^*(-, \Omega S^2). \quad (78)$$

Just like the 2-sphere has a canonical comparison map $1^2 : S^2 \rightarrow B^2\mathbb{Z}$ (75) whose induced cohomology operation [85, Def. 2.3] extracts ordinary 2-cohomology classes from 2-cohomotopy charges

$$\tilde{\pi}^2(-) \simeq \pi_0 \text{Map}^*(-, S^2) \xrightarrow{(1^2)_*} \pi_0 \text{Map}^*(-, B^2\mathbb{Z}) \simeq \tilde{H}^2(-; \mathbb{Z}),$$

so its loop space has the looped comparison map $\Omega 1^2 : \Omega S^2 \rightarrow \Omega B^2\mathbb{Z} \simeq_f B\mathbb{Z}$ inducing the cohomology operation

$$\pi_0 \text{Map}^*(-, \Omega S^2) \xrightarrow{(\Omega 1^2)_*} \pi_0 \text{Map}^*(-, B\mathbb{Z}) \simeq \tilde{H}^1(-; \mathbb{Z})$$

which makes precise how 2-cohomotopical flux observables refine ordinary electromagnetic flux observables (15).

It is now immediate to compute the observables on covariantized 2-Cohomotopical solitonic flux on the plane, and there turns out to be essentially a single such observable (Prop. 3.9 below), to be denoted $\hat{\zeta}$ — but it will take us the better part of the remainder of this section to identify this observable with the braiding phase (2).

Proposition 3.9 (2-Cohomotopical flux monodromy on the plane). *The spaces \mathcal{H} of topological quantum states (21) of solitonic flux quantized in 2-Cohomotopy on the plane, are representations of the group of integers:*

$$\pi_1 \left(\text{Map}_0^*(\mathbb{R}_{\cup\{\infty\}}^2, S^2) // \text{Diff}^+(\mathbb{R}^2) \right) \simeq \mathbb{Z}, \quad (79)$$

hence defined by a single unitary operator $\hat{\zeta}$:

$$\begin{aligned} \mathbb{Z} &\longrightarrow \text{U}(\mathcal{H}) \\ n &\longmapsto (\hat{\zeta})^n. \end{aligned} \quad (80)$$

¹⁸ The loop space ΩS^2 (78) of the 2-sphere has received attention as a classifying space also in [199, Def. 1.1], there called the classifying space for “line bundles” (with a Polish “l”), and has been related to configuration spaces of points in [201][199], reminiscent of the role they play for us in relating to group-completed configuration spaces in §3.1 below.

In [49, p 94] the homotopy groups of ΩS^2 are recognized as natural sub-quotients of braid groups, which is a tantalizing observation in our context, whose further relevance however remains unclear to us at this point.

Proof. The mapping class group of the plane is trivial (Prop. 2.21), so that by Prop. 2.24 the only contribution is from the flux monodromy group itself, which is readily found to be

$$\begin{aligned}
\pi_1 \operatorname{Map}_0^*(\mathbb{R}_{\cup\{\infty\}}^2, S^2) &\simeq \pi_1 \operatorname{Map}_0^*(S^2, S^2) && \text{by (179)} \\
&\simeq \pi_0 \operatorname{Map}_0^*(S^3, S^2) && \text{by (175)} \\
&\simeq \pi_3(S^2) \\
&\simeq \mathbb{Z} && \text{Hopf fibration.}
\end{aligned}$$

identifying the observable $\hat{\zeta}$ with the representation image of the flux monodromy which is classified by the *Hopf fibration*. \square

Below in §3.3 we see this same observable $\hat{\zeta}$ appearing on any closed oriented surface, and further below in §3.4 we prove that on the torus it is identified with the operator of multiplication by a root of unity, $\zeta = e^{\pi i \frac{p}{K}}$, for $\gcd(p, K) = 1$, as expected for FQH braiding phases (2).

However, in the remainder of this subsection here, we work out what the observable $\hat{\zeta}$ actually observes about 2-cohomotopical flux, and show that these indeed are braiding processes of flux quanta.

Understanding solitonic flux processes. In view of the Pontrjagin construction, we regard $\operatorname{Map}^*(\mathbb{R}_{\cup\{\infty\}}^2, S^2)$ as the (moduli) space of solitonic flux on the plane, quantized in 2-Cohomotopy, and hence of its loop space $\Omega \operatorname{Map}_0^*(\mathbb{R}_{\cup\{\infty\}}^2, S^2)$ — where loops begin and end on the constant map, cf. (182), representing the *flux vacuum* — as the space of “*vacuum scattering processes*”, where flux solitons (of positive charge) and anti-solitons (of negative charge) pairwise emerge out of the vacuum, move around, and finally pair-annihilate back into the vacuum.

Of these vacuum processes, our observables (79) detect their homotopy classes $[-]$ (hence their “topological” or “deformation” class in physics jargon), labeled by the integers:

$$\begin{array}{ccc}
\text{space of vacuum processes of} & \Omega \operatorname{Map}_0^*(\mathbb{R}_{\cup\{\infty\}}^2, S^2) & \xrightarrow{[-]} \pi_1 \operatorname{Map}^*(\mathbb{R}_{\cup\{\infty\}}^2, S^2) \simeq \mathbb{Z} \\
\text{solitonic flux on the plane} & & \text{topological deformation} \\
& & \text{classes of these process}
\end{array}$$

Our task is hence to understand these processes, on the left, and how they are observed, on the right.

To this end, the first step is to better understand the moduli space $\operatorname{Map}_0^*(\mathbb{R}_{\cup\{\infty\}}^2, S^2)$ itself:

2-Cohomotopical moduli via the Segal-Okuyama theorem. By the Pontrjagin theorem (Prop. 3.4), one might naïvely expect that $\operatorname{Map}^*((\mathbb{R}^2)_{\cup\{\infty\}}, S^2)$ is the *configuration space* (cf. [48][157]) of *signed* points (hence of \pm unit charged soliton cores) in the plane, topologized such that continuous curves in the space reflect creation/annihilation of oppositely charged pairs as illustrated on the left of Fig. P. However, this is not quite correct as it misses the normal framing carried also by the cobordisms, according to Pontrjagin’s theorem 3.4.

A correct model [214] is by configurations of *intervals with signed endpoints* (stringy solitons between unit charged “quarks”) all parallel to one coordinate axis and topologized such as to reflect creation/annihilation of oppositely charged pairs of endpoints.

In traditional Chern-Simons theory, the enhancement of links to framed links is a standard but *ad hoc* way to “regularize” the corresponding *Wilson loop observable*, which at face value actually diverges according to traditional quantization methods. Here with 2-Cohomotopical flux quantization, this framing correction is automatically arises from the moduli space of solitonic flux quantized in 2-Cohomotopy.

$$\#(L) := \sum_{\substack{c \in \\ \text{crssngs}(L)}} \#(c) = \sum_i \text{frm}(L_i) + \sum_{i,j} \text{lnk}(L_i, L_j). \quad (82)$$

This has the following effect.

Proposition 3.11 (Vacuum loops of 2-cohomotopical flux through the plane [249, Thm. 3.18, Rem. 4.4]).

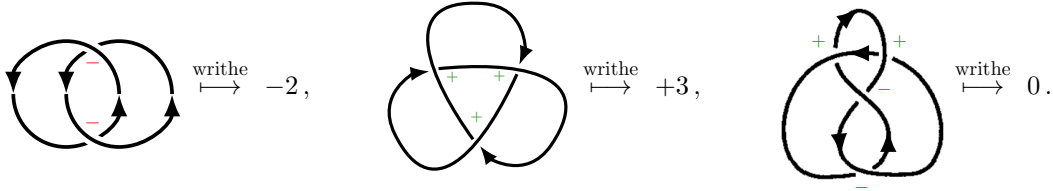
- (i) *Loops of 2-cohomotopical flux moduli on the plane are identified with framed links topologized to reflect link cobordism, whence their homotopy class is identified with the framed link's total crossing number $\#L$ (its “writhe”, cf. [213, p. 523]):*

$$\begin{array}{ccc} \Omega \text{Map}_0^*(\mathbb{R}_{\mathbb{U}\{\infty\}}^2, S^2) & \xrightarrow{[-]} & \pi_1 \text{Map}_0^*(\mathbb{R}_{\mathbb{U}\{\infty\}}^2, S^2) \simeq \mathbb{Z} . \\ L & \mapsto & \#L \\ \text{framed link} & & \text{writhe} \end{array}$$

- (ii) *Moreover, the pure states on these observables are labeled by $\zeta \in \mathbb{U}(1)$ and give the expectation values*

$$\langle \zeta | L | \zeta \rangle = \zeta^{\#L}. \quad (83)$$

Example 3.12 (Writhe for basic knots and links).



Remark 3.13 (Comparison to Wilson loop link observables of abelian Chern-Simons theory).

- (i) For Chern-Simons theory with abelian gauge group $\mathbb{U}(1)$ it is widely understood by appeal to path-integral arguments ([300, p. 363][96, p. 169] following [216]) that the quantum observables are labeled by framed links L , often considered as equipped with labels (charges) q_i on their i th connected component L_i and the expectation value of these observables in these states is the charge-weighted exponentiated framing- and linking numbers (Def. 3.10) as follows ([300, p. 363], cf. review e.g. in [195, (5.1)]):

$$W_k(L) = \exp \left(\frac{2\pi i}{k} \left(\sum_i q_i^2 \text{frm}(L_i) + \sum_{i,j} q_i q_j \text{lnk}(L_i, L_j) \right) \right). \quad (84)$$

- (ii) However, with the charges q_i being integers, we may equivalently replace a q_i -charged component L_i with q_i unit-charged parallel copies of L_i , and hence assume without loss of generality that $\forall_i q_i = 1$. With this, we observe that the Chern-Simons expectation values (84) coincide with our pure topological quantum states (83):

$$W_k(L) = \exp \left(\frac{2\pi i}{k} \left(\sum_i \text{frm}(L_i) + \sum_{i,j} \text{lnk}(L_i, L_j) \right) \right) \stackrel{(82)}{=} \exp \left(\frac{2\pi i}{k} \#(L) \right).$$

Remark 3.14 (Comparison to the literature on Hopfions). The above construction and arguments are closely related to the traditional analysis of “Hopf-charged solitons” (or *Hopfions*) on \mathbb{R}^3 in Lagrangian field theories like the Skyrme-Faddeev model ([79], review in [78][188, §9.11]) or the 3D $\mathbb{C}P^1$ sigma-model (cf. Rem. 3.2 and [226]), as can be seen from Prop. 3.9 and its proof. Indeed, the authors of [298] (review in [87, §II.C]) already proposed (by at least implicit appeal to the Pontrjagin theorem) to identify the worldlines of such Hopfions on $\mathbb{R}^{1,2}$ with that of anyons in much the way that we find is the case here. What seems not to have been noticed before in previous literature is the finer identification of Prop. 3.11 using the finer theorems by Segal-Okuyama [214][249] and the resulting identification with Chern-Simons Wilson loop observables (Rem. 3.13), which, we suggest, fully nails down this identification on Euclidean space.

Moreover, what seems to have found no attention before is that this identification of anyons further refines to more general 3-spaces of the form $\mathbb{R}^1 \times \Sigma^2$ (Def. 2.1), which is what we proceed to discuss.

3.2 On the sphere

We briefly discuss 2-cohomotopical flux on the 2-sphere $\Sigma_0^2 \simeq S^2$, meaning the actual 2-sphere whose point-at-infinity is disjoint, in contrast to the 2-sphere $(\Sigma_{0,0,1}^2)_{\cup\{\infty\}} \simeq \mathbb{R}_{\cup\{\infty\}}^2$ that arose as the one-point compactification of the plane in §3.1.

In order for this actual 2-sphere to be realized as an FQH system in the laboratory, one would not only need to produce a 2-dimensional electron gas of spherical topology, but also make it enclose the endpoint of a very long and thin solenoid to approximate a magnetic monopole at its center that would produce magnetic flux going *radially* through the spherical electron gas — which is a tall order. Nevertheless, in FQH theory this situation is often considered as an instructive hypothetical case study.

Also for us here, the following analysis of the 2-sphere case serves in §3.5 as an intermediate step in identifying the braiding phases of solitonic anyons on the plane, that we obtained in the previous §3.1, with corresponding braiding phases on n -punctured disks, hence in experimentally accessible situations.

While it is classical that

$$\pi_1 \text{Map}^*(\Sigma_{0,0,1}^2, S^2) \underset{(175)}{\simeq} \pi_3(S^2) \simeq \mathbb{Z}$$

(generated by the Hopf fibration $S^3 \rightarrow S^2$), the analogous statement for the un-based sphere needs another argument:

Lemma 3.15 (Fundamental group of unpointed endomaps of the 2-sphere [137, Thm. 5.3(1)][172, Lem. 3.1]). *The fundamental group of the space of (un-pointed) maps $S^2 \rightarrow S^2$ is, in the connected component of maps of Hopf degree $k \in \mathbb{Z}$ (Def. 3.6), isomorphic to:*

$$\pi_1(\text{Map}(S^2, S^2), \deg = k) \simeq \mathbb{Z}/(2k).$$

In our notation (9) and (182) this means, in particular, that in the component of vanishing Hopf degree we have:

$$\pi_1 \text{Map}(\Sigma_0^2, S^2) \simeq \mathbb{Z}. \quad (85)$$

Lemma 3.16 (Solitonic 2-cohomotopical flux monodromy on plane and 2-sphere are identified). *The canonical map*

$$\pi_1 \text{Map}^*((\Sigma_{0,0,1}^2)_{\cup\{\infty\}}, S^2) \xrightarrow[\sim]{\pi_1(p^*)} \pi_1 \text{Map}^*((\Sigma_0^2)_{\cup\{\infty\}}, S^2) \underset{(180)}{\simeq} \pi_1 \text{Map}(\Sigma_0^2, S^2) \quad (86)$$

is an isomorphism.

Proof. The long exact sequence of homotopy groups (189) induced by the evaluation map $\text{Map}(S^2, S^2) \xrightarrow{\text{ev}} S^2$ (176) is, in the relevant part, of the form

$$\begin{array}{ccccccc} \pi_2(S^2) & \xrightarrow{n} & \pi_1 \text{Map}^*(S^2, S^2) & \xrightarrow{\pi_1(p^*)} & \pi_1 \text{Map}(S^2, S^2) & \longrightarrow & \pi_1(S^2) \\ \wr | \text{ Hopf degree} & & \wr | \text{ Hopf fibration} & & \wr | (85) & & \wr | \\ \mathbb{Z} & & \mathbb{Z} & & \mathbb{Z} & & 1, \end{array}$$

where the map on the left must be multiplication by some integer n , by the freeness of \mathbb{Z} . But then exactness on the left implies that the middle map must send n to 0, while exactness on the right means that the middle map is surjective hence that $n = 0$, which by exactness on the left implies that the middle map is also injective, hence bijective. \square

Remark 3.17 (Identifying braid phase observable on sphere). In terms of 2-cohomotopically quantized flux, this says that the algebra of topological flux observables on the plane and on the sphere are both isomorphic to $\mathbb{C}[\mathbb{Z}]$ and canonically identified as such, whence the discussion in §3.1 gives that in an irreducible representation on a (1-dimensional) Hilbert space the generator $1 \in \mathbb{Z}$ acts as multiplication by some phase factor $\zeta \in U(1) \subset \mathbb{C}$:

$$\begin{aligned} \mathbb{Z} &\longrightarrow U(\mathcal{H}_{S^2}) \\ 1 &\longmapsto \hat{\zeta} : |\psi\rangle \mapsto \zeta |\psi\rangle. \end{aligned}$$

In the following §3.3 and §3.4 we see that further compatibility of this phase observable $\hat{\zeta}$ with its incarnation on the torus restricts it to a primitive root of unity, as expected in FQH systems.

3.3 On closed surfaces

While spherical FQH systems as in §3.2 are just barely plausible as having experimental realizations, for closed surfaces of more general genus $g \in \mathbb{N}$ this quickly becomes only less plausible as g increases. Nevertheless, the notoriously rich theoretical predictions for these somewhat hypothetical FQH systems on closed surfaces are crucial intermediate stages in understanding FQH systems in general and hence also in experimentally accessible situations. Notably, it is by demanding compatibility (functoriality) of quantum states on the disk with those on the torus that we find the braiding phase ζ from §3.1 (on the disk!) to be constrained to a root of unity (by Prop. 3.23 and Thm. 3.42 below) as expected for FQH systems (2).

The new key we now offer for understanding FQH systems on closed surfaces via topological flux quantization is the following Prop. 3.19, whose roots in algebraic topology date back half a century ([127], following [137]), but which gains new meaning when understood now as being about observables on topological flux quantized in 2-cohomotopy:

Definition 3.18 (Integer Heisenberg group, level 2, (cf. [106, p 7][104, Def 2.4][105, Def 2.3][26, (8)][27, (1.2)]).

By the *integer Heisenberg group at level=2*¹⁹, to be denoted $\widehat{\mathbb{Z}^{2g}}$ for $g \in \mathbb{N}$, we refer to the group

$$\widehat{\mathbb{Z}^{2g}} := \left\{ (\vec{a}, \vec{b}, n) \in \mathbb{Z}^g \times \mathbb{Z}^g \times \mathbb{Z}, \quad (\vec{a}, \vec{b}, n) \cdot (\vec{a}', \vec{b}', n') := (\vec{a} + \vec{a}', \vec{b} + \vec{b}', n + n' + \vec{a} \cdot \vec{b}' - \vec{a}' \cdot \vec{b}) \right\}. \quad (87)$$

which is the central extension of the free abelian group \mathbb{Z}^{2g} by (cf. [36, §IV]) the 2-cocycle shown shaded in (87), hence by the restriction of the canonical symplectic form on \mathbb{R}^{2g} along $\mathbb{Z}^{2g} \hookrightarrow \mathbb{R}^{2g}$, hence is the subgroup $\widehat{\mathbb{Z}^{2g}} \hookrightarrow \widehat{\mathbb{R}^{2g}}$ of the ordinary Heisenberg group (cf. [224, §9.5]) on integer-valued elements.

Proposition 3.19 (Monodromy of 2-cohomotopical flux through closed surfaces). *The 2-cohomotopical flux monodromy (20) over a closed oriented surface Σ_g^2 (9), for $g \in \mathbb{N}$, forms the \mathbb{Z} -extension of the free abelian group \mathbb{Z}^{2g} (15)*

$$\begin{array}{ccccc} 1 \rightarrow \pi_1 \text{Map}_0^*(S_{\cup\{\infty\}}^2, S^2) & \longrightarrow & \pi_1 \text{Map}_0^*(\Sigma_g^2, S^2) & \longrightarrow & \pi_1 \text{Map}_0^*(\bigvee_g (S_a^1 \vee S_b^1), S^2) \rightarrow 1 \\ & & \downarrow \wr & & \downarrow \wr \\ \mathbb{Z} & \longrightarrow & \widehat{\mathbb{Z}^{2g}} & \twoheadrightarrow & \mathbb{Z}^{2g}, \end{array} \quad (88)$$

that is the integer Heisenberg group at level=2 (87).

Proof. The top short exact sequence is due to [127, Thm 1 & p 6], recalled as Lem. A.14 in the appendix. The identification of the group on the left is by 3.15, whence the resulting group extension must be classified by

$$\begin{aligned} H_{\text{grp}}^2(\mathbb{Z}^{2g}; \mathbb{Z}) &\simeq H^2(B\mathbb{Z}^{2g}; \mathbb{Z}) \\ &\simeq H^2((T^2)^g; \mathbb{Z}) \\ &\simeq H^2(T^2; \mathbb{Z})^g \\ &\simeq \mathbb{Z}^g. \end{aligned} \quad (89)$$

The identification of the resulting group extension as having class $(2, \dots, 2) \in \mathbb{Z}^g$ is due to [179, Thm. 1] (cf. the formulas on the previous page there), see also [156, Cor. 7.6]. Observing then that the unit extension class $(1, \dots, 1) \in \mathbb{Z}^g$ is given by *either* of these two group cocycles:

$$\begin{aligned} (\mathbb{Z}^{2g}) \times (\mathbb{Z}^{2g}) &\longrightarrow \mathbb{Z} \\ ((\vec{a}, \vec{b}), (\vec{a}', \vec{b}')) &\longmapsto +\vec{a} \cdot \vec{b}' \\ ((\vec{a}, \vec{b}), (\vec{a}', \vec{b}')) &\longmapsto -\vec{a}' \cdot \vec{b} \end{aligned}$$

which are readily seen to be cohomologous, it follows that the extension class $(2, \dots, 2)$ is represented by (87), as claimed. \square

Remark 3.20 (Modular equivariance of integer Heisenberg group). Our way of casting Prop. 3.19 — with the extension cocycle highlighted in (87) identified as the standard symplectic form on \mathbb{Z}^{2g} (instead of the

¹⁹By the “level” we here mean the extension class in \mathbb{Z}^g (89), and by “level = n ” we mean the element $(n, \dots, n) \in \mathbb{Z}^{2g}$. The integer Heisenberg group at level = 1 (cf. [182, p 232][68, p 213]) is isomorphic to groups of certain upper triangular integer matrices (cf. [70, p 35][68, p 299]), and in this form is commonly considered in pure algebra and group theory (cf. [182, (1.1)]). In contrast, the case of relevance here, with level = 2 — which is the case of subgroups of the actual eponymous Heisenberg group from quantum mechanics — seems not to have found much attention in the pure algebra/group theory literature.

cohomologous $2\vec{a} \cdot \vec{b}'$ used in the original derivations [179, Thm. 1][156, Cor. 7.6]) — makes manifest that the integral symplectic group $\mathrm{Sp}_{2g}(\mathbb{Z})$ acts by group automorphisms on $\widehat{\mathbb{Z}^{2g}}$ (covering its defining action \mathbb{Z}^{2g} and necessarily acting trivially on the center $\mathbb{Z} \hookrightarrow \widehat{\mathbb{Z}^{2g}}$, cf. [104, (2.6)]):

$$\begin{array}{ccc} & & \mathrm{Aut}(\widehat{\mathbb{Z}^{2g}}) \\ & \nearrow \exists! & \downarrow \\ \mathrm{Sp}_{2g}(\mathbb{Z}) & \xrightarrow{\text{canonical}} & \mathrm{Aut}(\mathbb{Z}^{2g}) \end{array} \quad (90)$$

Better yet, with (22), this action has a geometrical interpretation as the diffeomorphism action on the 2-cohomotopical flux monodromy over closed surfaces:

Proposition 3.21 (Mapping class group action on 2-cohomotopical flux monodromy on closed surface). *Under the identification of Prop. 3.19, the action (45) of the mapping class group of Σ_g^2 on the 2-cohomotopical flux monodromy over Σ_g^2 is via its symplectic representation (33) on the underlying \mathbb{Z}^{2g} extended by the trivial action on the center \mathbb{Z} :*

$$\begin{array}{ccc} \mathrm{MCG}(\Sigma_g^2) & \xrightarrow{(45)} & \mathrm{Aut}(\pi_1 \mathrm{Map}(\Sigma_g^2, S^2)) \\ \downarrow (33) & & \uparrow (88) \\ \mathrm{Sp}_{2g}(\mathbb{Z}) & \xrightarrow{(90)} & \mathrm{Aut}(\widehat{\mathbb{Z}^{2g}}) \\ & & \downarrow \\ & & \mathrm{Aut}(\mathbb{Z}^{2g}) \end{array} \quad (91)$$

Proof. The first statement follows by inspection of the construction of (88) as spelled out in §A.4: By Lem. A.15 there, the action of $\mathrm{MCG}(\Sigma_g^2)$ on $\mathbb{Z}^{2g} \hookrightarrow \pi_1 \mathrm{Map}(\Sigma_g^2, S^2)$ is identified with its action on $H^1(\Sigma_g^2; \mathbb{Z})$, for which it is classical (33) that it is through $\mathrm{Sp}_{2g}(\mathbb{Z})$, as claimed. But then the action on the center of $\widehat{\mathbb{Z}^{2g}}$ is uniquely fixed to be trivial, by (90). \square

Functoriality. Next, we observe some form of functoriality in maps between closed surfaces of the result of Prop. 3.19, the crucial implication being the identification of the braid phase observable ζ (2) across all closed surfaces. To this end, write:

$$\Sigma_g \xleftarrow{q_g^{g+1}} \Sigma_{g+1} \quad (92)$$

for the surjective homeomorphism (197) given by contracting one pair of edges in the standard fundamental polygon (cf. Prop. A.10) of Σ_{g+1} . For instance, for $g \in \{1, 2\}$ the maps

$$\begin{array}{ccc} \text{sphere} & & \text{torus} \\ \Sigma_0^2 \simeq S^2 & \xleftarrow{q_0^1} & \Sigma_1^2 \simeq \mathbb{T}^2 \\ & & \downarrow q_1^2 \\ & & \Sigma_2^2 \end{array} \quad (93)$$

are given, for q_1^2 , by sending the purple and blue colored edges to the point \bullet , and for q_2^1 by sending also the remaining edges to the point.

Lemma 3.22 (Pullback of 2-cohomotopical flux monodromy on closed surfaces). *Under the above identification (88), the surjections q (92) map to the canonical inclusion of Heisenberg groups obtained by adjoining the generators corresponding to the contracted edges:*

$$\begin{array}{ccc} \pi_1 \mathrm{Map}^*(\Sigma_g^2, S^2) & \xrightarrow{(q_g^{g+1})^*} & \pi_1 \mathrm{Map}^*(\Sigma_{g+1}^2, S^2) \\ \downarrow \wr & & \downarrow \wr \\ \widehat{\mathbb{Z}^{2g}} & \hookrightarrow & \widehat{\mathbb{Z}^{2g+2}} \end{array} \quad (94)$$

Proof. The proof of Lem. A.14 shows that the short exact sequence (3.19) is natural in Σ_g^2 , whence we have a commuting diagram of this form:

$$\begin{array}{ccccccc}
\mathbb{Z} & \hookrightarrow & \widehat{\mathbb{Z}^{2g}} & \twoheadrightarrow & \mathbb{Z}^{2g} \\
\downarrow \wr & & \downarrow \wr & & \downarrow \wr \\
1 \longrightarrow \pi_1 \text{Map}_0(S^2, S^2) & \longrightarrow & \pi_1 \text{Map}_0(\Sigma_g^2, S^2) & \longrightarrow & \pi_1 \text{Map}_0^*(V_g(S_a^1 \vee S_b^1), S^2) \longrightarrow 1 \\
\parallel & & \downarrow (q_g^{g+1})^* & & \downarrow \\
1 \longrightarrow \pi_1 \text{Map}_0(S^2, S^2) & \longrightarrow & \pi_1 \text{Map}_0(\Sigma_{g+1}^2, S^2) & \longrightarrow & \pi_1 \text{Map}_0^*(V_{g+1}(S_a^1 \vee S_b^1), S^2) \longrightarrow 1 \\
\downarrow \wr & & \downarrow \wr & & \downarrow \wr \\
\mathbb{Z} & \hookrightarrow & \widehat{\mathbb{Z}^{2g+2}} & \twoheadrightarrow & \mathbb{Z}^{2g+2}.
\end{array}$$

This gives the claim. \square

Combination of Lem. 3.16 and (3.22) leads to:

Proposition 3.23 (Identifying central braid phase generator across surfaces). *The canonical comparison map between solitonic flux monodromy on the plane and on Σ_g , $g \in \mathbb{N}$, identifies the central generators as the braiding phase observable of §3.1:*

$$\begin{array}{ccccc}
\pi_1 \text{Map}^*((\mathbb{R}^2)_{\cup\{\infty\}}, S^2) & \xrightarrow[\text{(86)}]{\pi_1(q^*)} & \pi_1 \text{Map}(\Sigma_0^2, S^2) & \xrightarrow[\text{(94)}]{\pi_1((q_0^g)^*)} & \pi_1 \text{Map}(\Sigma_g^2, S^2) \\
\downarrow \sim & & \downarrow \sim & & \downarrow \sim \\
\mathbb{Z} & \xrightarrow{\sim} & \mathbb{Z} & \hookrightarrow & \widehat{\mathbb{Z}} \\
1 & \mapsto & 1 & \mapsto & \begin{pmatrix} [0] \\ [0], 1 \end{pmatrix}
\end{array}$$

Remark 3.24 (Braiding phases of solitonic anyons on closed surfaces). In generalization of Rem. 3.17, Prop. 3.23 says that given 2-cohomotopical flux quantum states on Σ_g^2 , hence a unitary representation of the 2-cohomotopical flux monodromy on Σ_g^2 , hence of the integer Heisenberg group $\widehat{\mathbb{Z}^{2g}}$ (88), for $g \in \mathbb{N}$, then the central observable $\widehat{\zeta}$

$$\begin{array}{ccccc}
\mathbb{Z} & \hookrightarrow & \widehat{\mathbb{Z}^{2g}} & \longrightarrow & \text{U}(\mathcal{H}) \\
1 & \mapsto & \begin{pmatrix} [0] \\ [0], 1 \end{pmatrix} & \mapsto & \widehat{\zeta} : |\psi\rangle \mapsto \zeta |\psi\rangle, \\
& & \begin{pmatrix} [a] \\ [b], 0 \end{pmatrix} & \mapsto & \widehat{W}_{\begin{pmatrix} a \\ b \end{pmatrix}}
\end{array} \tag{95}$$

is to be understood as observing the braiding phases of solitonic flux according to §3.1, where the braiding happens within an open disk inside the surface.

The next section §3.4 implies that thereby the braiding phase is restricted to primitive roots of unity and then to be identified with the anyon braiding phase $\zeta = e^{\pi i \frac{p}{K}}$ as seen in FQH systems.

Remark 3.25 (Braiding phases of defect anyons on closed surfaces). There is the following alternative way to exhibit the central generator of (88) as witnessing braiding processes: For $g \geq 1$ and $n \geq 3$, let $\widehat{\mathbb{Z}^{2g}}'$ denote the level=2 extension of \mathbb{Z}^{2g} as in (87) but by the finite cyclic group $\mathbb{Z}_{2(n+g-1)}$. This is evidently the quotient of the integer Heisenberg group (87) by the $2(n+g-1)$ st power of the central element (whence its irreducible unitary representations ρ force the braiding phase ζ (95) to be a $(2(n+g-1))$ st root of unity), but it turns out to also be [20, (10)] (cf. also [26, Cor. 8][27, Prop. 1.1]) the quotient of the surface braid group $\text{Br}_n(\Sigma_g^2)$ (23) which identifies each Artin braid generator b_i (26) with this central generator:

$$\begin{array}{ccccc}
\text{Br}_n(\Sigma_g^2) & \twoheadrightarrow & \widehat{\mathbb{Z}^{2g}}' & \xrightarrow{\rho} & \text{U}(\mathcal{H}) \\
b_i & \mapsto & \begin{pmatrix} [0] \\ [0], 1 \end{pmatrix} & \mapsto & \widehat{\zeta}.
\end{array}$$

Since the surface braid group exhibits the motion of *defect anyons* with potentially non-abelian braiding (cf. Rem. 2.13 and §3.8) this may be understood as saying that in the special case where defect anyons on Σ_g^2 degenerate to abelian anyons their effective observable algebra coincides with that of the abelian solitonic anyons where the central generator again reflects the abelian braiding phase ζ .

Remark 3.26 (Comparison to expectations in FQH systems). It is folklore in the literature on anyons that the phase ζ (17) in the quantum algebra of observables on the torus may be understood as witnessing anyon braiding phases in FQH systems (cf. [209, (30)][280, (3.33)][268, §4.3]). The above results substantiate this intuition by rigorous derivation of the statement from 2-cohomotopical flux quantization and as such lend support to our hypothesis that 2-Cohomotopy is the correct effective flux-quantization law for FQH systems.

3.4 On the torus

While transverse magnetic flux through a toroidal 2d electron gas is not readily realized experimentally (cf. footnote 10), effective field theories of flux on arbitrary surfaces tend to be characterized by their theoretical predictions for the torus, notably through the dimension and modular transformation properties of the Hilbert space of states (the “topological order” [290][292], cf. Prop. 3.36 below).

Therefore, a major example of the phenomena in §2.2 is the following derivation of quantum states of 2-cohomotopically quantized topological flux on the torus, which reproduces the *modular data* [103] of U(1)-Chern-Simons theory (Rem. 3.37 below) – in fact of *spin* Chern-Simons theory (8).

Our task in identifying the 2-cohomotopical flux quantum states over the torus is, by Prop. 3.19, to classify the (finite-dimensional unitary) representation of the integer Heisenberg group (87) after covariantization (21):

Proposition 3.27 (Diffeomorphism action over torus is canonical modular action). *The action (45) of $\text{MCG}(\Sigma_1^2) \simeq \text{SL}_2(\mathbb{Z})$ (30) on $\pi_1 \text{Map}_0^*((\Sigma_1^2)_{\cup\{\infty\}}, S^2) \simeq \widehat{\mathbb{Z}^2}$ (87) is the defining action of $\text{Sp}_2(\mathbb{Z}) \simeq \text{SL}_2(\mathbb{Z})$ on \mathbb{Z}^2 and trivial on the center, whence the flux monodromy group (45) over the torus with its pp-spin structure (34) is*

$$\text{MCG}(\Sigma_1^2) \ltimes \pi_1 \left(\text{Map}_0^*((\Sigma_1^2)_{\cup\{\infty\}}, S^2) \right) \simeq \text{SL}_2(\mathbb{Z}) \ltimes \widehat{\mathbb{Z}^2} \quad (96)$$

while for the torus equipped with the aa-spin structure it is correspondingly the subgroup $\text{MCG}(\Sigma_1^2)^{\text{aa}} \ltimes \widehat{\mathbb{Z}^2}$ (35).

Proof. This is a special case of Prop. 3.21 □

Representations of $\text{SL}_2(\mathbb{Z})$ and of $\widehat{\mathbb{Z}^2}$ separately are well-studied, but representations of their semidirect product (96) may not have received attention. We next find that its irreps — and hence the topological states of 2-cohomotopically quantized flux on the torus — single out the quantum states of U(1)-Chern-Simons theory generalized them from unit-fractional braiding angles $\pi \frac{1}{K}$ to general braiding angles $\pi \frac{p}{K}$ as expected for FQH systems.

We proceed incrementally, starting with some generalities, then finding the quantum states at $\theta = 1/K$ for even K (Prop. 3.36) as usual in Chern-Simons/CFT theory (Rem. 3.37), and then eventually generalizing this construction to other fractions, ultimately by taking the spin-structures on tori into account (Prop. 3.41 below). The conclusion is Thm. 3.42 below.

The key novel aspect of our discussion of these integer and cyclic Heisenberg groups is that we consider representations that extend to their semidirect product with a modular group (Prop. 45), hence that admit a *covariantization* in the sense of Def. 2.11. Since it turns out that the key effect of the covariantization is all in the action of the generator S (31) which is shared by both the pp- and the aa-spin mapping class groups we will say for short that:

Definition 3.28 (Covariantizable representations of integer Heisenberg group). A linear representation of $\widehat{\mathbb{Z}^2}$ is *covariantizable* if it extends to a representation of the semidirect product with the subgroup

$$\mathbb{Z}_4 \simeq \langle S \rangle \subset \text{SL}_2(\mathbb{Z}) \quad (97)$$

of the modular group (cf. Prop. 3.27) that is generated by S alone.

In order to analyze such extensions, we first note the following elementary facts:

Lemma 3.29 (Extending representations along normal subgroup inclusions, cf. [143, pp 175]). *Given $H \hookrightarrow G$ a normal subgroup inclusion and $\rho : H \rightarrow \text{GL}(\mathcal{H})$ a \mathbb{C} -linear representation, say that $\widehat{\rho} : G \rightarrow \text{GL}(\mathcal{H})$ is an extension if $\iota^* \widehat{\rho} = \rho$. — We have:*

(i) *Any extension $\widehat{\rho}$ exhibits ρ as isomorphic to its g -translate representations:*

$$\forall_{g \in G} \quad \rho \simeq \rho^g, \quad \text{where } \rho^g := \rho(\text{Ad}_g(-)) . \quad (98)$$

(ii) If ρ is irreducible then any two extensions $\widehat{\rho}, \widehat{\rho}'$ differ at most by tensoring with some multiplicative character of G/N :

$$\forall_{g \in G} \quad \widehat{\rho}'(g) = d(gN) \cdot \widehat{\rho}(g), \quad \text{for some } d : G/N \rightarrow \mathbb{C}^\times.$$

Proof. (i) We have for $h \in H$:

$$\begin{aligned} \widehat{\rho}(g) \circ \rho(h) \circ \widehat{\rho}^{-1} &= \widehat{\rho}(g) \circ \widehat{\rho}(h) \circ \widehat{\rho}(g^{-1}) \\ &= \widehat{\rho}(ghg^{-1}) \\ &= \rho(ghg^{-1}) \\ &\equiv \rho^g(h), \end{aligned}$$

showing that $\widehat{\rho}(g)$ serves as an intertwiner that exhibits the claimed isomorphism.

(ii) We have, for $h \in H$ and $g \in G$:

$$\begin{aligned} (\widehat{\rho}'(g) \circ \widehat{\rho}(g)^{-1}) \circ \rho(h) \circ (\widehat{\rho}'(g) \circ \widehat{\rho}(g)^{-1})^{-1} &= \widehat{\rho}'(g) \circ \widehat{\rho}(g^{-1}) \circ \rho(h) \circ \widehat{\rho}(g) \circ \widehat{\rho}'(g^{-1}) \\ &= \widehat{\rho}'(g) \circ \rho(g^{-1}hg) \circ \widehat{\rho}'(g^{-1}) \\ &= \rho(h), \end{aligned}$$

showing that the difference of the two extensions for any g commutes with all the ρ -operators. Therefore Schur's lemma (201) implies that this difference is a multiple $d(g)$ of the identity operator. That this is multiplicative in g and depends only on the coset of g follows by the extension properties of $\widehat{\rho}$ and $\widehat{\rho}'$. \square

Lemma 3.30 (Finite-dimensional Heisenberg irreps and roots of unity). *If a unitary representation of the integer Heisenberg group, $(-): \widehat{\mathbb{Z}^2} \rightarrow \text{U}(\mathcal{H})$, is finite-dimensional and irreducible, then the central observable $\widehat{\zeta}$ (95) is given by multiplication with a root of unity: $\widehat{\zeta} = \zeta \cdot \text{id}$ and $\exists_{n \in \mathbb{N}_{>0}} \zeta^n = 1$.*

Proof. First, with the representation assumed irreducible and since $\widehat{\zeta}$ commutes with all other representation operators, Schur's lemma (201) implies that there is $\zeta \in \mathbb{C}$ with $\widehat{\zeta} = \zeta \cdot \text{id}$. Further, since $\widehat{W}_{[0]}$ is unitary we may find an eigenvector, to be denoted $|0\rangle$, with non-vanishing eigenvalue to be denoted ξ :

$$\widehat{W}_{[0]}|0\rangle = \xi|0\rangle. \quad (99)$$

Now the commutation relation says that $\widehat{W}_{[1]}$ is a corresponding raising operator, in that the elements

$$|n\rangle := (\widehat{W}_{[1]})^n|0\rangle \in \mathcal{H} \quad (100)$$

are further eigenvectors of $\widehat{W}_{[0]}$ with eigenvalue $\zeta^{2n}\xi$:

$$\widehat{W}_{[0]}|n\rangle \equiv \widehat{W}_{[0]}(\widehat{W}_{[1]})^n|0\rangle \stackrel{(105)}{=} \zeta^{2n}(\widehat{W}_{[1]})^n\widehat{W}_{[0]}|0\rangle \stackrel{(99)}{=} \zeta^{2n}(\widehat{W}_{[1]})^n\xi|0\rangle \equiv \zeta^{2n}\xi|n\rangle.$$

But by the assumption of finite-dimensionality there can only be finitely many distinct eigenvalues, which is evidently equivalent to ζ being a root of unity. \square

Definition 3.31 (Cyclic Heisenberg group). For $o \in \mathbb{N}_{>0}$, we denote the o -cyclic version of the integer Heisenberg group (87) by

$$\widehat{\mathbb{Z}_o^{2g}} := \left\{ ([\vec{a}], [\vec{b}], [n]) \in \mathbb{Z}_o^g \times \mathbb{Z}_o^g \times \mathbb{Z}_o, \quad ([\vec{a}'], [\vec{b}'], [n']) := ([\vec{a} + \vec{a}'], [\vec{b} + \vec{b}'], [n + n' + \vec{a} \cdot \vec{b}' - \vec{a}' \cdot \vec{b}]) \right\}, \quad (101)$$

where $\mathbb{Z}_o := \mathbb{Z}/o\mathbb{Z}$ denotes the o -cyclic group and $[-]: \mathbb{Z} \rightarrow \mathbb{Z}_o$ denotes the quotient map.

Lemma 3.32 (Covariant fin-dim reps of integer Heisenberg group that come from cyclic Heisenberg).

If a finite-dimensional irreducible unitary representation $(-): \widehat{\mathbb{Z}^2} \rightarrow \text{U}(\mathcal{H})$ is covariantizable (Def. 3.28), then it is the pullback of a representation of the o -cyclic Heisenberg group (101) along the quotient coprojection $[-]: \widehat{\mathbb{Z}^2} \twoheadrightarrow \widehat{\mathbb{Z}_o^2}$, where o may be taken to equal

$$\text{ord}(\zeta) := \min_{n \in \mathbb{N}_{>0}} (\zeta^n = 1), \quad (102)$$

the order of ζ in $\widehat{\zeta} = \zeta \text{id}$ (Lem. 3.30).

Proof. The point is that the operator $\widehat{W}_{[o]}^{[0]}$ (103) commutes with all other representation operators, by (105) and (102):

$$\widehat{W}_{[o]}^{[0]} \circ \widehat{W}_{[1]}^{[0]} = \underbrace{\zeta^{2o}}_1 \widehat{W}_{[1]}^{[0]} \circ \widehat{W}_{[o]}^{[0]},$$

and analogously for $\widehat{W}_{[0]}^{[o]}$. Therefore Schur's lemma (201) implies that these operators act as some multiple of the identity operator. We proceed to show that this multiple is unity:

As the operator indices range, the multiples $w_{[oa][ob]} \in \mathbb{C}^\times$, given by $\widehat{W}_{[oa][ob]} = w_{[oa][ob]} \text{id}$, constitute a group homomorphism

$$w_{[-]} : (o\mathbb{Z})^2 \longrightarrow \mathbb{C}^\times.$$

As such, this is an invariant of the isomorphism class of the representation (because under isomorphisms the operators get conjugated, whence all these scalar multiplication operators are preserved). But since the isomorphism class of the representation is preserved by $\langle S \rangle$ (by Lem. 3.29, using here the assumption of extension), this means that $w_{[-]}$ is preserved by the S -matrix, which implies the desired statement as follows:

$$w_{[o]}^{[o]} = w_{S[o]}^{[o]} = w_{[-o]}^{[o]} = w_{[o]}^{-1} = w_{S[o]}^{-1} = w_{[o]}^{-1} \quad \Rightarrow \quad w_{[o]}^{[o]} = 1 = w_{[0]}^{[0]}.$$

This shows that the representation is pulled back from a representation of the o -cyclic Heisenberg group, as claimed. \square

Lemma 3.33 (Pullback from cyclic Heisenberg preserves irreducibility). *For $o \in \mathbb{N}_{>0}$, given a representation of the cyclic Heisenberg group $\rho : \widehat{\mathbb{Z}}_0^2 \rightarrow \text{GL}(\mathcal{H})$, then its pullback $p^*\rho : \widehat{\mathbb{Z}}^2 \xrightarrow{p} \widehat{\mathbb{Z}}_0^2 \xrightarrow{\rho} \text{GL}(\mathcal{H})$ is irreducible iff ρ is.*

Proof. In general, a representation ρ is irreducible if its pullback $p^*\rho$ is, since pullback preserves direct sums (so that we would get a contradiction if it were a non-trivial direct sum). The converse does not hold generally but it holds here where the quotient coprojection sends group generators to group generators. \square

Lemma 3.34 (Some irreps of the integer Heisenberg group). *The following formulas define finite-dimensional irreducible unitary representations of the integer Heisenberg group (87):*

$$\begin{aligned} \mathcal{H} &:= \mathbb{C}^D \simeq \text{Span}_{\mathbb{C}}(|0\rangle, |1\rangle, \dots, |D-1\rangle) \\ \langle n_1 | n_2 \rangle &:= \delta_{n_1 n_2} \end{aligned} \quad \left\{ \begin{array}{l} \widehat{\mathbb{Z}}^2 \longrightarrow \text{U}(\mathcal{H}) \\ \left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}, 0 \right) \mapsto \widehat{W}_{[1]}^{[0]} : |n\rangle \mapsto \zeta^{2n} |n\rangle \\ \left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}, 0 \right) \mapsto \widehat{W}_{[1]}^{[0]} : |n\rangle \mapsto |n+1 \bmod D\rangle \\ \left(\begin{bmatrix} 0 \\ 0 \end{bmatrix}, 1 \right) \mapsto \widehat{\zeta} : |n\rangle \mapsto \zeta |n\rangle, \end{array} \right. \quad (103)$$

for ζ a root of unity of order $\text{ord}(\zeta)$ (102) and

$$D := \begin{cases} \text{ord}(\zeta) & | \quad \text{ord}(\zeta) \in 2\mathbb{N} + 1 \\ \text{ord}(\zeta)/2 & | \quad \text{ord}(\zeta) \in 2\mathbb{N}. \end{cases}$$

Here, the representation of general group elements follows from applying the group law to the above generators, for instance:

$$\zeta^{-1} \widehat{W}_{[1]}^{[0]} \widehat{W}_{[0]}^{[1]} = \widehat{W}_{[1]}^{[1]} = \zeta^{+1} \widehat{W}_{[0]}^{[1]} \widehat{W}_{[1]}^{[0]}. \quad (104)$$

Proof. First to note that the generating group commutators in $\widehat{\mathbb{Z}}^2$ (87) are evidently respected by the formulas (103), so that they do define a representation of $\widehat{\mathbb{Z}}^2$:

$$\widehat{W}_{[1]}^{[0]} \circ \widehat{W}_{[0]}^{[1]} = \zeta^2 \widehat{W}_{[0]}^{[1]} \circ \widehat{W}_{[1]}^{[0]}. \quad (105)$$

To see that this is irreducible, by Lem. 3.33 we may equivalently show that these $\widehat{\mathbb{Z}}^2$ -representations are irreducible as $\widehat{\mathbb{Z}}_o^2$ -representations for $o := \text{ord}(\zeta)$. This being a finite group (of order $|\widehat{\mathbb{Z}}_o^2| = o^3$) we may invoke Schur-orthonormality (206) of irreps: The $\widehat{\mathbb{Z}}_o^2$ character components of the representations are, for $o = \text{ord}(\zeta) \in \mathbb{N}_{>0}$

and $a, b, c \in \{0, \dots, o-1\}$:

$$\chi_{\left(\begin{smallmatrix} a \\ b \end{smallmatrix}, c\right)} := \text{tr}(\widehat{W}_{\left[\begin{smallmatrix} a \\ b \end{smallmatrix}\right]} \circ \widehat{\zeta}^c) = \begin{cases} 0 & \text{(evidently)} & | b \neq 0 \bmod D \\ 0 & \stackrel{(221)}{=} \zeta^c \sum_{n=0}^{D-1} \zeta^{2n} & \left| \begin{array}{l} b = 0 \bmod D, a \neq 0 \\ \text{mod } o \\ \text{mod } o/2 \end{array} \right| \begin{array}{l} o \in 2\mathbb{N} + 1 \\ o \in 2\mathbb{N} \end{array} \\ \zeta^c \cdot D & & | b = 0 \bmod D, a = 0 \bmod o \\ \zeta^{c+o} \cdot D & & | b = 0 \bmod D, a = o/2 \end{cases} \quad (106)$$

whose Schur-norm square is found to be unity:

$$\frac{1}{|\widehat{\mathbb{Z}^2}|} \sum_{a,b,c=0}^{o-1} \left| \chi_{\left(\begin{smallmatrix} a \\ b \end{smallmatrix}, c\right)} \right|^2 = \left\{ \begin{array}{ll} \frac{1}{o^3} \sum_{a \in \{0\}} \sum_{b \in \{0\}} \sum_{c=0}^{o-1} D^2 = \frac{D^2}{o^2} & | o \in 2\mathbb{N} + 1 \\ \frac{1}{o^3} \sum_{a \in \{0, o/2\}} \sum_{b \in \{0, o/2\}} \sum_{c=0}^{o-1} D^2 = 4 \frac{D^2}{o^2} & | o \in 2\mathbb{N} \end{array} \right\} = 1,$$

signifying irreducible representations. \square

Proposition 3.35 (Classification of covariantizable irreps of the integer Heisenberg group). *Any finite-dimensional irreducible unitary representation of $\widehat{\mathbb{Z}^2}$ which is covariantizable (Def. 3.28) must be isomorphic to one according to Lem. 3.34.*

Proof. Lem. 3.32 with Lem. 3.33 imply that we are dealing with an irreducible representation of a cyclic Heisenberg group on which the central generator $\widehat{\zeta}$ is given by multiplication with a root of unity. With this, the statement for even $\text{ord}(\zeta) \in 2\mathbb{Z}$ is an instance of the *Stone-von Neumann theorem* in its generalization due to Mackey, as reviewed in [221, §4.1].

For odd $o := \text{ord}(\zeta)$, we give the following elementary argument (which follows an evident proof strategy that, however, seems to require the assumption of odd $\text{ord}(\zeta)$ to go through). Namely, as in the proof of Lem. 3.30 we find elements $|n\rangle \in \mathcal{H}$ (100) with $\widehat{W}_{\left[\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right]} |n\rangle = \zeta^{2n} \xi |n\rangle$. Now the assumption that $\text{ord}(\zeta)$ is odd, hence that there is no n with $2n = \text{ord}(\zeta)$, implies that the eigenvalues $\zeta^{2n} \xi$ are all distinct for $n \in \{0, 1, \dots, \text{ord}(\zeta) - 1\}$, and hence so must be the corresponding eigenvectors $|n\rangle$. But by Lem. 3.32 we have $|n+o\rangle = |n\rangle$, so that we have constructed a representation of $\widehat{\mathbb{Z}_o^2}$ on the o -dimensional linear span of the $|n\rangle$, $n \in \{0, \dots, o-1\}$, which:

- (i) must be the whole of the given representation, by the latter's assumed irreducibility,
- (ii) is of the claimed form (3.34) — except possibly for the factor ξ in (99).

Hence, to conclude, it is now sufficient to show that this irrep is isomorphic to that of the same form but with $\xi = 1$. For this, we compute the representation character components and observe that these come out as in (106) except for a factor of ξ^o in the third line. But $\widehat{W}_{\left[\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}\right]} = \text{id}$ implies $\xi^o = 1$, whence our character coincides with and hence (204) our irrep must be isomorphic to the claimed one. \square

We now turn to the actual construction of the modular covariantization of these representations (first in the special case $\theta = 1/K$ for even K , then generalized below).

Proposition 3.36 (Basic 2-Cohomotopical quantum states over the torus).

Unitary representations of $\widehat{\mathbb{Z}^2} \rtimes \text{SL}_2(\mathbb{Z})$ (96) — and hence spaces of quantum states (21) for 2-cohomotopical flux over the torus — irreducible already in their restriction to $\widehat{\mathbb{Z}^2}$, are obtained for all even positive integers

$$K \in 2\mathbb{N}_{>0} \quad \text{with} \quad \zeta := e^{\frac{\pi i}{K}}, \quad (107)$$

by the following formulas:

$$\mathcal{H}_{T^2} := \mathbb{C}^K \simeq \text{Span}(|0\rangle, |1\rangle, \dots, |K-1\rangle) \quad \left\{ \begin{array}{ll} \text{SL}_2(\mathbb{Z}) \rtimes \widehat{\mathbb{Z}^2} \longrightarrow \text{U}(\mathcal{H}_{T^2}) \\ \left(\text{I}, \left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}, 0 \right) \right) \longmapsto \widehat{W}_{\left[\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right]} : |n\rangle \mapsto \zeta^{2n} |n\rangle \\ \left(\text{I}, \left(\begin{smallmatrix} 0 \\ 1 \end{smallmatrix}, 0 \right) \right) \longmapsto \widehat{W}_{\left[\begin{smallmatrix} 0 \\ 1 \end{smallmatrix}\right]} : |n\rangle \mapsto |(n+1) \bmod K\rangle \\ \left(\text{I}, \left(\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}, 1 \right) \right) \longmapsto \widehat{\zeta} : |n\rangle \mapsto \zeta |n\rangle \\ \left(S, \left(\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}, 0 \right) \right) \longmapsto \widehat{S} : |n\rangle \mapsto \frac{1}{\sqrt{K}} \sum_{\widehat{n}=0}^{K-1} \zeta^{2n\widehat{n}} |\widehat{n}\rangle \\ \left(T, \left(\begin{smallmatrix} 0 \\ 0 \end{smallmatrix}, 0 \right) \right) \longmapsto \widehat{T} : |n\rangle \mapsto e^{-\pi i/12} \zeta^{(n^2)} |n\rangle. \end{array} \right. \quad (108)$$

Proof. (i) That we have irreducible unitary representation of the subgroup $\widehat{\mathbb{Z}^{2g}}$ is Lem. 3.34, noting that $\text{ord}(\zeta) = 2K$ and $\dim(\mathcal{H}) \simeq K$.

(ii) To see that we also have a representation of the subgroup $\text{SL}_2(\mathbb{Z})$, it is sufficient to show that the operators \widehat{S} and \widehat{T} respect the relations (32). To that end, it is useful for the moment to abbreviate the phase factor of \widehat{T} as “ c_K ”, hence to write:

$$\widehat{T} = \frac{1}{c_K} e^{\frac{\pi i}{K} n^2} \quad \text{with} \quad c_K := e^{\pi i/12}. \quad (109)$$

Now first, we find

$$\begin{aligned} \widehat{S}\widehat{S}|n\rangle &\equiv \widehat{S}\left(\frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{2\pi i}{K} \widehat{n} n} |\widehat{n}\rangle\right) \\ &\equiv \sum_{\widehat{n}} \underbrace{\frac{1}{K} \sum_{\widehat{n}} e^{\frac{2\pi i}{K} \widehat{n} (n+\widehat{n})}}_{\delta_0(n+\widehat{n} \bmod K)} |\widehat{n}\rangle \\ &= |-n \bmod K\rangle \quad \text{by (221).} \end{aligned} \quad (110)$$

This immediately implies that $\widehat{S}^4 = \text{id}$ and that, with

$$\widehat{T}\widehat{S}|n\rangle = \frac{1}{k^{1/2} c_K} \sum_{\widehat{n}} e^{\frac{\pi i}{K} (\widehat{n}^2 + 2\widehat{n} n)} |\widehat{n}\rangle,$$

also $\widehat{S}^2(\widehat{T}\widehat{S}) = (\widehat{T}\widehat{S})\widehat{S}^2$. Hence the only remaining relation to check is $(\widehat{T}\widehat{S})^3 = \text{id}$ or equivalently that

$$\widehat{T}^{-1} \circ \widehat{S}^{-1} \circ \widehat{T}^{-1} = \widehat{S} \circ \widehat{T} \circ \widehat{S}.$$

Unwinding the definitions gives

$$\begin{aligned} \widehat{T}^{-1}\widehat{S}^{-1}\widehat{T}^{-1}|n\rangle &= \widehat{T}^{-1}\widehat{S}^{-1}e^{-\frac{\pi i}{K} n^2}|n\rangle \\ &= \widehat{T}^{-1}\frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{\pi i}{K} (-n^2 - 2\widehat{n} n)} |\widehat{n}\rangle \\ &= \frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{\pi i}{K} (-n^2 - 2\widehat{n} n - \widehat{n}^2)} |\widehat{n}\rangle \\ &= \frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{-\frac{\pi i}{K} (\widehat{n} + n)^2} |\widehat{n}\rangle \end{aligned} \quad \text{and} \quad \begin{aligned} \widehat{S}\widehat{T}\widehat{S}|n\rangle &= \widehat{S}\widehat{T}\frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{2\pi i}{K} \widehat{n} n} |\widehat{n}\rangle \\ &= \widehat{S}\frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{\pi i}{K} (2\widehat{n} n + \widehat{n}^2)} |\widehat{n}\rangle \\ &= \frac{1}{K} \sum_{\widehat{n}, \widehat{\widehat{n}}} e^{\frac{\pi i}{K} (2\widehat{n} n + \widehat{n}^2 + 2\widehat{\widehat{n}} \widehat{n})} |\widehat{\widehat{n}}\rangle \\ &= \frac{1}{\sqrt{K}} \sum_{\widehat{n}} \underbrace{\frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{\pi i}{K} (\widehat{n} + (n+\widehat{n}))^2}}_{c_K^3} e^{-\frac{\pi i}{K} (n+\widehat{n})^2} |\widehat{\widehat{n}}\rangle. \end{aligned} \quad (111)$$

The term over the brace is a constant in n and \widehat{n} , by the assumption that k is even²⁰, whence the relation is satisfied if the normalization factor c_K in (109) is chosen as claimed, because the quadratic Gauss sum here evaluates to

$$c_K = \left(\frac{1}{\sqrt{K}} \sum_{n=0}^{K-1} e^{\frac{\pi i}{K} n^2}\right)^{1/3} \stackrel{(225)}{=} (e^{\pi i/4})^{1/3} = e^{\pi i/12}. \quad (112)$$

(iii) Finally, we need to see that the semidirect product structure is respected, hence that

$$\widehat{W}_{M[a]} \widehat{M}|n\rangle = \widehat{M} \widehat{W}_{[a]} |n\rangle \quad \forall \begin{cases} M & \in \text{SL}_2(\mathbb{Z}) \\ (a, b) & \in \mathbb{Z}^2 \\ |n\rangle & \in \mathcal{H}_{T^2}. \end{cases}$$

It is sufficient to check this on the generators, where explicit computation yields, indeed:

$$\begin{aligned} \widehat{W}_{S[1]} \widehat{S}|[n]\rangle &\equiv \widehat{W}_{[1]}^{-1} \left(\frac{1}{\sqrt{|K|}} \sum_{\widehat{n}} e^{\frac{2\pi i}{K} \widehat{n} n} |\widehat{n}\rangle \right) & \widehat{W}_{S[1]} \widehat{S}|n\rangle &\equiv \widehat{W}_{[1]} \left(\frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{2\pi i}{K} \widehat{n} n} |\widehat{n}\rangle \right) \\ &= \frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{2\pi i}{K} (\widehat{n}+1) n} |\widehat{n}\rangle & &= \frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{2\pi i}{K} \widehat{n}} e^{\frac{2\pi i}{K} \widehat{n} n} |\widehat{n}\rangle \\ &= e^{\frac{2\pi i}{K} n} \widehat{S}|n\rangle & &= \frac{1}{\sqrt{K}} \sum_{\widehat{n}} e^{\frac{2\pi i}{K} \widehat{n} (n+1)} |\widehat{n}\rangle \\ &= \widehat{S} \widehat{W}_{[1]} |n\rangle, & &= \widehat{S} \widehat{W}_{[1]} |n\rangle, \end{aligned}$$

²⁰ Since the summands in $\sum_{n=0}^{K-1} e^{\frac{\pi i}{K} n^2}$ are K -periodic for even K , $e^{\frac{\pi i}{K} (n+K)^2} = e^{\frac{\pi i}{K} n^2} e^{\pi i(2n+K)} \stackrel{K \text{ even}}{=} e^{\frac{\pi i}{K} n^2}$, the sum is invariant under replacing $n \mapsto n + a$ for $a \in \mathbb{N}$.

and

$$\begin{aligned}
\widehat{W}_{T[0]} \widehat{T}|n\rangle &\equiv \widehat{W}_{[0]} \frac{1}{c_K} e^{\frac{\pi i}{K} n^2} |n\rangle & \widehat{W}_{T[0]} \widehat{T}|n\rangle &\equiv \frac{1}{c_K} \widehat{W}_{[0]} \widehat{W}_{[1]} e^{\frac{\pi i}{K}} e^{\frac{\pi i}{K} n^2} |n\rangle \\
&= \frac{1}{c_K} e^{\frac{2\pi i}{K} n} e^{\frac{i\pi}{K} n^2} |n\rangle & &= \frac{1}{c_K} e^{\frac{\pi i}{K} (n^2 + 2n + 1)} |n+1\rangle \\
&= \widehat{T} \widehat{W}_{[1]} |n\rangle, & &= \frac{1}{c_K} e^{\frac{\pi i}{K} (n+1)^2} |n+1\rangle \\
& & &= \widehat{T} \widehat{W}_{[1]} |n\rangle,
\end{aligned}$$

where in the first step of the last case, we used (104). \square

Remark 3.37 (Comparison to modular data of abelian Chern-Simons theory on the torus). The content of Prop. 3.36 captures the *modular data* (cf. [103]) of abelian Chern-Simons theory:

- (i) The algebra (105) of the $\widehat{W}_{[a]}$ is just that expected [280, (5.28)] of quantum observables for anyonic topological order on the torus as predicted [33, (17)][217, (32)][106, Prop. 2.2] by abelian Chern-Simons theory at *level* $k = K/2 \in \mathbb{Z}$ (8), and equivalently by U(1)-WZW conformal field theory [285, (4.3-4)].
- (ii) Similarly, the operators \widehat{S} and \widehat{T} according to (108) implement the known modular group representation on quantum states of abelian Chern-Simons theory [290, (5.3)][187, p 65] (following [117][100, (5,7)]) and equivalently of conformal characters of the U(1) 2dCFT [103, Ex. 1].²¹
- (iii) The fact of Prop. 3.36 that, jointly, these operators constitute a representation of the semidirect product of the modular group with the integer Heisenberg group is maybe implicit in the literature but does not seem to be citable.

On the other hand, in regard to FQH systems the content of Prop. 3.36 captures only the (experimentally delicate) braiding angle *unit fractions* $\theta = 1/K = 1/2k$ with even denominator and is hence unsatisfactory by itself. The traditional way to obtain non-unit filling fractions is to generalize to U(1)ⁿ-Chern-Simons theory for $n > 1$ with non-trivial “ K -matrices” [292, (2.31)]. But we next see that non-unit fractions and then also odd denominators are already exhibited by 2-cohomotopical flux quanta, revealed by looking for further irreps of the covariantized flux monodromy group:

Lemma 3.38 (More general representations). *The same formulas (108) constitute a representation more generally, for*

$$(K, p) \in \mathbb{N}_{>0} \times \mathbb{Z} \quad \text{s.t.} \quad \begin{cases} Kp \in 2\mathbb{Z}, \\ \sum_{n=0}^{K-1} e^{\pi i \frac{p}{K} n^2} \neq 0 \end{cases} \quad \text{with } \zeta := e^{\pi i \frac{p}{K}}. \quad (113)$$

Proof. Straightforward inspection shows readily that the proof of Prop. 3.36 goes through verbatim with all factors of $e^{\pi i/K}$ generalized to ζ (113) — the only step that needs attention is that from (111) to (112): But for the term over the brace in (111) to be constant in n and \widehat{n} it is clearly sufficient that K or p are even, hence that their product Kp is even, in which case the normalization factor c_K in (112) can be found unless that term is zero. These are exactly the two conditions assumed in (113). \square

Proposition 3.39 (General 2-cohomotopical quantum states over the pp-torus). *The representation (108) exists and is irreducible already when restricted to $\widehat{\mathbb{Z}}^2$, iff*

$$\begin{aligned}
&(K \in 2\mathbb{N}_{>0} \quad \text{and} \quad p \in 2\mathbb{Z} + 1) \quad \text{and} \quad \gcd(p, K) = 1 \quad \text{with} \quad \zeta := e^{\pi i \frac{p}{K}}. \\
\text{or } &(K \in 2\mathbb{N} + 1 \quad \text{and} \quad p \in 2\mathbb{Z}_{\neq 0})
\end{aligned} \quad (114)$$

Proof. To see that these representations exist as claimed, by Lem. 3.38 it just remains to check that the Gauss sum does not vanish: Indeed, for K even and p odd we have

$$\sum_{n=0}^{K-1} e^{\pi i \frac{p}{K} n^2} \underset{\text{by (227)}}{=} e^{\pm \pi i \sqrt{K}} \underbrace{(K/2 | p)}_{\neq 0 \text{ by (224)}} \neq 0,$$

²¹The exponentiated “central charge” $c_K = e^{2\pi i/24}$ appearing in (109) and (112) seems to be missed in the earlier literature [290, (5.3)][187, p 65][117][100, (5,7)] (and also the necessity of K being even, at this point, is not stated by some of these authors) but is now well-known to appear, cf. [103, (3.1b)][264, (26)].

while for K odd and p even we have

$$\sum_{n=0}^{K-1} e^{\pi i \frac{p}{K} n^2} = \sum_{n=0}^{K-1} e^{\frac{2\pi i}{K} (p/2) n^2} \stackrel{\text{by (223)}}{=} \underbrace{(p/2|K)}_{\neq 0 \text{ by (224)}} \underbrace{\sum_{n=0}^{K-1} e^{\frac{2\pi i}{K} n^2}}_{\neq 0 \text{ by (222)}} \neq 0.$$

Then to see that these representations are irreducible already when restricted to $\widehat{\mathbb{Z}^2}$: By the assumption that $\gcd(p, K) = 1$ we have

$$\text{ord}(\zeta) \equiv \text{ord}(e^{\pi i p/K}) = \begin{cases} 2K & | \quad K \text{ even (since then } p \text{ odd)} \\ K & | \quad K \text{ odd (since then } p \text{ even)}. \end{cases} \quad (115)$$

Recalling that the dimension of the representation is K in either case, this implies irreducibility by Lem. 3.34. \square

With this, we have realized all braiding phase fractions that have a factor of 2 either in their numerator or their denominator. Before proceeding to find all the remaining fractions, we note:

Remark 3.40 (Relation to bosonic FQH systems). Besides the standard FQH effect for 2D electron gases in strong magnetic fields, it turns out that also *rotating* Bose-Einstein condensates may exhibit the FQH-effect (the angular momentum now playing the role of magnetic flux), if the constituent particles (atoms) have repulsive contact interactions [299]. The dominant filling fraction of such bosonic FQH systems is $\nu = 1/2$ (reviewed in [52, (79)]) and the principal series of fractions is $\nu = p/(p+1)$ of which the first few cases $\nu = 2/3, 3/4, 4/3, 5/4$ have been observed ([228][229], see also [52, p. 31]). These filling fractions are examples of those appearing in Prop. 3.39. Moreover, $\nu = 1/2k$ FQH states do appear also for electrons as soon as their spin states are no longer aligned [185], as well as for ordinary FQH *quasi*-particles when their flux coupling makes them behave like effective bosons (cf. [293, §7.3.3]). In summary, the fractions give by Prop. 3.39 are just those expected to be realizable *also* in those FQH systems whose constituents follow *Bose*-statistics instead of *Fermi*-statistics.

This makes sense if we now remember that our surfaces carry a spin structure (Rem. 2.3), and that in taking the mapping class group of the torus to be all of $\text{SL}_2(\mathbb{Z})$ we have so far implicitly considered the torus as equipped with the “trivial” spin structure “pp” (34). If instead we consider the torus as equipped with the aa-spin structure, as befits a discussion of fundamental *Fermions* on the torus, then the mapping class is only the subgroup $\text{MCG}(\Sigma_1^2)^{\text{aa}} \subset \text{SL}_2(\mathbb{Z})$ (35) and we have:

Proposition 3.41 (2-Cohomotopical quantum states over the aa-spin torus). *For all*

$$(K, p) \in \mathbb{N}_{>0} \times \mathbb{Z}, \quad \gcd(p, K) = 1 \quad \text{with} \quad \zeta := e^{\pi i \frac{p}{K}},$$

the formulas (108) define a representation of the covariantized flux monodromy group on Σ_1^2 equipped with the aa-spin structure (34), namely a homomorphism

$$\text{MCG}(\Sigma_1^2)^{\text{aa}} \ltimes \widehat{\mathbb{Z}^2} \longrightarrow \text{U}(\mathcal{H}_{T^2}),$$

which is irreducible already as a representation of $\widehat{\mathbb{Z}^2}$.

Proof. To see that these representations exist: items (i) and (iii) in the proof of Prop. 3.36 work verbatim as before, it just remains to verify the analog of part (ii) there, namely that restricted to the aa-spin mapping class group the need for K to be even goes away. (In the case $p = 1$, this is asserted in [103, bottom of p 9].) But it is immediate that the presentation (32) is respected

$$\widehat{S}^2 \circ \widehat{T}^2 = \widehat{T}^2 \circ \widehat{S}^2,$$

because $\widehat{S}^2|n\rangle = |[-n]\rangle$ (110) and because the operator \widehat{T}^2 (108) is manifestly even as a function of n (being given by multiplication with ζ^{n^2}). With this, irreducibility follows exactly as around (115). \square

Now we may conclude the situation over the torus:

Theorem 3.42 (Classification of 2-cohomotopical flux quantum states on the torus).

- (i) *Over the torus with aa-spin structure, the spaces a 2-cohomotopical flux quantum states (21), which are irreducible already before covariantization, are all isomorphic to the tensor product of 1D rep of $\text{MCG}(\Sigma_1^2)^{\text{aa}}$ with the representation \mathcal{H}_{T^2} (108) for some $\zeta = e^{\pi i \frac{p}{K}}$ with $\gcd(p, K) = 1$.*
- (ii) *The same holds over the torus with pp-spin structure except that here the tensor is with a group character of $\text{SL}_2(\mathbb{Z})$ and the braiding phase must satisfy the further condition that $Kp \in 2\mathbb{Z}$.*
- (iii) *All of these irreps have dimension (“ground state degeneracy”) equal to K .*

Proof. The claimed covariantizable irreps before covariantization are due to Prop. 3.35, and covariantizations subject to the stated conditions are established by Prop. 3.39 (for the pp-spin structure) and Prop. 3.41 (for the aa-spin structure). Then item (ii) of Lem. 3.29 says that this exhausts the possible covariantizations up to tensoring with an MCG-character, as claimed. \square

Remark 3.43 (Fine-structure of topological order of 2-cohomotopical flux on the torus).

- (i) There are precisely 12 distinct group characters $\mathrm{SL}_2(\mathbb{Z}) \rightarrow \mathbb{C}^\times$ (taking values in 12th roots of unity, cf. [50, Cor. 2.4]), so that Thm. 3.42 means that over the torus with pp-spin structure, there are for every admissible braiding phase $\zeta = e^{\pi i \frac{p}{K}}$ precisely 12 distinct irreducible spaces of quantum states, all 12 *essentially* as predicted by U(1)-Chern-Simons theory (cf. Rem. 3.37, except for the more general braiding phases ζ), in particular all having the same ground state degeneracy K , but differing subtly in the further fine-print of their “topological order”, namely differing in the one of 12 possible sets of extra phases which they pick up under modular transformations.
- (ii) On the other hand, for the aa-spin structure there are countably many distinct group homomorphisms $\mathrm{MCG}(\Sigma_1^2)^{\mathrm{aa}} \rightarrow \mathbb{C}^\times$ so that Thm. 3.42 means that, for each braiding phase in this situation, there are these countably many irreducible spaces of quantum states differing by complex phases in their modular transformation property.
- (iii) All this is under the assumption that the irreps of the semidirect product of the torus flux monodromy with the modular group remains an irrep under restriction to the torus flux monodromy group. We may drop this assumption to obtain yet more irreps over the torus, for instance by tensoring with higher-dimensional irreps of the modular group. Such representations should be the state spaces of systems with several anyon species that may transform into each other under actions of the modular group.

While such a situation seems not to have found attention in the FQH literature before, we next find that the analogous situation over punctured surfaces — where the mapping class group contains non-trivial braid groups — brings out higher-dimensional irreps of these mapping class groups and hence potentially reflects non-abelian (defect) anyons.

3.5 On punctured surfaces

Here we derive the observables on 2-cohomotopically quantized topological flux over *n-punctured surfaces*, which in practice will mean: Surfaces of conducting material where magnetic flux is *expelled* from (the vicinity of) n defects (cf. Rem. 3.8).

It is clear (cf. Prop. 3.44) that covariantization of these observables reveals an action of the surface’s n -braid group, but we find that the contribution to the observables from the flux monodromy (cf. Prop. 2.24) enhances this to the *framed* (or *ribbon*) braid group (130) as expected in generality for Chern-Simons theories (Rem. 3.52). Or rather, we find that what appears are subgroups of framed braids with restriction on their total framing, cf. §3.6.

Lemma 3.44 (Homotopy type of compactified n -punctured surface). *For $n \in \mathbb{N}_{\geq 1}$, the one-point compactification of the n -puncturing of a closed surface $\Sigma_{g,b}^2$ (9) is homotopy equivalent to the wedge sum (177) of that surface with $(n-1)$ circles:*

$$(\Sigma_{g,b,n}^2)_{\cup\{\infty\}} \simeq \Sigma_{g,b}^2 \vee \bigvee_{n-1} S^1. \quad (116)$$

Proof. For $n = 1$ the statement is immediate.

For $n = 2$ consider the topological space X obtained by attaching to $\Sigma_{g,b}^2$ an interval with endpoints glued to two distinct points $s_1, s_2 \in \Sigma_{g,b}^2$ (the would-be positions of the punctures), hence consider this pushout of topological spaces:

$$\begin{array}{ccc} S^0 & \xrightarrow{(s_1, s_2)} & \Sigma_{g,b}^2 \\ \downarrow & & \downarrow \\ D^1 & \xrightarrow[\iota_{\mathrm{ext}}]{(\mathrm{po})} & X. \end{array}$$

Moreover, consider another arc *inside* $\Sigma_{g,b}^2$ connecting these two points

$$D^1 \xleftarrow{\iota_{\mathrm{int}}} \Sigma_{g,b}^2 \hookrightarrow X.$$

Both of these arcs are evidently contractible sub-complexes of X , and so the quotient projections obtained by identifying either arc with a single point are weak homotopy equivalences (cf. [130, p 11]):

$$\begin{array}{ccc}
& \xleftarrow{\simeq_f} X \xrightarrow{\simeq_f} & \\
X/\iota_{\text{ext}}(D^1) & \xleftarrow[\simeq_f]{} & X/\iota_{\text{int}}(D^1)
\end{array} \quad (117)$$

Now, as indicated in (117), the “external” quotient on the left is evidently homeomorphic to the desired one-point compactification, while the “internal” quotient on the right is evidently homeomorphic to the claimed wedge sum. This proves the claim for $n = 2$.

The graphics on the right illustrates the situation for the case $g, b = 0$.

The general statement, including the case $n > 2$, follows analogously by attaching further arcs in this fashion, cf. Fig. A. \square

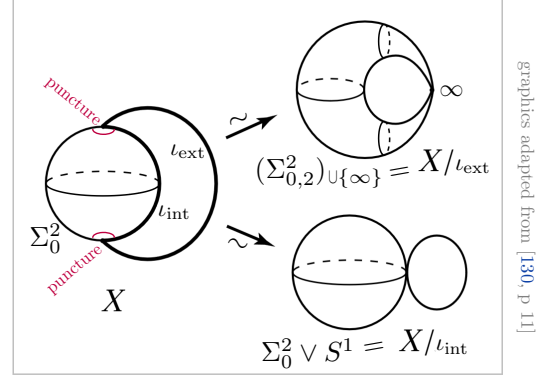
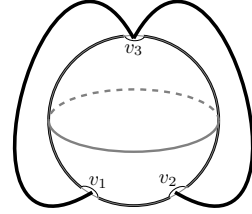


Figure A. There are several ways to attach arcs for $n > 2$ punctures in the above proof of Lem. 3.44, all equivalent in the resulting homotopy type. But for the analysis of braiding that follows in Thm. 3.48 it is useful (cf. Fig. S) to single out one puncture v_n and take the $n - 1$ arcs to connect this one puncture to each of the $n - 1$ remaining ones. The case $g, b = 0$ and $n = 3$ is illustrated on the right.



With this result in hand, it is straightforward to compute the solitonic flux monodromy (20) through a punctured surface:

Proposition 3.45 (Flux monodromy through punctured surface). *For $g, b \in \mathbb{N}$ and $n \in \mathbb{N}_{>0}$, we have an isomorphism*

$$\pi_1\left(\text{Map}_0^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, S^2)\right) \simeq \pi_1\left(\text{Map}_0^*(\Sigma_{g,b}^2, S^2)\right) \times \mathbb{Z}^{n-1}. \quad (118)$$

Proof. We may compute as follows:

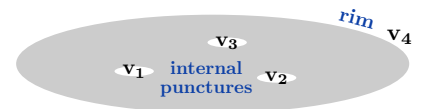
$$\begin{aligned}
\pi_1\left(\text{Map}_0^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, S^2)\right) &\simeq \pi_1\left(\text{Map}_0^*(\Sigma_{g,b}^2 \vee \bigvee_{n-1} S^1, S^2)\right) && \text{by (116)} \\
&= \pi_1\left(\text{Map}_0^*(\Sigma_{g,b}^2, S^2) \times \prod_{n-1} \text{Map}^*(S^1, S^2)\right) && \text{by (178)} \\
&= \pi_1\left(\text{Map}_0^*(\Sigma_{g,b}^2, S^2)\right) \times \prod_{n-1} \pi_1\left(\text{Map}^*(S^1, S^2)\right) \\
&= \pi_1\left(\text{Map}_0^*(\Sigma_{g,b}^2, S^2)\right) \times \prod_{n-1} \pi_2(S^2) && \text{by (175),}
\end{aligned}$$

whence the claim follows by $\pi_2(S^2) \simeq \mathbb{Z}$. \square

Remark 3.46 (Internal punctures and the rim of the sample).

- (i) In words, Prop. 3.45 may be understood as saying that the (monodromy of) solitonic flux on an n -punctured surface, for $n \geq 1$, has (not n but) $n - 1$ generators associated with $n - 1$ of the punctures, while associated with the remaining puncture is the solitonic flux of the surface itself, regarded as containing its point-at-infinity.
- (ii) Below in Thm. 3.48 we give further analysis of this situation, showing that it is governed by the $(n - 1)$ -dimensional “standard irrep” of the symmetric group Sym_n (Def. 3.47 below), but first to note that the discrepancy between n and $n - 1$ here has a clear physical meaning at least for $g = 0$, recalling that the n -punctured sphere is homeomorphically the $n - 1$ -punctured plane, hence the n -punctured open disk $\Sigma_{0,0,n}^2 \simeq \mathbb{R}^2 \setminus \{x_1, \dots, x_{n-1}\}$ (10):

We may think of the n th puncture as modeling the outer rim of the slab of material that is represented by Σ^2 , and of the remaining $n - 1$ punctures as the actual material defects as one will recognize them in the laboratory.



Definition 3.47 (Standard irrep of symmetric group). For $n \in \mathbb{N}_{>0}$ the “standard” \mathbb{C} -linear representation of the symmetric group Sym_n is the $n - 1$ -dimensional complex irrep classified by the partition $(n - 1, 1)$, hence by the Young diagram $\begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & & & \square \\ \hline \end{array}$: this is the quotient of the defining n -dimensional permutation representation by the trivial 1d representation (cf. [99, p 9 & Ex. 4.6][158, Def. 2.5]).

More concretely, with respect to the canonical linear basis

$$\mathbb{C}^n \simeq \mathbb{C}\langle v_1, v_2, \dots, v_n \rangle, \quad (119)$$

- the *defining permutation representation* of Sym_n is given, for $\sigma \in \text{Sym}_n$, by $\sigma(v_i) := v_{\sigma(i)}$, hence in terms of the Artin generators $(b_i)_{i=1}^{n-1}$ (26) by

$$b_i(v_j) = \begin{cases} v_{i+1} & | & j = i \\ v_i & | & j = i + 1 \\ v_j & | & \text{otherwise,} \end{cases}$$

- the trivial 1d irrep inside this is

$$\mathbf{1} \simeq \mathbb{C}\langle \underbrace{v_1 + v_2 + \dots + v_n}_{=: t} \rangle \hookrightarrow \mathbb{C}^n, \quad (120)$$

- and the *standard representation* is:

$$\mathbf{n-1} \simeq \mathbb{C}\langle \underbrace{v_i - v_n}_{=: e_i} \rangle_{i=1}^{n-1} \hookrightarrow \mathbb{C}^n, \quad (121)$$

with the Artin generators acting as (cf. Fig. S)

$$b_{i < n-1}(e_j) = \begin{cases} e_{i+1} & | & j = i \\ e_i & | & j = i + 1 \\ e_j & | & \text{otherwise} \end{cases} \quad (122)$$

$$b_{n-1}(e_j) = \begin{cases} e_j - e_{n-1} & | & j < n - 1 \\ -e_{n-1} & | & j = n - 1. \end{cases} \quad (123)$$

This is clearly the extension of scalars from a \mathbb{Z} -linear representation on \mathbb{Z}^{n-1} , which we shall hence refer to as the *standard \mathbb{Z} -linear representation* of Sym_n .

Hence over \mathbb{C} we have a reduction of the defining Sym_n -representation explicitly like this:

$$\begin{array}{ccc} t & \mapsto & v_1 + \dots + v_n \\ e_i & \mapsto & v_i - v_n \\ \mathbf{1} \oplus \mathbf{n-1} & \xrightarrow{\sim} & \mathbb{C}_{\text{def}}^n \in \text{Rep}_{\mathbb{C}}(\text{Sym}_n) \\ \frac{t - (e_1 + \dots + e_{n-1})}{n} & \longleftarrow & v_n \\ e_i + \frac{t - (e_1 + \dots + e_{n-1})}{n} & \longleftarrow & v_{i < n}, \end{array}$$

which also shows that over the integers we only have a monomorphism

$$\mathbf{1} \oplus \mathbf{n-1} \hookrightarrow \mathbb{Z}_{\text{def}}^n \in \text{Rep}_{\mathbb{Z}}(\text{Sym}_n) \quad (124)$$

with image the subgroup of n -tuples whose sum is divisible by n .

Now, the main result of this section:

Theorem 3.48 (Braid group action on flux monodromy over punctured surface). For $n \geq 1$, the action (45) of the Artin generators $b_i \in \text{Br}_n(\Sigma_{g,b,n}^2) \rightarrow \text{MCG}(\Sigma_{g,b,n}^2)$ (39) on the flux monodromy (118) over an n -punctured surface (9) is via the \mathbb{Z} -linear standard representation (Def. 3.47) of Sym_n on the \mathbb{Z}^{n-1} -factor and the identity on the first factor.

Proof. See Fig. S for illustration of the following analysis.

We may, without restriction, assume the punctures to jointly sit within an open disk inside the surface, $\{v_1, \dots, v_n\} \subset D^2 \subset \Sigma_{g,b}^2$. Then the one-point compactification $(\Sigma_{g,b,n}^2)_{\cup\{\infty\}}$ may be obtained by enlarging each puncture to a little missing open disk, erecting a little cone (horn) over the boundary of this disk, and making these cones bend over to make (just) their tips touch – this joint tip is the point ∞ . Let then

$$\ell_i \in \pi_0 \text{Map}^*(S^1, (\Sigma_{g,b,n}^2)_{\cup\{\infty\}}) \simeq \pi_1((\Sigma_{g,b,n}^2)_{\cup\{\infty\}}), \quad i \in \{1, \dots, n-1\} \quad (125)$$

denote the homotopy class of a loop that starts at ∞ , runs down through the i th cone and back through the n th cone

$$\ell_i := \begin{array}{c} \infty \\ \nearrow \\ i \quad n \\ \triangle \end{array}.$$

This is also illustrated by the dashed arrows in the top panel of Fig. S, which, for graphical convenience, shows not the cones themselves, but the arcs whose contraction to $\{\infty\}$ produces the cones, according to the proof of Lem. 2.21.

Now consider a map

$$f \in \pi_1 \text{Map}^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, S^2) \underset{(186)}{\simeq} \pi_0 \text{Map}((\Sigma_{g,b,n}^2) \cup \{\infty\}, \Omega S^2)$$

with its homotopy class decomposed, according to Prop. 3.45, as

$$\begin{aligned} \pi_0 \text{Map}^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, \Omega S^2) &\simeq \pi_0 \text{Map}^*((\Sigma_{g,b}^2) \cup \{\infty\}, \Omega S^2) \times \prod_{n-1} \pi_0 \text{Map}^*(S^1, \Omega S^2) \\ [f] &\longmapsto ([\tilde{f}], (e_1, \dots, e_{n-1})), \end{aligned} \quad (126)$$

where the integer classes $e_i := [f_* \ell_i] \in \mathbb{Z}$ come from the restriction of f to these loops ℓ_i (125):

$$\begin{aligned} \pi_0 \text{Map}^*(S^1, (\Sigma_{g,b,n}^2) \cup \{\infty\}) &\xrightarrow{f_*} \pi_0 \text{Map}^*(S^1, \Omega S^2) \simeq \mathbb{Z} \\ \ell_i &\longmapsto e_i. \end{aligned} \quad (127)$$

We need to determine the effect on these components of precomposition with a diffeomorphism of $\Sigma_{g,b,n}^2$ representing the mapping class of the i th Artin generator b_i (25). This diffeo may be chosen such that its unique continuous extension to the one-point compactification,

$$b_i \in \pi_0 \text{Map}^*((\Sigma_{g,b,n}^2) \cup \{\infty\}, (\Sigma_{g,b,n}^2) \cup \{\infty\}),$$

restricts for each $j \in \{1, \dots, n\}$ to a homeomorphism from the j th cone onto the σ_j th cone, where σ is the permutation underlying the Artin generator. Then direct inspection (illustrated in Fig. S) shows

- for $i < n - 1$ that

$$\begin{aligned} \pi_0 \text{Map}^*(S^1, (\Sigma_{g,b,n}^2) \cup \{\infty\}) &\xrightarrow{b_{i*}} \pi_0 \text{Map}^*(S^1, (\Sigma_{g,b,n}^2) \cup \{\infty\}) \xrightarrow{f_*} \pi_0 \text{Map}^*(S^1, \Omega S^2) \\ \ell_j &\longmapsto \left\{ \begin{array}{l} \ell_{i+1} \mid j = i \\ \ell_i \mid j = i + 1 \\ \ell_j \mid \text{otherwise} \end{array} \right\} \xrightarrow[(127)]{} \left\{ \begin{array}{l} e_{i+1} \mid j = i \\ e_i \mid j = i + 1 \\ e_j \mid \text{otherwise} \end{array} \right\}, \end{aligned} \quad (128)$$

- for $i = n - 1$ that

$$\begin{aligned} \pi_0 \text{Map}^*(S^1, (\Sigma_{g,b,n}^2) \cup \{\infty\}) &\xrightarrow{b_{i*}} \pi_0 \text{Map}^*(S^1, (\Sigma_{g,b,n}^2) \cup \{\infty\}) \xrightarrow{f_*} \pi_0 \text{Map}^*(S^1, \Omega S^2) \\ \ell_j &\longmapsto \left\{ \begin{array}{l} \ell_{n-1}^{-1} \circ \ell_j \mid j < n - 1 \\ \ell_{n-1}^{-1} \mid j = n - 1 \end{array} \right\} \xrightarrow[(127)]{} \left\{ \begin{array}{l} e_j - e_{n-1} \mid j < n - 1 \\ -e_{n-1} \mid j = n - 1 \end{array} \right\}. \end{aligned} \quad (129)$$

Comparison of these formulas with (122) and (123), respectively, identifies the precomposition by Artin generators b_i on maps f to act on their components (126) as

$$b_{i*} : ([\tilde{f}], (e_1, \dots, e_{n-1})) \longmapsto ([\tilde{f}], (b_i(e_1), \dots, b_i(e_{n-1}))),$$

where on the right $b_i(-) : \mathbb{Z}^{n-1} \rightarrow \mathbb{Z}^{n-1}$ is the action of the \mathbb{Z} -linear standard representation, as claimed.

Finally, the action on the class $[\tilde{f}]$ is trivial, as claimed, because this is via the image of b_i under two steps $\text{Br}_n(\Sigma_{g,b}^2) \rightarrow \text{MCG}(\Sigma_{g,b,n}^2) \rightarrow \text{MCG}(\Sigma_{g,b}^2)$ of the generalized Birman exact sequence (38), trivial by exactness. \square

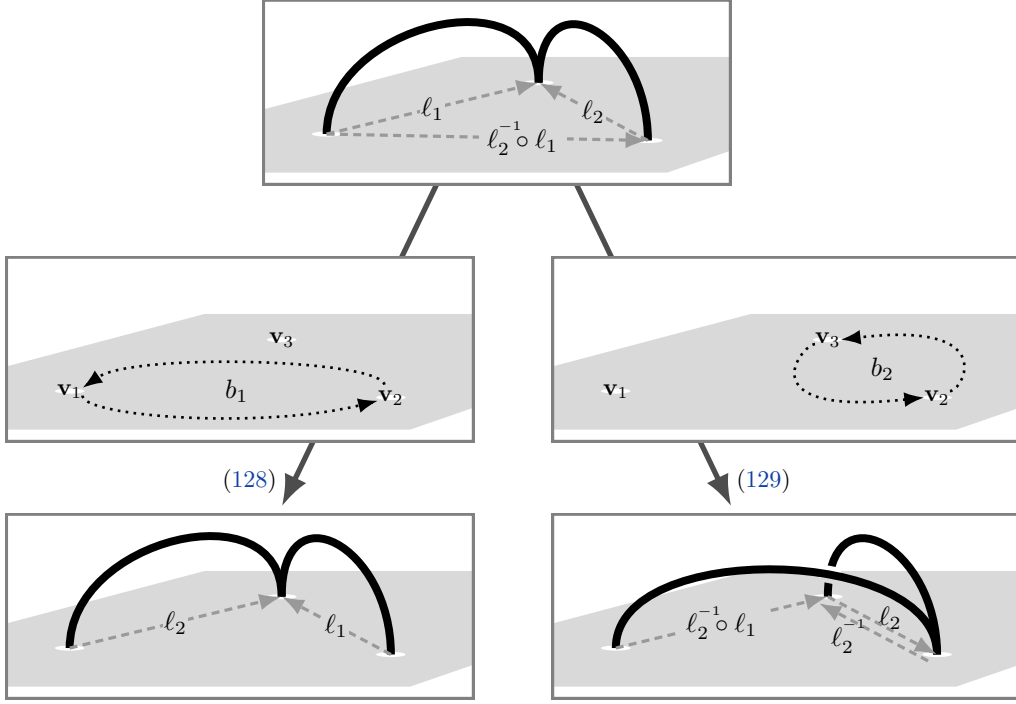


Figure S – Effect of braiding on compactification of punctured surface – proof of Thm. 3.48. Using that the homotopy type of the 1-point compactification $(\Sigma_{g,b,n}^2) \cup \{\infty\}$ of a punctured surface is obtained by attaching, for all $j < n$, an arc from the j th to the n th puncture (cf. the proof of Lem. 3.44), the above graphics shows the effect of the Artin generator (26) mapping classes $b_i \in \text{Br}_n(\Sigma_{g,b}^2) \rightarrow \text{MCG}(\Sigma_{g,b,n}^2)$ on these arcs — and on the indicated generators $\ell_j \in \pi_1((\Sigma_{g,b,n}^2) \cup \{\infty\}, \infty)$ obtained after contracting the arcs to $\{\infty\}$ — making manifest that after pushing each loop forward to ΩS^2 this gives the “standard” representation (Def. 3.47) of the symmetric group Sym_n .

3.6 On punctured disks

For recognizing, in terms of known group structures, the covariantized flux observables resulting from Thm. 3.48, recall:

Definition 3.49 (Framed/ribbon braid group [193][170], cf. [173, §3.2]). The *framed braid group* or *ribbon braid group* of a surface is the wreath product (213) of the ordinary surface braid group $\text{Br}_n(\Sigma^2) \rightarrow \text{Sym}_n$ (23) with the integers, hence its semidirect product with $\mathbb{Z}^n = \mathbb{Z} \times \cdots \times \mathbb{Z}$ via the action on the n factors:

$$\text{FBr}_n(\Sigma^2) := \mathbb{Z} \wr \text{Br}_n(\Sigma^2) \simeq \mathbb{Z}^n \rtimes_{\text{def}} \text{Br}_n(\Sigma^2). \quad (130)$$

A ribbon braid in (130) may be understood as a braid of ribbons which, besides braiding with each other, may each twist an integer number of times in themselves, as in Fig. FL: The closure of a ribbon braid is a framed link.

Similarly, via the integral *standard representation* of Sym_n (Def. 3.47) we may also form the variant $\mathbb{Z}^{n-1} \rtimes_{\text{st}} \text{Br}_n(\Sigma^2)$ of the framed braid group. This is the subgroup on the elements whose *total framing* number vanishes:

Lemma 3.50 (Framed braids of congruent total framing among all framed braids). *We have subgroup inclusions*

groups of framed braids	with vanishing total framing	with total framing divisible by n	with arbitrary total framing
	$\mathbb{Z}^{n-1} \rtimes_{\text{st}} \text{Br}_n(\Sigma^2)$ <small>standard rep</small>	$\mathbb{Z} \times (\mathbb{Z}^{n-1} \rtimes_{\text{st}} \text{Br}_n(\Sigma^2))$ <small>standard rep</small>	$\mathbb{Z}^n \rtimes_{\text{def}} \text{Br}_n(\Sigma^2) \equiv \text{FBr}_n(\Sigma^2)$ <small>defining rep</small>
	e_i	e_i	$v_i - v_n$
	b_i	t	$v_1 + \cdots + v_n$
	b_i	b_i	b_i

(131)

Proof. By (124). □

Proposition 3.51 (2-Cohomotopical covariant flux monodromy on punctured disks). *For $n \geq 1$, the 2-Cohomotopical covariant flux monodromy*

- (i) *on the n -punctured sphere $\Sigma_{0,0,n}^2$ is the group of framed spherical braids with total framing divisible by n (131), quotiented by $\text{rot} \in \text{Br}_n(\Sigma^2) \hookrightarrow \text{FBr}_n(S^2)$ (41):*

$$\pi_1\left(\text{Map}_0^*((\Sigma_{0,0,n}^2)_{\cup\{\infty\}}, S^2) // \text{Diff}^{+, \partial}(\Sigma_{0,0,n}^2)\right) \simeq \mathbb{Z} \times (\mathbb{Z}^{n-1} \rtimes_{\text{st}} \text{Br}_n(S^2)/\text{rot}) \hookrightarrow \text{FBr}_n(S^2)/\text{rot}; \quad (132)$$

- (ii) *on the n -punctured closed disk $\Sigma_{0,1,n}^2$ (9) is the subgroup (131) of framed braids of vanishing total framing (131):*

$$\pi_1\left(\text{Map}_0^*((\Sigma_{0,1,n}^2)_{\cup\{\infty\}}, S^2) // \text{Diff}^{+, \partial}(\Sigma_{0,0,n}^2)\right) \simeq \mathbb{Z}^{n-1} \rtimes_{\text{st}} \text{Br}_n \hookrightarrow \text{FBr}_n. \quad (133)$$

Proof. In both cases, we have

$$\begin{aligned} & \pi_1\left(\text{Map}_0^*((\Sigma_{0,b,n}^2)_{\cup\{\infty\}}, S^2) // \text{Diff}^{+, \partial}(\Sigma_{0,b,n}^2)\right) \\ & \simeq \pi_1\left(\text{Map}_0^*((\Sigma_{0,b,n}^2)_{\cup\{\infty\}}, S^2)\right) \rtimes \text{MCG}(\Sigma_{0,b,n}^2) \quad \text{by (45)} \\ & \simeq \left(\pi_1 \text{Map}_0^*((\Sigma_{0,b}^2)_{\cup\{\infty\}}, S^2) \times \mathbb{Z}^{n-1}\right) \rtimes \text{MCG}(\Sigma_{0,b,n}^2) \quad \text{by (118)} \\ & \simeq \pi_1 \text{Map}_0^*((\Sigma_{0,b}^2)_{\cup\{\infty\}}, S^2) \times (\mathbb{Z}^{n-1} \rtimes_{\text{st}} \text{MCG}(\Sigma_{0,b,n}^2)) \quad \text{by Thm. 3.48,} \end{aligned} \quad (134)$$

where in the last step we used that $\text{MCG}(\Sigma_{0,b,n}^2)$ is generated already by the Artin generators alone.

Now for $b = 1$, we have $\Sigma_{0,1}^2 \simeq *$, so that the first factor in (134) is trivial and the claim (133) follows by (131). On the other hand, for $b = 0$ the first factor in (134) is $\pi_3(S^2) \simeq \mathbb{Z}$ and we are left with $\mathbb{Z} \times (\mathbb{Z}^{n-1} \rtimes_{\text{st}} \text{MCG}(\Sigma_{0,0,n}^2))$, as claimed in (132). \square

Remark 3.52 (Comparison to Chern-Simons theory on n -punctured surfaces).

- (i) The experimental manifestation of the “framing” of braids should be via *topological spin* (2) as for solitonic anyons (cf. Fig. FL) but now for *defect* anyons.
- (ii) The framed braid group $\text{FBr}_n(\Sigma^2)$ (130) of a closed surface is the expected braid group acting on the quantum states of Chern-Simons theory on $\Sigma_{g,b,n}^2$ as formalized by the Reshetikhin-Turaev construction (cf. [61, §3.1][278, §3.2.1][230, p 37][231, p 8]) (even if there the \mathbb{Z}^n -factor is expected to act nontrivially only in the generality of the rarely discussed “irregular conformal blocks” [142]).
- (iii) The subgroups of framed braids with restriction on their total framing number, that appears in Lem. 3.51 from 2-Cohomotopical flux quantization, seems not to have appeared elsewhere.

For the identification of transformation groups and their gauge subgroups (48), this Rem. 3.52 suggests that on the punctured open disk:

- (a) the totality of transformations forms the framed spherical braid group $\mathcal{G}_{\text{trn}} \equiv \text{FBr}_n(S^2)/\text{rot}$
- (b) among these, flux quantized in 2-Cohomotopy regards as pure gauge symmetries those framed braids whose total framing is divisible by n .

This subgroup inclusion is indeed normal and of finite index, as required in §2.3.

Therefore we now identify, as per Rem. 2.28, the 2-Cohomotopical flux monodromy (132) over punctured disks with the general situation of gauge subgroups (48), as follows:

$$\begin{array}{ccccc} \mathcal{G}_{\text{sym}} & & \mathcal{G}_{\text{trn}} & & \mathcal{G}_{\text{evl}} \\ \parallel & & \parallel & & \parallel \\ 1 \longrightarrow \mathbb{Z} \times (\mathbb{Z}^{n-1} \rtimes_{\text{st}} \text{Br}_n(\Sigma_0^2)/\text{rot}) & \xhookrightarrow{\iota} & (\mathbb{Z}^n \rtimes_{\text{def}} \text{Br}_n(\Sigma_0^2)/\text{rot}) & \twoheadrightarrow & \mathbb{Z}_n \longrightarrow 1 \end{array} \quad (135)$$

total framing divisible by n framed spherical braids
modular symmetries of 2-Cohomotopical flux CS modular operations

In the next subsections, we spell out this situation in special cases.

3.7 On the open annulus

On the open annulus $\Sigma_{0,2}^2$, hence on the 2-punctured sphere (10), the covariantized flux monodromy group according to (132), and using (41), is

$$\mathbb{Z} \times (\mathbb{Z} \rtimes \text{Br}_2(S^2)) \simeq \mathbb{Z} \times (\mathbb{Z} \rtimes \mathbb{Z}_2), \quad (136)$$

where the \mathbb{Z}_2 action is by sign involution:

$$\mathbb{Z} \rtimes \mathbb{Z}_2 \simeq \left\{ (n, s \bmod 2) \in \mathbb{Z} \times \mathbb{Z}_2, \quad (n, s \bmod 2) \cdot (n', s' \bmod 2) = (n + n' e^{\pi i s}, (s + s') \bmod 2) \right\}. \quad (137)$$

Remarkably, this is a non-abelian group, signaling potential ground state degeneracy over the annulus. Indeed, already if we focus attention on the representations that factor through the finite quotient groups

$$\begin{aligned} \mathbb{Z} \rtimes \mathbb{Z}_2 &\longrightarrow \mathbb{Z}_o \rtimes \mathbb{Z}_2 \\ (n, s \bmod 2) &\longmapsto (n \bmod o, s \bmod 2) \end{aligned} \quad (138)$$

for any $o \in \mathbb{N}_{>0}$ then:

Lemma 3.53 (Fin-dim irreps of covariant flux monodromy over open annulus). *The \mathbb{C} -linear irreducible representations of $\mathbb{Z}_o \rtimes \mathbb{Z}_2$ are, up to isomorphism:*

$$\begin{aligned} \mathbb{Z}_o \rtimes \mathbb{Z}_2 &\longrightarrow \text{U}(\mathbb{C}) \\ \chi_{++} &: (n \bmod o, s \bmod 2) \longmapsto 1 \\ \chi_{+-} &: (n \bmod o, s \bmod 2) \longmapsto e^{\pi i s} \\ \text{if } o \text{ is even: } \chi_{-+} &: (n \bmod o, s \bmod 2) \longmapsto e^{\pi i n} \\ \text{if } o \text{ is even: } \chi_{--} &: (n \bmod o, s \bmod 2) \longmapsto e^{\pi i (n+s)} \end{aligned} \quad (139)$$

and, for $k \in \{1, \dots, \lfloor (o-1)/2 \rfloor\}$:

$$\begin{aligned} \chi_k : \mathbb{Z}_o \rtimes \mathbb{Z}_2 &\longrightarrow \text{U}(\mathbb{C}^2) \\ (1 \bmod o, 0 \bmod 2) &\longmapsto R_z(4\pi i \frac{k}{o}) := \begin{bmatrix} e^{-2\pi i \frac{k}{o}} & 0 \\ 0 & e^{+2\pi i \frac{k}{o}} \end{bmatrix} \\ (0 \bmod o, 1 \bmod 2) &\longmapsto X := \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}. \end{aligned} \quad (140)$$

Proof. This is a straightforward computation ²² using the Mackey algorithm (Prop. A.19). \square

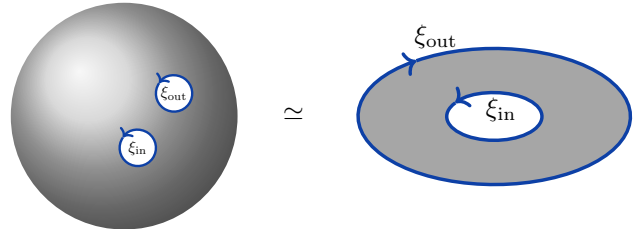
Remark 3.54 (The ground states over the open annulus).

- (i) In view of (131), the representations (140) of $\mathbb{Z} \rtimes \mathbb{Z}_2$ (137) each reflect a situation where edge phases $(\xi_{\text{in}}, \xi_{\text{out}})$ are associated to the inner and outer edge of the annulus, respectively, cf. Rem. 3.46 — whose (multiplicative) difference is

$$\xi_{\text{diff}} := \xi_{\text{in}} / \xi_{\text{out}} = e^{2\pi i \frac{k}{o}}. \quad (141)$$

The operator $R_z(4\pi i \frac{k}{o})$ in (140) observes this difference, while the X -operator swaps the two topological charges, inverting their phase difference.

Figure OA. 2-Cohomotopical flux quanta on the open annulus (the 2-punctured sphere, cf. Fi. 1) have an observable $\xi_{\text{in}}/\xi_{\text{out}}$ (141) to be interpreted as the (multiplicative) difference of phases associated with the two edges. Moreover, when this phase difference is not equal to ± 1 then the (ground) state is 2-fold degenerate, with one basis state associated to each edge (140).



- (ii) Moreover, if we interpret (again with (131)) the observable $\hat{\zeta}$, generating the \mathbb{Z} -factor in (136), as that of the total phase (2)

$$\xi_{\text{in}} \cdot \xi_{\text{out}} = \zeta \quad (142)$$

then the two edge phases are actually observable in that:

$$\xi_{\text{in}} = \sqrt{\zeta \cdot \xi_{\text{diff}}}, \quad \xi_{\text{out}} = \sqrt{\zeta / \xi_{\text{diff}}}.$$

²²For the record, the argument is spelled out at ncatlab.org/nlab/show/Mackey+theory#ExampleIrrepsOfZnRtimesZTwo.

- (iii) Last but not least, Lem. 3.53 says that iff the phase difference is $\xi_{\text{diff}} \neq \pm 1$ then the (ground) state space over the open annulus degenerates to become 2-dimensional, with one basis vector associated with each of the edges.

To see how these mathematical results match the physics of FQH systems:

Remark 3.55 (Comparison to edge modes in FQH systems).

- (i) The very hallmark of topological phases of matter in general and of fractional quantum Hall systems in particular is thought to be that they come with “chiral” (meaning: unidirectional) “edge modes” (meaning: electric currents flowing along the system’s boundary edges), cf. [291][41].
- (ii) Assuming, for simplicity, a unit-fraction filling factor (and hence, which we use in (145), the same unit-fraction braiding angle (2))

$$\nu \equiv 1/K = \theta/\pi,$$

we have the following, from [40, p. 2335]:

The operator describing a homogeneous edge mode current (no oscillations) along either edge of an annulus is given by its angular wavenumber $k_{\text{in/out}}$ (the “Fermi momentum”, cf. also [66, (4)]) as:

$$\Psi_{\text{in/out}}(\sigma) \propto e^{\pm 2\pi i k_{\text{in/out}} \cdot \sigma} \quad (143)$$

(with opposite signs in the exponent because the currents go in opposite directions, and with σ being the canonical angular coordinate on the annulus ²³), whence the physically relevant *relative* angular wavenumber between the edges is expressed by

$$\Psi_{\text{out}}^\dagger \Psi_{\text{in}} = \Psi_{\text{in}} / \Psi_{\text{out}},$$

which reflects *tunneling of* (ν -fractional) *quasi-particles* between the edges (cf. [292, p. 33]), so that it must be the ν -fractional multiple of the relative angular wavenumber $k_{\text{rel}}^{\text{el}}$ of the actual electrons (still [40, p. 2335], left column):

$$e^{2\pi i k_{\text{in}}} / e^{-2\pi i k_{\text{out}}} = e^{\nu 2\pi i k_{\text{rel}}^{\text{el}}}. \quad (144)$$

- (iii) Now we may observe that: Chirality implies $\text{sgn}(k_{\text{in}}) = \text{sgn}(k_{\text{out}})$ — the opposite direction of the chiral modes having already been absorbed in the sign in (143) — and hence that $k_{\text{rel}}^{\text{el}} \neq 0$ unless $k_{\text{in}} = 0 = k_{\text{out}}$, so that we may equivalently rewrite (144) as:

$$\underbrace{e^{\pi i \frac{k_{\text{in}}}{k_{\text{rel}}^{\text{el}}}}}_{\xi_{\text{in}}} \cdot \underbrace{e^{\pi i \frac{k_{\text{out}}}{k_{\text{rel}}^{\text{el}}}}}_{\xi_{\text{out}}} = \underbrace{e^{\pi i \nu}}_{\zeta} \quad (145)$$

(which also makes good sense since $k_{\text{rel}}^{\text{el}}$ is fixed by the overall experimental setup). But shown under the braces in (145) is that this brings out on the right the braiding phase ζ (2), and that if we identify the phase factors on the left with $\xi_{\text{in/out}}$ as shown, then this is just our formula (142)!

- (iv) Note here that in the literature this is considered only for $k_{\text{in}} = k_{\text{out}}$ (denoted “ k_F ” in [40, p. 2335]) which in the above discussion corresponds to the 1-dimensional irreps (139), while the existence of our 2-dimensional irreps (140) predicts possible ground state degeneracy when $k_{\text{in}} \neq k_{\text{out}}$.

Remark 3.56 (Further Comparison to the literature).

- (i) Possible ground state degeneracy of FQH systems on annular regions adjacent to superconducting (and/or ferromagnetic) phases has been argued for in [184]. While the formulas differ, it may be noteworthy that also in our situation the *open annulus* (namely the sphere with 2 punctures) models a situation where the magnetic field is expelled away from the annulus (namely at the punctures, cf. Fig. F), as is the case for (type I) superconducting materials.
- (ii) Further fractionalization of FQH anyons into pairs of constituents [281] has been discussed as a candidate description of certain filling fractions (called “Gaffnian states” [269]), in particular to explain non-abelian representations [304].
- (iii) Therefore, the prediction of 2-Cohomotopical flux quantization as per Rem. 3.54 agrees qualitatively with expectations in the literature, but the details differ and may be experimentally discernible.

3.8 On the 2-punctured disk

We analyze in more detail the simple but already remarkable special case (of §3.6) of 2-cohomotopical flux quantum states over the 2-punctured open disk, hence on the 3-punctured sphere (cf. Rem. 3.46), where we find defect anyons

²³We are tacitly using the conformal invariance of the edge mode field to identify our annulus with a cylinder, as usual (cf. [280, Fig. 48]).

whose braiding is controlled by “parastatistic” (Rem. 3.58) topologically realizing, in particular, a non-Clifford qbit-rotation gate (Prop. 3.59).

Example 3.57 (Flux monodromy over 3-punctured sphere). For the 3-punctured sphere (2-punctured plane), Prop. 3.51 yields, by (42), the subgroup of the *framed symmetric group* on three framed strands, consisting of those elements whose total framing is divisible by 3 (131):

$$\pi_1\left(\mathrm{Map}_0^*\left((\Sigma_{0,0,2+1}^2)_{\cup\{\infty\}}, S^2\right) // \mathrm{Diff}^{+, \partial}(\Sigma_{0,0,2+1}^2)\right) \simeq \mathbb{Z} \times (\mathbb{Z}^2 \rtimes_{\mathrm{st}} \mathrm{Sym}_3) \hookrightarrow \mathbb{Z}^3 \rtimes_{\mathrm{def}} \mathrm{Sym}_3. \quad (146)$$

Remark 3.58 (Anyons vs. parastatistics).

- (i) Equation (146) may be noteworthy in that it manifestly identifies a group of motions of what must be understood as defect anyons with a *symmetric group*, thus identifying the corresponding topological quantum states as representations of that symmetric group — a situation that is also referred to as *parastatistics*.²⁴
- (ii) In general, it is obvious (but seems underappreciated in the physics literature on anyons) that among all representations of braid groups, hence among all potential “anyon species”, there are in particular those arising as pullbacks along the canonical $\mathrm{Br}_n(\Sigma^2) \rightarrow \mathrm{Sym}_n$ from such parastatistical representations.
- (iii) This traditional disregard is maybe somewhat ironic since, concerning the motivating fault-tolerance of topological quantum gates, such braid representations coming from symmetric group representations are particularly good: They describe quantum gates which are insensitive *not only* to isotopical deformations of the braiding process, as usual anyons, but are insensitive to the process entirely — as they depend only on the process’s endpoints. This is, in principle, the ultimate form of fault-tolerance!
- (iv) The disregard in the literature for parastatistics as examples of anyon statistics may probably be attributed to the traditional prejudice that anyon species must be identified with simple objects in a unitary braided fusion category. While seemingly natural and oft-repeated, it is worth remembering that this paradigm is an ansatz that is not strictly implied from microscopic analysis. Our analysis here, of topological quantum states of 2-cohomotopical flux, is an example that other species of anyons can plausibly exist and may be worth pursuing in experiment.

Qbit quantum gates operable by defect anyons in 2-cohomotopical flux on 2-punctured open disk. Given that the standard representation **2** of Sym_3 is its only irrep of dimension > 1 (Ex. A.17), it is this irrep that knows everything about potential non-abelian anyon statistics exhibited by 2-cohomotopical flux quanta on the 2-punctured open disk, by (146). If physically realizable, this manifests an interesting set of quantum gates: The irreps of the framed symmetric group $\mathbb{Z}^3 \rtimes \mathrm{Sym}_3$ which factor through some finite quotient $\mathbb{Z}_{2K} \times \mathrm{Sym}_3$ are (by Prop. A.20) tensor products of an irrep of \mathbb{Z}_{2K} with an irrep of Sym_3 (cf. Ex. A.21).

Focusing on the only non-abelian irrep **2** of Sym_3 (Def. 3.47) this means it extends to an irrep of $\mathbb{Z}^3 \rtimes_{\mathrm{def}} \mathrm{Sym}_3$ (146) on which all three central generators act as multiplication with any but the same complex number ξ . To see what this complex number should be in the case of 2-cohomotopical flux observables on the 3-sphere, we restrict this irrep along the inclusion (118)

$$\begin{array}{ccccc} \mathbb{Z} \times (\mathbb{Z}^2 \rtimes_{\mathrm{st}} \mathrm{Sym}_3) & \longrightarrow & \mathbb{Z}^3 \rtimes_{\mathrm{st}} \mathrm{Sym}_3 & \longrightarrow & \mathrm{U}(2) \\ t & \longmapsto & v_1 + v_2 + v_3 & \longmapsto & \widehat{\xi}^3 : |\psi\rangle \mapsto \xi^3 |\psi\rangle \\ e_1 & \longmapsto & v_1 - v_3 & \longmapsto & \mathrm{id} \\ e_2 & \longmapsto & v_2 - v_3 & \longmapsto & \mathrm{id} \\ b_i & \longmapsto & b_i & \longmapsto & \widehat{b}_i. \end{array} \quad (147)$$

Comparison with Rem. 3.24 shows that $\xi^3 = \zeta = e^{\pi i \frac{p}{K}}$ must be the braiding phase of solitonic anyons in the system. So, in some sense, each of the three punctures (the defect anyons) has associated with it 1/3rd of the braiding phase of the solitonic anyons, and yet only the sum of these three contributions is observable. The remaining content of the above representation is the unitarization of the standard representation of :

Proposition 3.59 (Quantum gates in the unitarization of the standard rep of Sym_3). *Up to unitary isomorphism, unitarization (Prop. A.18) of the standard irrep (Def. 3.47) **2** of Sym_3 is generated by*

²⁴Parastatistics has originally been discussed as a speculative statistics of fundamental particles [129][218], whereas here we see it arise in the form of braiding phases of defect anyons. This may address the concern of [153, p 109], who is the first to propose symmetric irreps as a model for quantum computation (aka *permutational quantum computing* [154]).

(i) The Pauli Z-gate (cf. [210, p. xxx]):

$$U(213) = Z := \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

(ii) A rotation gate (cf. [210, (4.4-6)])

$$U(231) = R_y(8\pi/3) = -R_y(2\pi/3) := \begin{bmatrix} \cos(4\pi/3) & -\sin(4\pi/3) \\ \sin(4\pi/3) & \cos(4\pi/3) \end{bmatrix} = - \begin{bmatrix} 1/2 & -\sqrt{3}/2 \\ \sqrt{3}/2 & 1/2 \end{bmatrix}. \quad (148)$$

Proof. The defining representation on $\mathbb{C}^3 \simeq \text{Span}_{\mathbb{C}}(v_1, v_2, v_3)$ (119) is evidently unitary with respect to the canonical inner product $\langle v_i | v_j \rangle = \delta_{ij}$, but the basis $(e_1 := v_1 - v_3, e_2 := v_2 - v_3)$, from (121), for the standard subrepresentation **2**, is not orthonormal with respect to this inner product. One choice of orthonormal basis for this subspace is given by

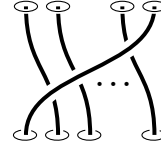
$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} := \frac{1}{\sqrt{6}}(v_1 + v_2 - 2v_3), \quad \begin{bmatrix} 0 \\ 1 \end{bmatrix} := \frac{1}{\sqrt{2}}(v_1 - v_2).$$

A straightforward computation shows that on this basis the permutations (213) and (231) act as claimed. \square

Remark 3.60 (Phase rotations).

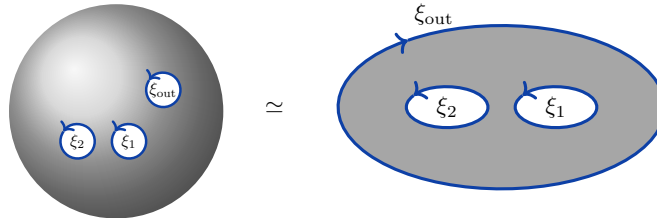
- (i) It is clear from Ex. 3.59 that, in general, the cyclic permutations in $\text{Sym}_n \leftarrow \text{Br}_n$ act in the unitarizations of the corresponding standard reps as rotation gates (on “qdots” for $n > 3$, cf. [305]) by angles which are multiples of $2\pi/n$.
- (ii) Together with the phase rotations provided by the solitonic anyon braiding factor (147), such rotation gates are the workhorse of the quantum Fourier transform (cf. [210, §5][289, §3.2.1]) and with it of standard quantum algorithms such as notably Shor’s algorithm — while their precision and error protection is a major bottleneck in the implementation of useful quantum algorithms (cf. [88, §III]). Here we find these gates are predicted to have topologically stabilized realizations by braiding of defect anyons in FQH systems (distinct from the usual abelian braiding of the solitonic anyons discussed in §3.1).
- (iii) Noteworthy here that the qbit rotation gate (148), and generically also these higher qdit rotation gates, are “non-Clifford” (cf. [279]), which is a crucial but rare feat in currently discussed realizations of topological quantum gates (cf. [196, §D]).

Topological rotation gates, obtained by cyclic braiding of defect anyons, combined with the global phase rotations given by braiding of solitonic anyons, would provide intrinsically exact and topologically protected gates of the kind that make up the quantum Fourier transform (in qdit-bases), and with it many other quantum algorithms.



Remark 3.61 (Comparison to expectation for superconducting defect anyons). In the above manner, our 2-Cohomotopical flux quantization predicts that punctures in the surface Σ^2 , and hence “flux-expelling islands” inside the slab of material which it represents, behave as possibly non-abelian defect anyons. If we imagine the slab of material to be a semiconductor hosting a 2D electron gas as for usual FQH systems, then a natural candidate material realizing such defects are (type I) *super*-conducting islands within the semiconductor, for which the Meissner effect serves to expel the magnetic flux.

Just this kind of situation, where superconducting islands serve as non-abelian (specifically: parafermionic) anyonic defects within otherwise abelian fractional quantum Hall systems has been raised as a possibility before (mostly using arguments based on K-matrix Chern-Simons theory, cf. Rem. A.2): [184][46][283][198][163][239][238].



4 Conclusion & Outlook

The experimental observation, in recent years, of (abelian) anyon statistics in fractional quantum Hall (FQH) systems motivated us (in §1) to have a closer look at the effective field theory behind FQH anyons, to see if and where such theory predicts externally controllable defect anyons, possibly non-abelian, which could serve as actual hardware-level topological protection of quantum gates — a pressing need for the future of practically useful quantum computing, which however has been receiving little real attention.

Observing that FQH anyons are carried by (surplus) magnetic flux quanta (p. 2) while traditional (Chern-Simons type) effective FQH field theory appears to be at odds with flux-quantization (Rem. A.1), we set out to put this issue right-side-up by making proper exotic flux-quantization the starting point of the discussion, instead of an afterthought. To that end, based on previous work, we laid out (§2) that a good theory of exotic flux-quantization exists and predicts (global, non-perturbative) quantum states and observables of topological flux from just the choice of a classifying space \mathcal{A} , which thus takes the role of the traditional choice of a Lagrangian density.

After motivating (p. 4) the hypothesis (“hypothesis h”, a small cousin of “Hypothesis H” in high-energy physics) that the correct classifying space for FQH flux is the 2-sphere $\mathcal{A} \equiv S^2 \simeq \mathbb{C}P^1$ (which may be understood as a deformation of the traditional electromagnetic classifying space $BU(1) \simeq \mathbb{C}P^\infty$), hence that FQH flux is “quantized in 2-cohomotopy” (Def. 3.1), the bulk of the article (§3) dealt with the rigorous derivation of the resulting predictions, using tools of algebraic topology and representation theory (referenced in §A).

The first result of this analysis is that, indeed, 2-cohomotopical flux-quantization gives a new and remarkably direct re-derivation of the hallmark properties of FQH anyons:

- (i) fractional statistics (§3.1),
- (ii) topological order (§3.4),
- (iii) edge modes (§3.7).

At the same time, some predictions seem to differ subtly from those of traditional K-matrix Chern-Simons theory (recalled in Rem. A.2), for instance concerning the precise ground state degeneracy at non-unit filling fractions (Thm. 3.42). This means that 2-cohomotopical flux quantization is a viable new candidate for effective FQH field theory which may be experimentally discernible.

In fact, 2-cohomotopical flux-quantization also applies to speak of situations that have not previously found systematic attention: Its evaluation on surfaces with *punctures* (§3.5) — which physically translates to FQH materials hosting flux-expelling (such as: superconducting) islands/defects, cf. Fig. I — predicts that these behave as possibly non-abelian *defect anyons* (cf. §3.8) — this in parallel to the usual solitonic FQH anyons that have been observed in experiment, cf. Fig. D.

Together this suggests that experimental investigation of (superconducting) flux-expelling islands inside (semi-conducting) FQH systems may be a promising novel route to genuine topological quantum hardware. In fact, this prediction resonates with expectations that several authors voiced about a decade ago (cf. Rem. 3.61).

It is curious to note in this respect that *1-dimensional* junctions between super- and semiconductors have received much attention in recent years as potential but so far elusive substrates for anyonic topological phases (cf. footnote 2), while here we are predicting a viable configuration of *2-dimensional* superconducting islands inside(semiconducting) FQH systems that have already been experimentally verified to attain topological phases.

In any case, the analysis here shows that the novel non-Lagrangian and non-perturbative tool of exotic quantization of FQH flux may shed valuable new insights on the old mystery ([147, §5.1][149, §1]) of the emergence and nature of anyonic quasi-particles in FQH systems and might usefully inform experimental searches for previously unrecognized effects.

We highlight that the choice of classifying space $\mathcal{A} = S^2$ is in a sense only the simplest candidate; variants are possible — notably twisting (cf. footnote 16) and equivariantizations accounting for symmetry-protection (cf. [243]), which may potentially account for further fine structure. In particular, exotic flux quantization is not restricted to the topological flux sector, but, via its differential refinement, governs the full physical field content (cf. [250, §3.3]) where, for instance, the actual distances between anyon cores may be resolved for their effect.

In view of our original derivation of the construction presented here via “geometric engineering” on M5-brane worldvolumes (footnote 6) it is particularly natural to compare the super-geometric enhancement of exotic flux-quantization ([113][252]) to effective super-symmetry of (excitations of) FQH systems, which has been reported recently [120][225]. We hope to further discuss this elsewhere.

A Background

Here we briefly recall and cite some background material referred to in the main text:

- §A.1 – Effective CS for FQH
- §A.2 – Some category theory
- §A.3 – Some algebraic topology
- §A.4 – Surfaces & 2-Cohomotopy
- §A.5 – Some representation theory
- §A.6 – Quadratic Gauss sums

A.1 Effective CS for FQH

Effective Chern-Simons for FQH systems at unit filling fractions. The traditional ansatz for an effective field theory description of fractional quantum Hall systems at unit filling fraction $\nu = 1/K$ postulates that the effective field is a 1-form potential a for the electric current density 2-form J (“statistical gauge field”), itself minimally coupled to the *quasi-hole current* j , and with effective dynamics encoded by the level $= k = K/2$ Chern-Simons (CS) Lagrangian [310][292]:

$$\begin{aligned}
 \text{Electron current density 2-form } J &= \overrightarrow{J} \lrcorner \text{dvol} \quad \text{current 3-vector volume form} \quad =: \text{d } a \text{ Effective gauge field} \\
 \text{Quasi-particle current density 2-form } j &= \overrightarrow{j} \lrcorner \text{dvol} \\
 \text{Background flux density 2-form } F &= \text{d } A \text{ External gauge field} \\
 \text{Effective Lagrangian density 3-form } L &:= \frac{K}{2} \underbrace{a \text{d} a}_{\text{CS}(a)} - \underbrace{A \text{d} a}_{A J} - a j \quad [292, (2.11)], \text{ cf. } [293, (7.3.10)]
 \end{aligned} \tag{149}$$

This is justified by observing that the Euler-Lagrange equations of this L

$$\frac{\delta L}{\delta a} = 0 \quad \Leftrightarrow \quad J = \frac{1}{K} (F + j) \tag{150}$$

in the relevant case of longitudinal electron current and static quasi-particles

$$\begin{aligned}
 J &\equiv J_0 \text{d} x \text{d} y - J_x \text{d} t \text{d} y \\
 j &\equiv j_0 \text{d} x \text{d} y \\
 F &\equiv B \text{d} x \text{d} y - E_y \text{d} t \text{d} y
 \end{aligned}$$

express just the hallmark properties of the FQHE at filling fraction $\nu = 1/K$:

$$(150) \Leftrightarrow \begin{cases} J_x = \frac{1}{K} E_y & \Leftrightarrow \text{Hall conductivity law at } 1/K \text{ filling} \\ J_0 = \frac{1}{K} B & \Leftrightarrow \text{each electron absorbs } K \text{ flux quanta, but} \\ \quad + \frac{1}{K} j_0 & 1/K\text{th surplus electron for each quasi-particle.} \end{cases}$$

Remark A.1 (The problem with flux quantization.) This can only be a *local* description on single charts (as is common for Lagrangian field theories, cf. *Fig. G*): Globally, neither J nor F may admit coboundaries a and A , respectively. Instead, both must be subjected to some kind of flux-quantization to make the fields globally well-defined [250]. For F this must be classical Dirac charge quantization, which however is incompatible — by (150) — with integrality of J in the relevant case of $K > 1$ (cf. [301, p. 35][280, p 159]). The problem is only worsened by the traditional effective ansatz for more general filling fractions ν , which is [292, (2.30-1)] to introduce $n > 1$ copies of the above field and promote the number K in (149) to a matrix (the “K-matrix”).

In the main text we turn this issue from its head to its feet by giving primacy to flux quantization – which also turns out to make the Lagrangian obsolete.

Remark A.2 (Hierarchical K-Matrix Chern-Simons for FQH at other filling fractions). The argument for the effective Lagrangian (149), which applies only to unit filling fractions $\nu = \frac{1}{K}$, has been generalized ([28][295], review in [292, §2.1][293, §7.3.3]) by appeal to the *hierarchical* picture of FQH quasi-particles [124][125], which envisions that the quasi-particles appearing at some unit filling fraction $1/K_1$ experience a secondary K_2 -fractional quantum Hall effect, now with respect not to the action magnetic gauge field but the effective gauge field $a^{(1)} := a$ (149). Repeating the above assumption on effective FQH fields, this picture motivates the introduction of a *secondary* effective gauge field $a^{(2)}$, for the quasi-particle current j , with its own Chern-Simons dynamics, and hence the generalization of the Lagrangian density (149) to ([292, pp. 11][293, pp. 300]):

$$L := \underbrace{\frac{K_1}{2} a^{(1)} da^{(1)}}_{\text{CS}(a^{(1)})} - \underbrace{A da^{(1)}}_{AJ} - \underbrace{a^{(1)} da^{(2)}}_{aj} + \underbrace{\frac{K_2}{2} a^{(2)} da^{(2)}}_{\text{CS}(a^{(2)})} \quad (151)$$

$$= K_{ij} \frac{1}{2} a^{(i)} da^{(j)} - Q_i A da^{(i)}, \quad (152)$$

for K -matrix $K \equiv (K_{ij})$ and *charge vector* $Q \equiv (Q_i)$ given by

$$K := \begin{bmatrix} K_1 & -1 \\ -1 & K_2 \end{bmatrix}, \quad Q := \begin{bmatrix} 1 \\ 0 \end{bmatrix}. \quad (153)$$

This is argued to be an effective description for the filling fraction

$$\nu \equiv \frac{1}{K_1 - \frac{1}{K_2}} = Q^t \cdot K^{-1} \cdot Q.$$

Proceeding in this manner it is envisioned that n th-order FQH systems are effectively described by an $n \times n$ matrix K and a charge n -vector Q via a Lagrangian density (151),

- whose ground state degeneracy on the closed surface of genus g is argued to be (cf. [295, (1.2)])

$$\dim(\mathcal{H}_{T^2}) = |\det(K)|^g$$

- which hosts anyons that are labeled by weight vectors $w \in \mathbb{Z}^n$ and exhibit braiding phases (cf. [292, (2.30)]):

$$\zeta_w = e^{\pi i (w^t \cdot K^{-1} \cdot w)},$$

which at least for $w = Q = (1, 0, 0, \dots, 0)$ coincides with the standard relation (2).

Remark A.3 (Phenomenology of the K-matrix formulation).

- The phenomenological viability already of the hierarchical picture underlying the K-matrix Chern-Simons formulation (Rem. A.2) has been called into question by [147, §12.1][148]: The required assumptions on quasi-particle densities necessary for the hierarchy to be physically plausible seem to be drastically violated, and in any case the experimentally observed filling fractions do not reflect the predicted hierarchy. On top of these problems of the hierarchical picture itself, the K-matrix formalism rests on the assumption of effective gauge fields for higher-order quasi-particle currents that was already problematic at lowest order, according to Rem. A.1.
- On the other hand, these comments apply to usual (single-component) FQH systems as discussed here. More recently, “multi-component” FQH systems have found attention (where several electron modes may interact, such as different spin polarizations or different layers in a multi-layer crystal) and $n \times n$ K-matrix formalism is being used as a natural candidate description of n -component FQH systems (cf. [15][308][136][309]).
- If this is the case, that $n \times n$ K-matrix formalism for $n \geq 2$, applies really (only) to multicomponent systems, it would mean that an effective field theory for p/K -fractional quantum Halls systems at $p \neq 1$ had actually been missing altogether.

A.2 Some category theory

Category theory is the *algebra* in *algebraic topology* (cf. [176, §3][189, §2] and §A.3). We need only basics, but we do need the actual theory (namely adjunctions, hence universal constructions) and not just monoidal (braided-, fusion-, ...) categories regarded as algebraic structures themselves, as now common in (topological) quantum theory (cf. [132][174]). Broader motivation of category theory for mathematical physicists is in [108], detailed lecture notes tailored towards our needs here are [261], for further introduction we recommend [2][10], standard monographs are [32][186].

A *category* \mathcal{C} is a class of *objects* X, Y, \dots with prescribed sets $\text{Hom}(X, Y)$ of (homo-) *morphisms* (“homs”) between them, regarded abstractly as maps $f : X \rightarrow Y$ and ultimately defined by their composition law,

$$(-) \circ (-) : \text{Hom}(Y, Z) \times \text{Hom}(X, Y) \rightarrow \text{Hom}(X, Z) \quad , \quad \begin{array}{c} X \xrightarrow{f} Y \xrightarrow{g} Z \\ \underbrace{\qquad\qquad\qquad}_{g \circ f} \uparrow \end{array} \quad (154)$$

which is required to be associative and unital in the evident sense.

The archetypical example is the category **Set** of sets, but also each individual set S may be equivalently regarded as a category whose only homs happen to be identities:

$$\text{Set} = \left\{ \begin{array}{l} \text{objects: sets} \\ \text{homs: functions} \end{array} \right\} \quad , \quad \text{Set} \ni S = \left\{ \begin{array}{l} \text{objects: elements} \\ \text{homs: trivial} \end{array} \right\} . \quad (155)$$

We use this occasion to highlight for lay readers the distinction between the notation “ \rightarrow ” for maps (and generally for homs) between sets/objects, in contrast to the notation “ \mapsto ” for the corresponding *assignments* between (generalized) elements:

$$\begin{array}{c} S \xrightarrow{f} S' \\ S \ni s \mapsto f(s) \in S' . \end{array}$$

More generally, there are the “concrete” categories (cf. [2, §I.5]) of sets with algebraic structure (vector spaces, groups, algebras, representations, modules, ...), with their structure-preserving functions between them (the concrete *homomorphisms*), e.g.:

$$\text{Vec} = \left\{ \begin{array}{l} \text{objects: vector spaces} \\ \text{homs: linear maps} \end{array} \right\} \quad , \quad \text{Grp} = \left\{ \begin{array}{l} \text{objects: groups} \\ \text{homs: homomorphisms} \end{array} \right\} \quad , \quad \text{GRep} = \left\{ \begin{array}{l} \text{objects: representations} \\ \text{homs: intertwiners} \end{array} \right\} . \quad (156)$$

But general categories may have homs without underlying functions of sets. For instance, given a group G , its *delooping groupoid* is the category **BG** with a single object $*$, with homs $* \xrightarrow{g} *$ labeled by group elements, and composition being the group operation (71); while for X a topological space, there is the category called its *fundamental groupoid* $\Pi_1(X)$ (cf. [296, (1.7)]) whose homs are the homotopy classes (fixing endpoints) of continuous paths in X with composition the concatenation of paths:

$$\text{BG} = \left\{ \begin{array}{l} \text{objects: single one} \\ \text{homs: group elements} \end{array} \right\} \quad , \quad \Pi_1(X) = \left\{ \begin{array}{l} \text{objects: points of } X \\ \text{homs: htmpy classes of paths in } X \end{array} \right\} . \quad (157)$$

Generally, a (small) category all whose homs are invertible is called a *groupoid* (cf. [140]). Here invertible homs are like gauge transformations in that they identify the objects they relate, while retaining the information of *how* the identification happens, whence it is fruitful to think of groupoids as sets of *elements with gauge transformations* between them (cf. [263, pp. 6]):

$$\text{generic groupoid} = \left\{ \begin{array}{l} \text{objects: elements} \\ \text{homs: gauge transformations} \end{array} \right\} . \quad (158)$$

Next, a *functor* $F : \mathcal{C} \rightarrow \mathcal{D}$ between a pair of categories is a function between objects and between sets of morphisms which respects this compositional structure:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\ \left[\begin{array}{ccc} X & \mapsto & F(X) \\ \downarrow f & & \downarrow F(f) \\ g \circ f & Y & \mapsto & F(Y) & F(g \circ f) \\ \downarrow g & & \downarrow F(g) \\ Z & \mapsto & F(Z) \end{array} \right] \end{array}$$

There is an evident composition of functors, which is evidently associative and unital, whence categories with functors between form themselves a (“very large”) category:

$$\text{CAT} = \left\{ \begin{array}{l} \text{objects: categories} \\ \text{homs: functors} \end{array} \right\} . \quad (159)$$

A *natural transformation* $\alpha : F \Rightarrow G$ between a pair of parallel functors $F, G : \mathcal{C} \Rightarrow \mathcal{D}$ is for each object X of \mathcal{C} a morphism $F(X) \xrightarrow{\alpha(X)} G(X)$ of \mathcal{D} such that the following squares “commute”, meaning that the two possible diagonal composites of morphisms coincide:

$$\begin{array}{ccc}
\mathcal{C} & \begin{array}{c} \xrightarrow{F} \\ \Downarrow \alpha \\ \xrightarrow{G} \end{array} & \mathcal{D} \\
X & \mapsto F(X) \xrightarrow{\alpha(X)} G(X) & \\
\downarrow f & \downarrow F(f) & \downarrow G(f) \\
Y & \mapsto F(Y) \xrightarrow{\alpha(Y)} G(Y) &
\end{array} \quad (160)$$

There is an evident “vertical” composition of such natural transformations $F \xRightarrow{\alpha} G \xRightarrow{\beta} H$ which is evidently associative and unital, and hence functors $\mathcal{C} \rightarrow \mathcal{D}$ with natural transformations between them form a category, called the *functor category*:

$$\mathcal{D}^{\mathcal{C}} = \left\{ \begin{array}{l} \text{objects: functors } \mathcal{C} \rightarrow \mathcal{D} \\ \text{homs: natural transformations} \end{array} \right\}. \quad (161)$$

A good example of the interplay of these notions is the observation (54) that the functor category from a delooping groupoid (157) to the category of vector spaces (156) is the category of group representations (cf. §A.5):

$$G\text{Rep} \simeq \text{Func}(\mathbf{BG}, \text{Vec}). \quad (162)$$

Adjunctions. These notions (categories, functors and natural transformations) famously constitute the substrate of category theory — but what makes it a theory, with non-trivial theorems, is the further notion of *adjunctions*.

First, note that there is also a “horizontal” composition of natural transformation by functors:

$$\begin{array}{ccc}
\mathcal{C}' & \xrightarrow{L} \mathcal{C} & \begin{array}{c} \xrightarrow{F} \\ \Downarrow \alpha \\ \xrightarrow{G} \end{array} \mathcal{D} \xrightarrow{R} \mathcal{D}' \\
X' & \longmapsto & R \circ F \circ L(X') \xrightarrow{R \circ \alpha \circ L(X')} R \circ G \circ L(X').
\end{array}$$

Now, an *adjunction* $L \dashv R$ between a pair of back-and-forth functors, $L: \mathcal{C} \rightleftarrows \mathcal{D}: R$, is a pair of natural transformations

$$\begin{array}{ccc}
\text{ret} : \text{id}_{\mathcal{C}} \Rightarrow R \circ L & \text{and} & \text{obt} : L \circ R \Rightarrow \text{id}_{\mathcal{D}} \\
\text{“the unit”} & & \text{“the co-unit”}
\end{array} \quad (163)$$

such that:

$$\begin{array}{ccc}
\mathcal{C} & \begin{array}{c} \xrightarrow{\text{id}_{\mathcal{C}}} \\ \Downarrow \text{ret} \\ \xrightarrow{L} \end{array} \mathcal{D} \xrightarrow{R} \mathcal{C} \xrightarrow{L} \mathcal{D} & = & \mathcal{C} \begin{array}{c} \xrightarrow{L} \\ \Downarrow \text{id}_{\mathcal{L}} \\ \xrightarrow{L} \end{array} \mathcal{D} \\
& \searrow \text{id}_{\mathcal{D}} & & \\
& & &
\end{array} \quad (164)$$

and

$$\begin{array}{ccc}
\mathcal{D} \xrightarrow{R} \mathcal{C} \xrightarrow{L} \mathcal{D} \xrightarrow{R} \mathcal{C} & \begin{array}{c} \xrightarrow{\text{id}_{\mathcal{C}}} \\ \Downarrow \text{ret} \\ \xrightarrow{L} \end{array} & = & \mathcal{D} \begin{array}{c} \xrightarrow{R} \\ \Downarrow \text{id}_{\mathcal{R}} \\ \xrightarrow{R} \end{array} \mathcal{C}. \\
& \searrow \text{id}_{\mathcal{D}} & &
\end{array} \quad (165)$$

Remarkably, if an adjunction exists then it is essentially unique and equivalent to there being a natural bijection $\widetilde{(-)}$ (“forming adjoints”) between hom-sets, of this form:

$$\begin{array}{ccc}
\begin{array}{ccc} X & Y & \\ \uparrow g & \downarrow f & \\ X' & Y' & \end{array} & \mapsto & \begin{array}{ccc} \text{Hom}(L(X), Y) & \xleftarrow{\widetilde{(-)}_{X,Y}} & \text{Hom}(X, R(Y)) \\ \downarrow f \circ (-) \circ L(g) & & \downarrow R(f) \circ (-) \circ g \\ \text{Hom}(L(X'), Y') & \xleftarrow{\widetilde{(-)}_{X',Y'}} & \text{Hom}(X', R(Y')) \end{array}
\end{array}$$

(whence the terminological allusion to adjoint operators), and under this this identificatio the (co)unit is the adjunct of the identity:

$$\text{ret}_X = \widetilde{\text{id}_{L(X)}}, \quad \text{obt}_Y = \widetilde{\text{id}_{R(Y)}}.$$

Finally, an (adjoint-) *equivalence* between categories, $\mathcal{C} \simeq \mathcal{D}$ is an adjunction where both the unit and the counit are invertible.

Example A.4 (Homotopy fibers and cosets, [85, Def. 1.14, Ex. 1.12]). For $F : \mathcal{X} \rightarrow \mathcal{Y}$ a functor between (small) groupoids and y an object of \mathcal{Y} , the *homotopy fiber* of F at y is the groupoid $\text{hofib}_y(F)$ whose homs are pairs of homs in \mathcal{X} with contractions of their images under F to y :

$$\text{hofib}_y(\mathcal{X}) = \left\{ \begin{array}{ccc} x & \xrightarrow{f} & x' \\ F(x) & \xrightarrow{F(f)} & F(x') \\ & \searrow c_x \quad \swarrow c_y & \\ & y & \end{array} \right\}. \quad (166)$$

For $\iota : H \hookrightarrow G$ a subgroup inclusion, the homotopy fiber of $\mathbf{B}\iota : \mathbf{B}H \rightarrow \mathbf{B}G$ (157) is equivalent to the quotient set G/H :

$$\text{hofib}_*(\mathbf{B}\iota) \equiv \left\{ \begin{array}{ccc} * & \xrightarrow{h} & * \\ & \searrow g \quad \swarrow g' & \\ & * & \end{array} \mid \begin{array}{l} g, g' \in G \\ h \in H \end{array} \right\} \simeq \{g \cdot H \mid g \in G\} = G/H. \quad (167)$$

The key example of adjunctions appearing in the main text is the following classical phenomenon of representation theory (cf. §A.5), there also known as *Frobenius reciprocity*:

Proposition A.5 (Induced representations, cf. [133][212]).

(i) For $H \hookrightarrow G$ a subgroup inclusion, the restriction functor $\iota^* : G\text{Rep}_{\mathbb{C}} \rightarrow H\text{Rep}_{\mathbb{C}}$ has

– a left adjoint given by $\mathcal{V} \in H\text{Rep}_{\mathbb{C}} \quad \vdash \quad \iota_! \mathcal{V} := \mathbb{C}[G] \otimes_{\mathbb{C}[H]} \mathcal{V} \quad (168)$

where on the right we have the tensor product of (left-with-right) $\mathbb{C}[H]$ -modules equipped with the G -action given by

$$\left. \begin{array}{l} [a, v] \in \mathbb{C}[G] \otimes_{\mathbb{C}[H]} \mathcal{V} \\ g \in G \end{array} \right\} \quad \vdash \quad g \cdot [a, v] := [g \cdot a, v].$$

– a right adjoint given by

$$\mathcal{V} \in H\text{Rep}_{\mathbb{C}} \quad \vdash \quad \iota_* \mathcal{V} := \text{hom}_{\mathbb{C}[H]}(\mathbb{C}[G], \mathcal{V}), \quad (169)$$

where on the right we have the vector space of left $\mathbb{C}[H]$ -module homomorphisms equipped with the action

$$\left. \begin{array}{l} f \in \text{hom}_{\mathbb{C}[H]}(\mathbb{C}[G], \mathcal{V}) \\ g \in G \\ a \in \mathbb{C}[G] \end{array} \right\} \quad \vdash \quad (g \cdot f)(a) := f(a \cdot g).$$

making an adjoint triple of functors

$$\begin{array}{ccc} & \xrightarrow{\iota_!} & \\ & \perp & \\ H\text{Rep}_{\mathbb{C}} & \xleftarrow{\iota^*} & G\text{Rep}_{\mathbb{C}} \\ & \perp & \\ & \xrightarrow{\iota_*} & \end{array} \quad (170)$$

(ii) Moreover, if H has finite index in G , then the left and right adjoints are naturally isomorphic:

$$[H : G] := |G/H| < \infty \quad \vdash \quad \iota_! \simeq \iota_*.$$

Remark A.6 (CoUnit of induced representations). In more detail, inspection shows (cf. [212]) that the unit of the left induced representation (168) is given by “inserting” the neutral element

$$\begin{array}{ccc} \mathcal{V} & \xrightarrow{\text{ret}_{\mathcal{V}}} & \mathbb{C}[G] \otimes_{\mathbb{C}[H]} \mathcal{V} \\ v & \mapsto & [e, v]. \end{array}$$

and the counit of the right induced representation (169) is given by evaluating at the neutral element:

$$\begin{array}{ccc} \text{hom}_{\mathbb{C}[H]}(\mathbb{C}[G], \mathcal{V}) & \xrightarrow{\text{obt}_{\mathcal{V}}} & \mathcal{V} \\ f & \mapsto & f(e). \end{array}$$

But under the equivalence (162), this adjoint triple (170) is of the form $\text{Func}(\mathbf{B}H, \text{Vec}) \xrightleftharpoons[\perp]{\perp} \text{Func}(\mathbf{B}G, \text{Vec})$ and this is an example of an extremely general kind of adjunctions known as (left and right) *Kan extensions* or *base change* between categories of (higher) local systems, which we just state in the form needed in the main text:

Proposition A.7 (Base change for local systems, cf. [245, Ex. A.18]). For $F : \mathcal{X} \rightarrow \mathcal{Y}$ a functor between (small) groupoids, its induced precomposition functor on functor categories (161)

$$F^* : (\mathcal{Y} \rightarrow \text{Vec}_{\mathbb{C}}) \mapsto (\mathcal{X} \xrightarrow{F} \mathcal{Y} \rightarrow \text{Vec}_{\mathbb{C}})$$

has both a left and a right adjoint:

$$\begin{array}{ccc} & \xrightarrow{F_!} & \\ \text{Vec}_{\mathbb{C}}^{\mathcal{X}} & \xleftarrow{F^*} & \text{Vec}_{\mathbb{C}}^{\mathcal{Y}} \\ & \xrightarrow{F_*} & \end{array} \quad (171)$$

which agree when the homotopy fibers (166) of F are finite sets.

A.3 Some algebraic topology

Algebraic topology is the study of topological spaces *up to homotopy* by algebraic means, namely with tools of category theory (cf. [176, §3][189, §2] and §A.2). General background on the algebraic topology and homotopy theory may be found in [138][109][296][150][3][274][85, §1].

Topological spaces. We write

- Top for the category of *compactly generated* topological spaces (cf. [255, Ntn. 1.0.16]) with mapping spaces (cf. [3, §1]) denoted $\text{Map}(-, -)$ and their underlying (hom-)sets denoted $\text{Hom}(-, -)$,
- Top^* for pointed such spaces with pointed maps between them with mapping spaces denoted $\text{Map}^*(-, -)$ and their underlying (hom-)sets denoted $\text{Hom}^*(-, -)$.

The mapping spaces are characterized by natural homeomorphisms (cf. [150, (3.98)][274, Thm. 3.47(a)])

$$\begin{aligned} \text{Map}(X \times Y, Z) &\simeq \text{Map}(X, \text{Map}(Y, Z)) \\ \text{Map}^*(X \wedge Y, Z) &\simeq \text{Map}^*(X, \text{Map}^*(Y, Z)), \end{aligned} \quad (172)$$

where for a space X pointed by $\infty_X \in X$ and a space Y pointed by $\infty_Y \in Y$

(i) their *smash product* is

$$X \wedge Y := \frac{X \times Y}{\{\infty_Z\} \times Y \cup X \times \{\infty_Y\}}, \quad (173)$$

which is symmetric via natural homeomorphisms

$$X \wedge Y \simeq Y \wedge X; \quad (174)$$

for instance:

$$S^1 \wedge S^n \simeq S^{n+1}, \quad \text{so that } \pi_n \text{Map}^*(S^m, X) \simeq \pi_0 \text{Map}^*(S^{n+m}, X) \simeq \pi_{n+m}(X) \quad (175)$$

(here the smash product with the circle is called reduced *suspension* and usually denoted $\Sigma := S^1 \wedge (-)$, but we stick with writing “ $S^1 \wedge$ ” in order not to clash with our use of “ Σ^2 ” for the generic surface);

(ii) their pointed mapping space is the fiber over the base point of Y of the map ev that evaluates unpointed maps at the base point of X :

$$\text{Map}^*(X, Y) \xrightarrow{\text{fib}_{(\infty_Y)}} \text{Map}(X, Y) \xrightarrow{\text{ev}_{(\infty_X)}} Z. \quad (176)$$

The coproduct of $X, Y \in \text{Top}^*$ is the *wedge sum*

$$X \vee Y := \frac{X \amalg Y}{\{\infty_X, \infty_Y\}}, \quad (177)$$

which in particular means that we have a natural bijection

$$\text{Hom}^*(X \vee Y, Z) \simeq \text{Hom}^*(X, Z) \times \text{Hom}^*(Y, Z). \quad (178)$$

Incidentally, the smash product (173) naturally distributes over finite wedge sums

$$X \wedge (Y \vee Z) \simeq (X \wedge Y) \vee (X \wedge Z).$$

One-point compactification. Here for $X \in \text{Top}^*$ we generically denote its basepoint by $\infty_X \in X$, also speaking of the “point at infinity”, and for X a locally compact Hausdorff space we write $X_{\{\infty\}} \in \text{Top}^*$ for its *one-point compactification* (cf. [35, p 199]), thinking of it as *adjoining a point at infinity*.

For example, stereographic projection gives

$$\mathbb{R}_{\cup\{\infty\}}^n \simeq S^n \quad (179)$$

and if a space is already compact, then the adjoined point-at-infinity is disjoint and pointed maps out of the space are identified with plain maps:

$$X \text{ compact Hausdorff} \quad \vdash \quad X_{\cup\{\infty\}} \simeq X \sqcup \{\infty\} \quad \text{and} \quad \text{Maps}^*(X_{\cup\{\infty\}}, Y) \simeq \text{Maps}(X, Y). \quad (180)$$

We have natural homeomorphisms (cf. [150, Prop. 3.7] [54, Prop. 1.6])

$$(X \sqcup Y)_{\cup\{\infty\}} \simeq X_{\cup\{\infty\}} \vee Y_{\cup\{\infty\}}, \quad (X \times Y)_{\cup\{\infty\}} \simeq X_{\cup\{\infty\}} \wedge Y_{\cup\{\infty\}}, \quad (181)$$

For $Y \in \text{Top}^*$ we denote the connected component of the map constant on ∞_Y by

$$\text{Map}_0(-, Y) \subset \text{Map}(-, Y) \quad \text{and} \quad \text{Map}_0^*(-, Y) \subset \text{Map}^*(-, Y). \quad (182)$$

Proposition A.8 (One-point compactification functorial on proper maps [150, p 70][54, Prop. 1.6]). *The operation of one-point compactification extends to a functor on the category of locally compact Hausdorff spaces with proper maps between them*

$$(-)_{\cup\{\infty\}} : \text{LCHaus}_{\text{PrpMaps}} \longrightarrow \text{CptHaus}^*. \quad (183)$$

Since homeomorphisms are proper, this implies in particular functoriality on homeomorphisms.

Loop spaces. The *based loop space* of $X \in \text{Top}^*$ is

$$\Omega X := \text{Map}^*(S^1, X) \quad (184)$$

whose connected components form the *fundamental group* at the basepoint (cf. [3, §2.5]):

$$\pi_1 X := \pi_0 \Omega X \quad (185)$$

For example, the fundamental group of pointed mapping spaces $X \rightarrow Y$ (based at the map constant on the basepoint of Y) has these alternative expressions:

$$\begin{aligned} \pi_1 \text{Map}^*(X, Y) &\equiv \pi_0 \Omega \text{Map}^*(X, Y) && \text{by (185)} \\ &\equiv \pi_0 \text{Map}^*(S^1, \text{Map}^*(X, Y)) && \text{by (184)} \\ &\simeq \pi_0 \text{Map}^*(S^1 \wedge X, Y) && \text{by (172)} \\ &\simeq \pi_0 \text{Map}^*(X \wedge S^1, Y) && \text{by (174)} \\ &\simeq \pi_0 \text{Map}^*(X, \text{Map}^*(S^1, Y)) && \text{by (172)} \\ &\equiv \pi_0 \text{Map}^*(X, \Omega Y) && \text{by (184)}. \end{aligned} \quad (186)$$

Homotopy. We write Grpd_∞ for the ∞ -category of homotopy types and

$$\mathbb{f} : \text{Top} \longrightarrow \text{Grpd}_\infty$$

for the underlying functor. This means that a *weak homotopy equivalence* between topological spaces is equivalently an equivalence under \mathbb{f} :

$$X, Y \in \text{Top} \quad \vdash \quad X \simeq_{\mathbb{f}} Y \quad \Leftrightarrow \quad \mathbb{f}X \xrightarrow[\sim]{\mathbb{f}f} \mathbb{f}Y. \quad (187)$$

Given $f : Y \rightarrow Z$ a map of pointed topological spaces, with homotopy fiber X

$$X \xrightarrow{\text{hofib}(f)} Y \xrightarrow{f} Z$$

the resulting long homotopy fiber sequences

$$\begin{array}{c} \Omega X \longrightarrow \Omega Y \longrightarrow \Omega Z \\ \hookrightarrow X \longrightarrow Y \longrightarrow Z \end{array} \quad (188)$$

pass under $\pi_0(-)$ to long exact sequences of homotopy groups

$$\begin{array}{c} \pi_{n+1}(X) \longrightarrow \pi_{n+1}(Y) \longrightarrow \pi_{n+1}(Z) \\ \hookrightarrow \pi_n(X) \longrightarrow \pi_n(Y) \longrightarrow \pi_n(Z). \end{array} \quad (189)$$

Homotopy quotients and Borel construction.

Definition A.9. For $G \curvearrowright X$ a Hausdorff topological group acting continuously on a topological space X , we write

$$X \xrightarrow{q} X // G := X \times_G EG \quad (190)$$

for its *Borel construction* (cf. [255, Ex. 2.3.5]), and call its homotopy type the *homotopy quotient* of the action.

In the special case when $X = *$ we get the traditional *classifying space* (namely of principal G -bundles, cf. [235, Thm. 3.5.1][255, Thm. 4.1.13])

$$* // G \simeq BG \quad (191)$$

whose loop space recovers G up to weak homotopy equivalence

$$\Omega BG \simeq_f G,$$

hence whose homotopy groups are those of G shifted up in degree:

$$\pi_{n+1}(BG) \simeq \pi_n(G). \quad (192)$$

This makes a long homotopy fiber sequence (188)

$$G \xrightarrow{g \mapsto g(x_0)} X \xrightarrow{q} X // G \longrightarrow BG. \quad (193)$$

Hence if G preserves the connected components of X (such as if X only has one connected component), then the long exact sequence of homotopy groups (189) implies that

$$\pi_0(G) \curvearrowright \pi_0(X) \text{ is trivial} \quad \Rightarrow \quad \pi_0(X) \xrightarrow[\sim]{\pi_0(q)} \pi_0(X // G). \quad (194)$$

A.4 Surfaces & 2-Cohomotopy

We give a streamlined review of the analysis of 2-Cohomotopy moduli of closed surfaces, due to [127], that is used in §3.3, and record some related facts needed there for identifying the modular action on 2-cohomotopical flux monodromy.

Fundamental polygons of closed oriented surfaces. The homeomorphism class of oriented closed surfaces of genus g is represented (cf. [109, Thm 2.8]) by the quotient space of the regular $4g$ -gon (called a *fundamental polygon* of the surface) obtained by identifying all boundary vertices with a single point and, going clockwise for $k \in \{0, \dots, g-1\}$, the $4k+1$ st boundary edge with the reverse of the $4k+3$ rd, and the $4k+2$ nd with the reverse of the $4k+4$ th. For small g this is illustrated in (93), cf. [130, p 5]. A more homotopy-theoretic formulation of this statement is as follows.

The fundamental group π_1 of a wedge sum (177) of circles is the free group on the set of summands, whose i th generator is represented by the loop that goes identically through the i th circle summand. For the classification of surfaces of genus g (9) we are concerned with wedge sums of $2g$ circles to be denoted $\bigvee_{i=1}^g (S_a^1 \vee S_b^1)$, whose generators we accordingly denote $(a_i, b_i)_{i=1}^g$.

With this, the classical presentation by fundamental polygons becomes:

Proposition A.10 (Homotopy type of closed oriented surfaces, cf. [127, p 151]). *The homeomorphism type of the closed oriented surface Σ_g^2 (9) of genus $g \in \mathbb{N}$ is that of the cell attachment (cf. [3, §3.1]) shown on the left here:*

$$\begin{array}{ccccc} S^1 & \xrightarrow{\prod_{i=1}^g [a_i, b_i]} & \bigvee_{i=1}^g (S_a^1 \vee S_b^1) & \xrightarrow{\quad} & * \\ \downarrow & & \downarrow i_g & & \downarrow \\ D^2 & \xrightarrow{\quad (po) \quad} & \Sigma_g^2 & \xrightarrow{q_0^g} & S^2, \end{array} \quad (195)$$

whence its homotopy type sits in a long homotopy cofiber sequence of this form:

$$S^1 \xrightarrow{\prod_{i=1}^g [a_i, b_i]} \bigvee_{i=1}^g (S_a^1 \vee S_b^1) \xrightarrow{i_g} \Sigma_g^2 \xrightarrow{q_0^g} S^2 \xrightarrow{S^1 \wedge (\prod_{i=1}^g [a_i, b_i])} \bigvee_{i=1}^g (S_a^2 \vee S_b^2). \quad (196)$$

In (195) the attaching map $\prod_i [a_i, b_i] = a_1 b_1 a_1^{-1} b_1^{-1} a_2 b_2 a_2^{-1} b_2^{-1} \dots$, is a representative for the element of $\pi_1(\bigvee_i (S_a^1 \vee S_b^1))$ of that same name (the consecutive sequence of edges and reverse edges in the boundary of the fundamental polygon), D^2 is the fundamental polygon itself and the pushout enforces the identification of pairs of its boundary edges. Finally, the connecting map q_0^g sends all of these previous boundary edges to the base point.

Remark A.11 (Compatible surjections of closed surfaces to 2-sphere). By the pasting law for pushouts, (195) also shows that we have canonical projection maps $q_g^{g+1} : \Sigma_{g+1}^2 \rightarrow \Sigma_g^2$ (93) compatible with their maps q_0 to S^2 (195), given by sending just the $g + 1$ st pair of edges to the point:

$$\begin{array}{ccccccc}
 S^1 & \xrightarrow{\prod_i [a_i, b_i]} & \bigvee_{i=1}^{g+1} (S_a^1 \vee S_b^1) & \longrightarrow & \bigvee_{i=1}^g (S_a^1 \vee S_b^1) & \longrightarrow & * \\
 \downarrow & & \downarrow i_{g+1} & & \downarrow i_g & & \downarrow \\
 D^2 & \xrightarrow{\quad (po) \quad} & \Sigma_{g+1}^2 & \xrightarrow{q_g^{g+1}} & \Sigma_g^2 & \xrightarrow{q_0^g} & S^2. \\
 & & \downarrow q_0^g & & \downarrow q_0^g & & \uparrow
 \end{array} \quad (197)$$

We also obtain from this the following re-derivation of the integral cohomology of closed surfaces, which is needed in the main text for identifying the modular group action on 2-cohomotopical flux monodromy but also serves as the blueprint for its 2-cohomotopical variant shown further below in Lem. A.13:

Proposition A.12 (Integral cohomology of closed surfaces). *For closed oriented surfaces, their ordinary integral cohomology in $\deg = 1$ is:*

$$\tilde{H}^1(\Sigma_g^2; \mathbb{Z}) \simeq \mathbb{Z}^{2g}. \quad (198)$$

Proof. The long exact sequence of homotopy groups (189) which is induced by the homotopy fiber sequence obtained by mapping (196) into the classifying space $B\mathbb{Z}$ (3) is, in the relevant part, of this form:

$$\begin{array}{ccccccc}
 \pi_0 \operatorname{Map}^*(S^2, B\mathbb{Z}) & \xrightarrow{(q_0^g)^*} & \pi_0 \operatorname{Map}^*(\Sigma_g^2, B\mathbb{Z}) & \longrightarrow & \pi_0 \operatorname{Map}^*(\bigvee_i (S_a^1 \vee S_b^1), B\mathbb{Z}) & \xrightarrow{(\prod_i [a_i, b_i])^*} & \pi_0 \operatorname{Map}^*(S^1, B\mathbb{Z}) \\
 \parallel & & \parallel & & \parallel & & \parallel \\
 \underbrace{\tilde{H}^1(S^2; \mathbb{Z})}_0 & & \tilde{H}^1(\Sigma_g^2; \mathbb{Z}) & & \prod_{i=1}^g (\underbrace{\tilde{H}^1(S^1; \mathbb{Z})}_{\mathbb{Z}})^2 & & \underbrace{\tilde{H}^1(S^1; \mathbb{Z})}_{\mathbb{Z}}
 \end{array}$$

Hence, to conclude, we need to show that the map on the right — forming the pullback of $B\mathbb{Z}$ -valued maps along $\prod_i [a_i, b_i]$ — is the zero-map under π_0 . This is the case because the pullback classes are still group commutators and as such they vanish since the π_0 group in question is $\simeq \mathbb{Z}$ and hence abelian:

$$\begin{array}{ccc}
 \underbrace{\pi_0 \operatorname{Map}^*(\bigvee_i (S_a^1 \vee S_b^1), B\mathbb{Z})}_{\mathbb{Z}^{2g}} & \xrightarrow{(\prod_i [a_i, b_i])^*} & \underbrace{\pi_0 \operatorname{Map}^*(S^1, B\mathbb{Z})}_{\mathbb{Z}} \\
 ((n_i, m_i))_{i=1}^g & \longmapsto & \prod_i \underbrace{[n_i, m_i]}_0
 \end{array} \quad \square$$

In an analogous manner, we find:

Lemma A.13 ([127, Prop. 2]). *The long exact sequence of homotopy groups (189) which is induced by the homotopy fiber sequence obtained by mapping (196) into S^2 truncates to a short exact sequence:*

$$1 \longrightarrow \underbrace{\pi_1 \operatorname{Map}^*(S^2, S^2)}_{\mathbb{Z}} \xrightarrow{(q_0^g)^*} \pi_1 \operatorname{Map}^*(\Sigma_g^2, S^2) \longrightarrow \prod_{i=1}^g (\underbrace{\pi_1 \operatorname{Map}^*(S^2, S^2)}_{\mathbb{Z}})^2 \longrightarrow 1. \quad (199)$$

Proof. It is sufficient to see that pullback of S^2 -valued maps along $\prod_i [a_i, b_i]$ is the zero map under (suspension and) taking π_1 : This is because the pullback classes are still group commutators and as such they vanish since the π_1 groups in question are $\simeq \mathbb{Z}$ and hence abelian:

$$\begin{array}{ccc}
 \underbrace{\pi_1 \operatorname{Map}^*(\bigvee_i (S_a^1 \vee S_b^1), S^2)}_{\mathbb{Z}^{2g}} & \xrightarrow{(\prod_i [a_i, b_i])^*} & \underbrace{\pi_1 \operatorname{Map}^*(S^1, S^2)}_{\mathbb{Z}} \\
 ((n_i, m_i))_{i=1}^g & \longmapsto & \prod_i \underbrace{[n_i, m_i]}_0
 \end{array}$$

and analogously, under suspension, with all copies of S^1 in this formula replaced by S^2). Here in evaluating these groups we have used (178) and stages of (186), thereby identifying all copies of \mathbb{Z} with $\mathbb{Z} \simeq \pi_2(S^2)$ (and with $\mathbb{Z} \simeq \pi_3(S^2)$ for the case with suspension). \square

To see that the statement (199) for the *pointed* mapping space implies the variant statement (88) for the unpointed mapping space:

Lemma A.14 ([127, Thm 1]). *For $g \in \mathbb{N}$, we have a short exact sequence of this form:*

$$1 \rightarrow \pi_1 \operatorname{Map}_0(S^2, S^2) \xrightarrow{(q_0^g)^*} \pi_1 \operatorname{Map}_0(\Sigma_g^2, S^2) \rightarrow \pi_1 \operatorname{Map}_0^*(\bigvee_g(S_a^1 \vee S_b^1), S^2) \rightarrow 1.$$

Proof. Consider the long exact sequences of homotopy groups (189) induced by the evaluation sequences (176) on Σ_g^2 and on S^2 , respectively, with the map between them induced by pullback along q_0^g (195) extended to the short exact sequence from (199):

$$\begin{array}{ccccccc} \pi_2(S^2) & \xlongequal{\quad} & \pi_2(S^2) & & & & \\ \downarrow \delta^0 & & \downarrow \delta^g & & & & \\ 1 \longrightarrow \pi_1 \operatorname{Map}^*(S^2, S^2) & \xrightarrow{(q_0^g)^*} & \pi_1 \operatorname{Map}^*(\Sigma_g^2, S^2) & \xrightarrow{(i_g)^*} & \pi_1 \operatorname{Map}^*(\bigvee_i(S_a^1 \vee S_b^1), S^2) & \longrightarrow & 1 \\ \downarrow \operatorname{ev}^0 & & \downarrow \operatorname{ev}^g & & \nearrow (i_g)^* \circ \overline{\operatorname{ev}^g} & & \\ 1 \dashrightarrow \pi_1 \operatorname{Map}(S^2, S^2) & \dashrightarrow^{(q_0^g)^*} & \pi_1 \operatorname{Map}(\Sigma_g^2, S^2) & & & & \\ \downarrow & & \downarrow & & & & \\ \underbrace{\pi_1(S^1)}_1 & \xlongequal{\quad} & \underbrace{\pi_1(S^1)}_1 & & & & \end{array}$$

Here all solid sequences are exact, by construction, horizontally as well as vertically. Using this, a routine diagram chase shows ²⁵ that the dashed sequence exists and is exact. \square

As a corollary, we note:

Lemma A.15 (Flux monodromy mapping to ordinary cohomology). *The cohomology operation from 2-Cohomotopy in degree -1 to integral cohomology in degree 1, induced by the looping of the unit class $1^2 : S^2 \rightarrow B^2\mathbb{Z}$ (75), produces a morphism of short exact sequences:*

$$\begin{array}{ccccccc} 1 \longrightarrow \pi_0 \operatorname{Map}(S^2, \Omega S^2) & \xrightarrow{(q_0^g)^*} & \overbrace{\pi_0 \operatorname{Map}(\Sigma_g^2, \Omega S^2)}^{\pi_1 \operatorname{Map}(\Sigma_g^2, S^2)} & \longrightarrow & \pi_0 \operatorname{Map}^*(\bigvee_i(S_a^1 \vee S_b^1), \Omega S^2) & \longrightarrow & 1 \\ \downarrow (\Omega 1^2)_* & & \downarrow (\Omega 1^2)_* & & \downarrow (\Omega 1^2)_* & & \\ 1 \longrightarrow \underbrace{\pi_0 \operatorname{Map}(S^2, B\mathbb{Z})}_{H^1(S^2; \mathbb{Z}) \simeq 0} & \xrightarrow{(q_0^g)^*} & \underbrace{\pi_0 \operatorname{Map}(\Sigma_g^2, B\mathbb{Z})}_{H^1(\Sigma_g^2; \mathbb{Z}) \simeq \mathbb{Z}^{2g}} & \xrightarrow{\sim} & \underbrace{\pi_0 \operatorname{Map}^*(\bigvee_i(S_a^1 \vee S_b^1), B\mathbb{Z})}_{\mathbb{Z}^{2g}} & \longrightarrow & 1. \end{array}$$

Proof. The top exact sequence is from Lem. A.14, and inspection of the proof there shows immediately that it applies verbatim also with the coefficient ΩS^2 replaced by $B\mathbb{Z}$, throughout (for the exactness of the horizontal sequence in the proof, this is in the proof of Prop. A.12). This gives the bottom exact sequence and the compatibility of the two under the cohomology operation, as claimed. \square

A.5 Some Representation Theory

We need only basic representation theory (cf. [266][99][75]) and the Mackey classification of irreps of finite semidirect product groups.

Linear representations. Consider G a group. The cardinality of its underlying set, hence the *order* of G , is denoted $|G|$. A finite-dimensional \mathbb{C} -linear *representation* of G is a group homomorphism $\rho : G \rightarrow \operatorname{GL}(V)$ for V a finite-dimensional vector space, also to be denoted $G \curvearrowright_\rho V$.

²⁵To spell it out: Since ev is seen to be surjective, we may define $(i_g)^* \circ \overline{\operatorname{ev}}$ on a given element ϕ by choosing any preimage through ev . To see that this is well defined: If $\widehat{\phi}, \widehat{\phi}'$ are a pair of preimages, their difference is in the image of $\delta^g = (q_0^g)^* \circ \delta^0$, hence in the image of $(q_0^g)^*$ and hence vanishes under $(i_g)^*$. With the map thus existing, surjectivity is immediate from $(i_g)^*$ being surjective.

To see that the dashed $(q_0^g)^*$ is injective: Consider ϕ, ϕ' a pair of elements in the domain with the same image. Since ev^0 is surjective we may find ev^0 -preimages $\widehat{\phi}, \widehat{\phi}'$. By commutativity of the middle square we then have $\operatorname{ev}^g \circ (q_0^g)^*(\widehat{\phi}) = \operatorname{ev}^g \circ (q_0^g)^*(\widehat{\phi}')$, and so the difference between $(q_0^g)^*(\widehat{\phi})$ and $(q_0^g)^*(\widehat{\phi}')$ is in the image of $\delta^g = (q_0^g)^* \circ \delta^0$. But since $(q_0^g)^*$ is injective, this means that already the difference between $\widehat{\phi}$ and $\widehat{\phi}'$ is in the image of δ^0 , hence vanishes under ev^0 , hence $\phi = \phi'$, which was to be seen.

Finally, to see that the dashed sequence is exact in the middle: By the previous construction, the kernel of $(i_g)^* \circ \overline{\operatorname{ev}^g}$ consists exactly of those ϕ whose ev^g -preimage is in the kernel of $(i_g)^*$, hence in the image of $(q_0^g)^*$, hence of those ϕ in the image of $(q_0^g)^* \circ \operatorname{ev}^0$, hence in the image of $(q_0^g)^*$ – which was to be shown.

- Given a pair (ρ, ρ') of representations, an isomorphism $\eta : \rho \xrightarrow{\sim} \rho'$ is linear isomorphism of the underlying vector spaces, $\eta : V \xrightarrow{\sim} V'$ such that $\eta \circ \rho = \rho' \circ \eta$ (an “intertwiner”). In particular, with any choice of linear basis $V \xrightarrow{\sim} \mathbb{C}^{\dim(V)}$ a representation ρ is isomorphic to a matrix representation $G \rightarrow \mathrm{GL}_n(\mathbb{C})$ for $n = \dim(V)$.
- Given ρ, ρ' a pair of (matrix) representations, their direct sum $\rho \oplus \rho' : G \rightarrow \mathrm{GL}_{n+n'}(\mathbb{C})$ is represented by the corresponding block-diagonal matrices. A representation ρ is called *irreducible* (“irrep”) if it is not isomorphic to the direct sum of two representations of positive dimensions.
- For G finite, $|G| < \infty$, we denote the set of isomorphism classes $[-]$ of irreducible \mathbb{C} -linear representations by

$$\mathrm{Irr}(G) \simeq \{[\rho_i]\}_{i \in I}. \quad (200)$$

- *Schur’s Lemma* says, in particular, that every intertwining operator η from an irreducible representation to itself (hence every linear operator that commutes with all the representation operators of an irreducible representation) is a scalar multiple of the identity (cf. [75, Cor. 1.17]):

$$\eta \in \mathrm{GL}_{n^i}(\mathbb{C}), \quad \forall_{g \in G} \quad \eta \circ \rho(g) = \rho(g) \circ \eta \quad \Rightarrow \quad \exists_{z \in \mathbb{C}} \quad \eta = z \cdot \mathrm{id}_n. \quad (201)$$

- The *sum-of-squares formula* (cf. [75, Thm. 3.1(ii)]) says that the square of the dimensions of the distinct irreps equals the order of the group:

$$\sum_{i \in I} (\dim(\rho_i))^2 = |G|. \quad (202)$$

Example A.16 (Irreps of \mathbb{Z}_d). For $d \in \mathbb{N}_{>0}$, the \mathbb{C} -linear irreps of \mathbb{Z}_d , to be denoted $\mathbf{1}_{[n]} \in \mathrm{Rep}_{\mathbb{C}}(\mathbb{Z}_d)$, are all 1-dimensional and labeled by $[n] \in \mathbb{Z}_r$, where $[1] \in \mathbb{Z}_r$ acts on $\mathbf{1}_{[n]}$ by multiplication with $e^{2\pi i \frac{n}{d}}$.

Example A.17 (Irreps of Sym_3 , cf. [99, §1.3]). Irreps of Sym_3 include the trivial 1-dimensional representation $\mathbf{1}$, the sign representation $\mathbf{1}_{\mathrm{sgn}}$, and the standard representation $\mathbf{2}$ (121). Since $1^2 + 1^2 + 2^2 = 6 = |\mathrm{Sym}_3|$, the sum-of-squares fomula (202) implies that there are no further distinct irreps.

Proposition A.18 (Unitarization of linear representations, cf. [169, Prop. 4.6]). *For G a finite group and \mathcal{H} a finite-dimensional complex Hilbert space, every \mathbb{C} -linear representation $R : G \rightarrow \mathrm{GL}(\mathcal{H})$ on the underlying complex vector space of \mathcal{H} is isomorphic to a unitary representation $U : G \rightarrow \mathrm{U}(\mathcal{H}) \hookrightarrow \mathrm{GL}(\mathcal{H})$.*

Representation characters. The *character* χ^ρ of a finite-dimensional representation ρ is the function on G assigning the traces of the representation matrices

$$\begin{aligned} G &\xrightarrow{\chi^\rho} \mathbb{C} \\ g &\longmapsto \mathrm{tr}(\rho(g)). \end{aligned} \quad (203)$$

- Passage to characters is evidently linear in direct sums of representations, in that $\chi^{\rho \oplus \rho'} = \chi^\rho + \chi^{\rho'}$, and is injective on isomorphism classes of representations (cf. [75, Thm 2.17])

$$\begin{aligned} \mathrm{Rep}(G)/\sim &\hookrightarrow \mathbb{C}^G \\ [\rho] &\longmapsto \chi^\rho. \end{aligned} \quad (204)$$

- For finite G , $|G| < \infty$, the evident normalized inner product on functions $\chi : G \rightarrow \mathbb{C}$

$$\langle \rho, \rho' \rangle := \frac{1}{|G|} \rho_g \overline{\rho'_g} \quad (205)$$

exhibits *Schur orthonormality*, which is the statement that for irreducible representations ρ_i, ρ_j (200) we have (cf. [99, Thm 2.12][75, Thm. 3.8])

$$\langle \rho_i, \rho_j \rangle = \delta_{ij}. \quad (206)$$

Tensor products of representations. Given a pair of linear representations (ρ, ρ') over, respectively, a pair of groups (G, G') , their *external tensor product* $\rho \boxtimes \rho'$ (cf. [99, Ex. 2.36]) is the representation of the direct product group $G \times G'$ given by

$$\rho \boxtimes \rho' : G \times G' \xrightarrow{\rho \times \rho'} \mathrm{GL}_n(\mathbb{C}) \times \mathrm{GL}_{n'}(\mathbb{C}) \hookrightarrow \mathrm{GL}_{nn'}(\mathbb{C}). \quad (207)$$

- If ρ and ρ' are irreducible then so is $\rho \boxtimes \rho'$ and all irreps of $G \times G'$ arise this way, hence (cf. still [99, Ex. 2.36]):

$$\begin{aligned} \mathrm{Irr}(G) \times \mathrm{Irr}(G') &\xrightarrow{\sim} \mathrm{Irr}(G \times G') \\ ([\rho], [\rho']) &\longmapsto [\rho \boxtimes \rho']. \end{aligned} \quad (208)$$

- Given a pair ρ and ρ' of linear representations of the same group G , their plain tensor product is the restriction of their external tensor product (207) along the diagonal of G :

$$\rho \otimes \rho' : G \xrightarrow{\text{diag}} G \times G \xrightarrow{\rho \boxtimes \rho'} \text{GL}_{nn'}(\mathbb{C}). \quad (209)$$

Group algebra. For G a group, its *group convolution algebra* $\mathbb{C}[G]$ (or just *group algebra* for short, cf. [99, §3.4][12, p 51][45, §2.4][44, (4)]) is the \mathbb{C} -linear span of G equipped with the algebra structure which on its canonical basis elements \vec{e}_g is the group product ($\vec{e}_g \cdot \vec{e}_{g'} := \vec{e}_{gg'}$):

$$\mathbb{C}[G] := \left\{ \sum_{g \in G} c_g \cdot \vec{e}_g \in \text{Span}_{\mathbb{C}}(G), \left(\sum_{g \in G} c_g \cdot \vec{e}_g \right) \cdot \left(\sum_{g' \in G} c'_{g'} \cdot \vec{e}_{g'} \right) := \sum_{g \in G} \left(\sum_{h \in G} c_h c'_{h^{-1}g} \right) \cdot \vec{e}_g \right\}. \quad (210)$$

- Hence group representations $G \rightarrow \text{GL}(V)$ are equivalently algebra homomorphisms $\mathbb{C}[G] \rightarrow \text{End}(V)$, hence are equivalently *modules* over the group algebra.
- For example, the group algebra understood as a module over itself is the *regular representation* $G \curvearrowright \mathbb{C}[G]$.

Induced representations. For $H \xrightarrow{i} G$ a subgroup inclusion, and $\rho : H \rightarrow \text{GL}(V)$ a representation of H , its left or right *induced G -representation along i* , to be denoted $i_! \rho$ or $i_* \rho$, respectively, is (cf. [75, §4.8])

$$\left. \begin{aligned} i_! \rho &:= G \curvearrowright \left(\mathbb{C}[G] \otimes_{\mathbb{C}[H]} V \right) \\ i_* \rho &:= G \curvearrowright \left(\text{Hom}_{\mathbb{C}[H]}(\mathbb{C}[G], V) \right) \end{aligned} \right\} \in \text{Rep}(G), \quad (211)$$

where the constructions on the right are the tensor product and hom-space of modules over the group algebra $\mathbb{C}[H]$ (210), understood as equipped with their residual $\mathbb{C}[G]$ -module structure given by left multiplication (for $i_! \rho$) or by right multiplication (for $i_* \rho$) of the group algebra $\mathbb{C}[G]$ on itself.

- If G is finite, $|G| < \infty$, left and right inductions are naturally isomorphic (and generally when $H \subset G$ is of finite index) and one writes

$$\text{Ind}_H^G \rho := i_! \rho \simeq i_* \rho : \text{Rep}(H) \longrightarrow \text{Rep}(G). \quad (212)$$

Mackey theory. Consider $G = A \rtimes H$ a finite semidirect product group where the normal subgroup A is abelian. The following Prop. A.19 classifies its irreducible representation from knowledge of the irreps of A and of certain subgroups of G . The statement involves the following notions:

- $\tilde{H} := \text{Hom}(A, \mathbb{C}^\times)$ the dual group of irreps of A ,
- $\{\rho_i\}_{i \in \tilde{A}/H}$ a set of representatives of orbits in this group under the action of H ,
- $H_i := \text{Stab}_H(\rho_i)$ the corresponding stabilizer subgroups
- $\hat{\chi}_i$ the pullback along $A \rtimes H_i \twoheadrightarrow A$ of χ_i to $A \rtimes H_i$,
- $\hat{\rho}$ the pullback of any irrep ρ along $A \rtimes H_i \twoheadrightarrow H_i$.

Proposition A.19 (Mackey algorithm, cf. [266, Prop. 25 p 62]). *The irreps of $A \rtimes H$ are, up to isomorphism, exactly the induced representations (212) of tensor products (209) of the form*

$$\text{Ind}_{A \rtimes H_i}^{A \rtimes H} (\hat{\chi}_i \otimes \hat{\rho}),$$

for $i \in \tilde{A}/H$ and $\rho \in \text{Irr}(H_i)_{/\sim}$; and two such are isomorphic iff they come from equal index i and isomorphic irreps ρ .

Wreath product groups. Given G a group and $H \xrightarrow{s} \text{Sym}_n$ a group equipped with a homomorphism to a symmetric group, their *wreath product* is the semidirect product of G^n with H acting by permutation of factors (cf. [17, Def. 8.1][151, §4.1])

$$G \wr_s H := G^n \rtimes_s H. \quad (213)$$

- For G a finite group with set I of classes $[\rho^i]$ of irreducible representations (200), say that an I -partition of some $n \in \mathbb{N}$ is a tuple

$$(n) := (n_i \in \mathbb{N})_{i \in I} \quad \text{with} \quad \sum_i n_i = n. \quad (214)$$

- Given such, write

$$\text{Sym}_{(n)} := \prod_{i \in I} \text{Sym}_{n_i} \hookrightarrow \text{Sym}_n$$

for the subgroup of permutations of n elements that permute the n_i elements among each other, for all $i \in I$. There is an evident linear representation of the corresponding wreath product (213) on

$$\bigotimes_{i \in I} \rho_i^{\boxtimes n_i} \in \text{Rep}(G \wr \text{Sym}_{(n)}), \quad (215)$$

where the subgroup G^n is represented by the given external tensor product (207) of its irreps (200) and the subgroup $\text{Sym}_{(n)}$ acts by permutation of tensor factors. At the same time, an irrep σ of $\text{Sym}_{(n)}$ is of the form (208)

$$\sigma \simeq \bigotimes_{i \in I} \sigma_{j(i)}, \quad [\lambda_{j(i)}] \in \text{Irr}(\text{Sym}_{n_i}), \quad (216)$$

and we may regard this as a representation of $G \wr \text{Sym}_{(n)} \twoheadrightarrow \text{Sym}_{(n)}$.

Proposition A.20 (Irreps of finite wreath product groups, [151, Thm. 4.3.34]). *For finite G , the irreducible representations of $G \wr \text{Sym}_n$ (213) are, up to isomorphism, exactly the induced representations (212) along the inclusion*

$$\left(\prod_{i \in I} (G \wr \text{Sym}_{n_i}) \right) \simeq G \wr \text{Sym}_{(n)} \hookrightarrow G \wr \text{Sym}_n$$

of the representations which are tensor products (209) of the representations (215) corresponding to I -partitions (214) with irreps σ (216) of $\text{Sym}_{(n)}$:

$$\text{Ind}_{G \wr \text{Sym}_{(n)}}^{G \wr \text{Sym}_n} \left(\left(\bigotimes_{i \in I} \rho_i^{\boxtimes n_i} \right) \otimes \sigma \right) \in \text{Rep}(G \wr \text{Sym}_n). \quad (217)$$

Example A.21 (Irreps of $\mathbb{Z}_r \wr \text{Sym}_3$). The \mathbb{C} -linear irreps of $\mathbb{Z}_r \wr \text{Sym}_3$ according to Prop. A.20 may be organized into three classes, depending on the nature of the the partition (214) — recall for the following the irreps $\mathbf{1}_{[n]}$ of \mathbb{Z}_d from Ex. A.16 and the irreps $\mathbf{1}$, $\mathbf{1}_{\text{sgn}}$, and $\mathbf{2}$ of Sym_3 from Ex. A.17.

Case 1: **Partition involves a single irrep $\mathbf{1}_n$.** In this case $\text{Sym}_{(3)} \simeq \text{Sym}_3$ and the induction functor is the identity, so that the corresponding irreps of $G \wr \text{Sym}_3$ according to (217) are of the form

$$\mathbf{1}_{[n]}^{\boxtimes 3} \otimes \sigma \in \text{Rep}_{\mathbb{C}}(\mathbb{Z}_d \wr \text{Sym}_3) \quad (218)$$

for $[n] \in \mathbb{Z}_d$ and $\sigma \in \{\mathbf{1}, \mathbf{1}_{\text{sgn}}, \mathbf{2}\}$.

Case 2: **Partition involves two distinct irreps $\mathbf{1}_{[n]}$, $\mathbf{1}_{[n']}$.** In this case $\text{Sym}_{(3)} \simeq 1 \times \text{Sym}_2 \simeq \text{Sym}_2$, so that the corresponding irreps of $G \wr \text{Sym}_3$ according to (217) are of the form

$$\mathbb{C}[\mathbb{Z}_d \wr \text{Sym}_3] \otimes_{\mathbb{C}[\mathbb{Z}_d \times (\mathbb{Z}_d \wr \text{Sym}_2)]} \left((\mathbf{1}_{[n]} \boxtimes \mathbf{1}_{[n']}^{\boxtimes 2}) \otimes \sigma \right) \quad (219)$$

for $[n] \neq [n'] \in \mathbb{Z}_d$ and $\sigma \in \{\mathbf{1}, \mathbf{1}_{\text{sgn}}\}$

Case 3: **Partition involves three distinct irreps $\mathbf{1}_{[n_1]}$, $\mathbf{1}_{[n_2]}$, $\mathbf{1}_{[n_3]}$.** In this case $\text{Sym}_{(3)} \simeq 1 \times 1 \times 1 \simeq 1$, so that the corresponding irreps of $G \wr \text{Sym}_3$ according to (217) are of the form

$$\mathbb{C}[\mathbb{Z}_d \wr \text{Sym}_3] \otimes_{\mathbb{C}[\mathbb{Z}_d^3]} (\mathbf{1}_{[n_1]} \boxtimes \mathbf{1}_{[n_2]} \boxtimes \mathbf{1}_{[n_3]}) \quad (220)$$

for $[n_i] \in \mathbb{Z}_d$ pairwise distinct.

A.6 Quadratic Gauss sums

Here we briefly compile some facts about Gauss sums used in §3.4, see [22] for more pointers to the literature (see also [67] but beware of typos in (1.1) there).

First, it may be worth recalling the simple cousin of the Gauss sums:

Proposition A.22 (Discrete Fourier transform of Kronecker delta). *For $K \in \mathbb{N}_{>0}$ and $p \in \mathbb{Z}$ we have*

$$\sum_{n=0}^{K-1} e^{\frac{2\pi i}{K} p n} = \begin{cases} K & \text{if } p = 0 \\ 0 & \text{if } n \neq 0. \end{cases} \quad (221)$$

Proof. The statement for $p = 0$ is immediate. For $p \neq 0$ observe that

$$\left(1 - e^{\frac{2\pi i}{K} p} \right) \sum_{n=0}^{K-1} e^{\frac{2\pi i}{K} p n} = 1 - e^{2\pi i n} = 0.$$

□

Now:

Proposition A.23 (Classical quadratic Gauss sum evaluation, cf. [178, p 87][227]). *For $K \in \mathbb{N}_{>0}$ we have*

$$\sum_{n=0}^{K-1} e^{\frac{2\pi i}{K} n^2} = \begin{cases} (1+i)\sqrt{K} & | \quad K \equiv 0 \pmod{4} \\ \sqrt{K} & | \quad K \equiv 1 \pmod{4} \\ 0 & | \quad K \equiv 2 \pmod{4} \\ i\sqrt{K} & | \quad K \equiv 3 \pmod{4}. \end{cases} \quad (222)$$

Proposition A.24 (Quadratic Gauss sum with multiple exponents, cf. [178, “QS4” p 86]). *For odd $K \in 2\mathbb{N} + 1$ we have more generally, for $p \in \mathbb{Z}$,*

$$\sum_{n=0}^{K-1} e^{\frac{2\pi i}{K} p n^2} = (p|K) \sum_{n=0}^{K-1} e^{\frac{2\pi i}{K} n^2} = \begin{cases} (p|K) (1+i)\sqrt{K} & | \quad K \equiv 0 \pmod{4} \\ (p|K) \sqrt{K} & | \quad K \equiv 1 \pmod{4} \\ 0 & | \quad K \equiv 2 \pmod{4} \\ (p|K) i\sqrt{K} & | \quad K \equiv 3 \pmod{4}, \end{cases} \quad (223)$$

where

$$(p|K) = \begin{cases} 0 & \text{if } \gcd(p, K) \neq 1 \\ \pm 1 & \text{if } \gcd(p, K) = 1 \end{cases} \quad (224)$$

is the Jacobi symbol. ²⁶

In §3.4 we are crucially concerned with the variant of the classical quadratic Gauss sum that has *half* the usual exponents. In its plain form it is elementary to reduce this to the ordinary quadratic Gauss sum:

Proposition A.25 (Quadratic Gauss sum with halved exponents). *For $k \in 2\mathbb{N}_{>0}$ we have*

$$\sum_{n=0}^{K-1} e^{\frac{\pi i}{K} n^2} = e^{\pi i/4} \sqrt{K}. \quad (225)$$

Proof. Setting $r := K/2 \in \mathbb{N}$, we may compute as follows:

$$\begin{aligned} \sum_{n=0}^{K-1} e^{\frac{\pi i}{K} n^2} &= \sum_{n=0}^{2r-1} e^{\frac{\pi i}{2r} n^2} && \text{by def of } r \\ &= \frac{1}{2} \left(\sum_{n=0}^{2r-1} + \sum_{n=2r}^{4r-1} \right) e^{\frac{\pi i}{2r} n^2} && \text{since summands are } 2r\text{-periodic, cf. footnote 20} \\ &= \frac{1}{2} \sum_{n=0}^{4r-1} e^{\frac{2\pi i}{4r} n^2} \\ &= \frac{1}{2} (1+i)\sqrt{4r} && \text{by (222)} \\ &= e^{\pi i/4} \sqrt{2r} \\ &= e^{\pi i/4} \sqrt{K} && \text{by def of } r. \end{aligned}$$

□

More generally, there is the following reciprocity relation for the parameters of the quadratic Gauss sum with halved exponents, which relates it to the ordinary quadratic Gauss sum:

Proposition A.26 (Landsberg-Schaar identity [262], cf. [7][282][128]). *For $K \in 2\mathbb{N}_{>0}$ and $p \in \mathbb{N}_{>0}$ we have*

$$\sum_{n=0}^{K-1} e^{\pi i \frac{p}{K} n^2} = \frac{e^{\pi i/4}}{\sqrt{p/K}} \sum_{n=0}^{p-1} e^{-\pi i \frac{K}{p} n^2}. \quad (226)$$

In summary, this implies the evaluation which we use in the main text:

Proposition A.27 (Quadratic Gauss sum with multiple halved exponents). *For $K \in 2\mathbb{N}_{>0}$ and $p \in 2\mathbb{N} + 1$ we have:*

$$\sum_{n=1}^{K-1} e^{\pi i \frac{p}{K} n^2} \stackrel{(226)}{=} \frac{e^{\pi i/4}}{\sqrt{p/K}} \sum_{n=0}^{p-1} e^{-2\pi i \frac{K/2}{p} n^2} \stackrel{(223)}{=} \begin{cases} e^{\pi i/4} \sqrt{K} (K/2|p) & | \quad p \equiv 1 \pmod{4} \\ e^{-\pi i/4} \sqrt{K} (K/2|p) & | \quad p \equiv 3 \pmod{4}. \end{cases} \quad (227)$$

²⁶The sign in (224) is the non-trivial content of the theory of the Jacobi symbol, but for our purposes in the main text it is of relevance only whether the Jacobi symbol vanishes or not.

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